REQUEST FOR BIDS

MARDI GRAS WASTE LINES

24009 104th AVE. S.E. KENT, WA 98030

RELEASED BY:



CAPITAL CONSTRUCTION DEPARTMENT

700 ANDOVER PARK WEST, SUITE C TUKWILA, WA 98188

BID DATES

ISSUANCE DATE: APRIL 7, 2022

DUE DATE: APRIL 28, 2022

TIME: 1:00PM

CAPITAL CONSTRUCTION DEPARTMENT

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A - SECTION

INFORMATIONAL FORMS

- A.1 Invitation to Bid
- A.2 Notice to All Bidders
- **A.3** Instructions to Bidders for Contracts (form HUD 5369)
- A.4 Fair Housing / Accessibility Notice
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INVITATION FOR BID

DUE DATE: APRIL 28, 2022

The King County Housing Authority (KCHA) will accept bids from qualified general contractors to furnish labor, materials and necessary equipment to perform the following:

SCOPE OF WORK: MARDI GRAS WASTE LINES

The Mardi Gras, Waste Line Project, is comprised of (but not limited to) the demolition and replacement of the main waste lines in the crawl space, removal and replacement of all sink, tub, vent, urinal, and toilet waste lines, CIPP line coatings, installation of four (4) new double sweep cleanouts for CIPP/SIPP coating, and installation of three (3) new crawl space accesses. New main waste lines will be replaced from interior of building to the new double sweep clean-out at the exterior of the building.

For complete scope, please see E.1 Scope of Work and Technical Specifications

DRAWINGS - PROJECT MANUAL DISTRIBUTION:

Drawing and bid documents can be downloaded from:

https://www.kcha.org/business/construction/open

PRE-BID CONFERENCE:

Date: **April 14, 2022** Time: 11:00 AM

Jobsite Address: 24009 104th Ave. S.E., Kent, WA 98030

Notation: Attendance of the Pre-Bid Site Visit is MANDATORY. Direct Questions, Requests or Clarification by Email or Fax to: Ouestions /

Contact Person: Project Manager: Don Hatfield

> Email Address: donaldh@kcha.org Phone Number: 206-574-1213 No Later Than: April 21, 2022

https://www.kcha.org/business/construction/open Website Posting:

All responses shall be in the form of Addenda

All Addenda(s) will post As Occurs Plan Holder's List posts every Friday

BIDS ARE DUE:

April 28, 2022 Date:

1:00 pm (see KCHA Process – COVID-19 Process Changes) Time: King County Housing Authority - Capital Construction Office Address:

700 Andover Park West, Suite C, Tukwila, WA 98188

Submittal Procedure: Envelope MUST BE:

> Sealed a.

List Name and Address of your Firm/Company b.

List Due Date and Time c. List Project Name: d.

MARDI GRAS WASTE LINES

Mailing / Shipping Package or Wrapping must also be marked with this information.

KCHA Process: All Bids MUST BE Time and Date Stamped at KCHA – Capital

Construction Office by the above Due Date and Time. No Bids will be accepted after that Date and Time

a.

b. No Bids Faxed or Email will be accepted



COVID-19 Process Changes

- a. Bids should be dropped off at the front desk at 600 Andover Park West, Tukwila, WA 98188.
- b. Bids will be accepted between 11:00AM 1:00PM ONLY. **The front desk will only be open during these hours.**
- c. A KCHA representative will be present at the front desk to time stamp bids.
- d. There will be NO PUBLIC READING of the bids.
- e. At 1:00PM bidding will be closed and KCHA staff will tabulate the bids and notify bidders by email of the bid results.

**NOTE: Contractors have the option to mail in bids, but bids must be received by the deadline of 1:00PM. KCHA does not recommend mailing in bids due to possible complications or difficulties that may arise with the mail delivery.

BID BOND OR CERTIFIED CHECK:

Amount: Five (5%) Percent of the Total bid must accompany Each Bid greater than one

hundred fifty (\$150,000) dollars.

Payable to: King County Housing Authority

Process: Bid Bond or Certified Check will be returned to the Unsuccessful Bidders within

Ten (10) Days after the Contract Award.

BONDS MUST BE ORIGINAL, NO PHOTOCOPIES OR SCANNED BONDS WILL BE ALLOWED

COVID-19 REQUIRED COMPLIANCE

Contractor must submit a signed copy of COVID-19 Job Site Requirements (see Section B.2). Signing the document is an indication that the Contractor has read and understands what is required to comply with the COVID-19 Requirements. If selected, the Contractor will be required to submit COVID-19 Protection Protocols in place for the Contractor's company, as well as a site specific COVID-19 safety plan; both of which will be placed into the contract as exhibits.

ASSURANCE OF COMPLETION:

Projects valued over one hundred fifty thousand (\$150,000) dollars **require** a one hundred (100%) percent Performance and Payment Bond. (See Section C – Contract Documents)

BONDING CAPACITY:

Provide **with your bid proposal**, a written statement from the contractor's bonding agent of the contractor's ability and capacity for providing a one hundred (100%) percent Performance and Payment Bond for the project. The statement shall be made on the official letterhead of the bonding company and signed by an authorized agent of the bonding company.

BONDING & INSURANCE FOR CONTRACT AWARD:

The contract award will be contingent on full performance bonding, or equivalent and contractor's ability to meet KCHA insurance requirements as outlined in the bid documents.

HUD NON-ROUTINE MAINTENANCE WAGE RATES:

HUD Non-Routine Maintenance wage rates and weekly payroll reporting requirements apply to this project.

WASHINGTON STATE REQUIREMENT:

All contractors and subcontractors working on this project are required to file a "Statement of Intent to Pay Prevailing Wages", "Affidavit of Wages Paid" and certified payroll with L&I. (See Form A.12 for additional information.)



EEOE:

The King County Housing Authority is an Equal Employment Opportunity Employer and strongly encourages minority-owned and women-owned businesses, socially and economically disadvantaged businesses, and small businesses to submit bids or to participate as subcontractors and suppliers on KCHA Contracts.

KCHA RESERVED RIGHTS:

The King County Housing Authority reserves the right to reject any or all bids or to waive any informality in the bidding. No bid shall be withdrawn for a period of thirty (30) calendar days subsequent to the opening of the bids without the written consent of KCHA.

The King County Housing Authority also reserves the right to reject all bids, for any reason, prior to Contract Execution.

PUBLIC RECORDS:

All information submitted to KCHA will become public records, as per RCW 42.56. If you are submitting information, which you think is confidential and/or proprietary to your business, KCHA recommends that you do not submit that information, as KCHA cannot guarantee that type of information will be withheld from a public disclosure request.

PLAN CENTERS:

Bid documents, including drawings, specifications and conditions of the agreements may be examined at the following offices:

BUILDERS EXCHANGE OF WA	CONTRACTOR P
2607 Wetmore Ave.	5468 SE Intern
Everett, WA 98201	Milwaukie, (
www.bxwa.com	www.contractorp
425-258-1303	503-650

PLAN CENTER **BLUE BOOK** national Way 33801 1st Way S., Ste. 251 OR 97222 Federal Way, WA 98003 www.thebluebook.com plancenter.com 503-650-0148 800-431-2584 x3121

DAILY JOURNAL OF COMMERCE
www.djc.com
206-622-8272

DODGE DATA & ANALYTICS www.isqft.com www.construction.com 206-328-5615 800-364-2059 x7051

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PUBLICATION: Daily Journal of Commerce Daily

The Seattle Medium Wednesday Northwest Asian Weekly Thursday

KCHA Web Site www.kcha.org/business/construction/open

ISOFT

CONTACT PERSON:

Don Hatfield Project Manager 206-574-1213 Phone Number Donaldh@kcha.org Email Address

EXAMPLE OF <u>SEALED</u> ENVELOPE PROCEDURE / PREPARATION:

FROM:

ENTER YOUR COMPANY NAME Street Address City, State, Zip Code

TO:

KING COUNTY HOUSING AUTHORITY CAPITAL CONSTRUCTION DEPARTMENT

700 Andover Park West, Suite C

Tukwila, WA 98188

BID DUE:

Date: April 28, 2022 Time: 1:00 PM

PROJECT NAME: MARDI GRAS WASTE LINES

Upon Receipt, the Envelope will be Time and Date Stamped by King County Housing Authority



NOTICE TO ALL BIDDERS

In order to be considered as **RESPONSIVE BIDS**, all bidders <u>MUST</u> submit Signed Section B forms no later than the **Bid Due Date and Time**:

- B.1 Bid Form
- **B.2** COVID-19 Job Site Requirements
- **B.3** Bidder's Experience Record
- **B.4** Contractor Certification
- **B.5** Non-Collusive Affidavit
- **B.6** Equal Opportunity
- B.7 Bid Security (Submit only for bids greater than \$150,000)
- **B.8** Debarment / Suspension Compliance Certification
- **B.9** Proposed Subcontractor List
- B.10 Section 3
 - a. Business Certification
 - b. Subcontractor Work Plan
 - c. Individual Certification and FAQ's
- **B.11** Harassment and Discrimination Policies
- **B.12** WMBE Survey (Form is not required to be responsive, but requested)
- **B.13** Preliminary Project Schedule Provided by Contractor

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Instructions to Bidders for Contracts Public and Indian Housing Programs

Instructions to Bidders for Contracts

Public and Indian Housing Programs

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1. Bid Preparation and Submission

- (a) Bidders are expected to examine the specifications, drawings, all instructions, and, if applicable, the construction site (see also the contract clause entitled **Site Investigation and Conditions Affecting the Work** of the *General Conditions of the Contract for Construction*). Failure to do so will be at the bidders' risk.
- (b) All bids must be submitted on the forms provided by the Public Housing Agency/Indian Housing Authority (PHA/IHA). Bidders shall furnish all the information required by the solicitation. Bids must be signed and the bidder's name typed or printed on the bid sheet and each continuation sheet which requires the entry of information by the bidder. Erasures or other changes must be initialed by the person signing the bid. Bids signed by an agent shall be accompanied by evidence of that agent's authority. (Bidders should retain a copy of their bid for their records.)
- (e) Bidders must submit as part of their bid a completed form HUD-5369-A, "Representations, Certifications, and Other Statements of Bidders," NO LONGER REQUIRED BY HUD
- (d) All bid documents shall be sealed in an envelope which shall be clearly marked with the words "Bid Documents," the Invitation for Bids (IFB) number, any project or other identifying number, the bidder's name, and the date and time for receipt of bids.
- (e) If this solicitation requires bidding on all items, failure to do so will disqualify the bid. If bidding on all items is not required, bidders should insert the words "No Bid" in the space provided for any item on which no price is submitted.
- (f) Unless expressly authorized elsewhere in this solicitation, alternate bids will not be considered.
- (g) Unless expressly authorized elsewhere in this solicitation, bids submitted by telegraph or facsimile (fax) machines will not be considered.
- (h) If the proposed contract is for a Mutual Help project (as described in 24 CFR Part 905, Subpart E) that involves Mutual Help contributions of work, material, or equipment, supplemental information regarding the bid advertisement is provided as an attachment to this solicitation.

2. Explanations and Interpretations to Prospective Bidders

- (a) Any prospective bidder desiring an explanation or interpretation of the solicitation, specifications, drawings, etc., must request it at least 7 days before the scheduled time for bid opening. Requests may be oral or written. Oral requests must be confirmed in writing. The only oral clarifications that will be provided will be those clearly related to solicitation procedures, i.e., not substantive technical information. No other oral explanation or interpretation will be provided. Any information given a prospective bidder concerning this solicitation will be furnished promptly to all other prospective bidders as a written amendment to the solicitation, if that information is necessary in submitting bids, or if the lack of it would be prejudicial to other prospective bidders.
- (b) Any information obtained by, or provided to, a bidder other than by formal amendment to the solicitation shall not constitute a change to the solicitation.

3. Amendments to Invitations for Bids

- (a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.
- (b) Bidders shall acknowledge receipt of any amendment to this solicitation (1) by signing and returning the amendment, (2) by identifying the amendment number and date on the bid form, or (3) by letter, telegram, or facsimile, if those methods are authorized in the solicitation. The PHA/IHA must receive acknowledgement by the time and at the place specified for receipt of bids. Bids which fail to acknowledge the bidder's receipt of any amendment will result in the rejection of the bid if the amendment(s) contained information which substantively changed the PHA's/IHA's requirements.
- (c) Amendments will be on file in the offices of the PHA/IHA and the Architect at least 7 days before bid opening.

4. Responsibility of Prospective Contractor

- (a) The PHA/IHA will award contracts only to responsible prospective contractors who have the ability to perform successfully under the terms and conditions of the proposed contract. In determining the responsibility of a bidder, the PHA/IHA will consider such matters as the bidder's:
 - (1) Integrity;
 - (2) Compliance with public policy;
 - (3) Record of past performance; and
 - (4) Financial and technical resources (including construction and technical equipment).
- (b) Before a bid is considered for award, the bidder may be requested by the PHA/IHA to submit a statement or other documentation regarding any of the items in paragraph (a) above. Failure by the bidder to provide such additional information shall render the bidder nonresponsible and ineligible for award.

5. Late Submissions, Modifications, and Withdrawal of Bids

- (a) Any bid received at the place designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it:
- (1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th);
- (2) Was sent by mail, or if authorized by the solicitation, was sent by telegram or via facsimile, and it is determined by the PHA/IHA that the late receipt was due solely to mishandling by the PHA/IHA after receipt at the PHA/IHA; or
- (3) Was sent by U.S. Postal Service Express Mail Next Day Service Post Office to Addressee, not later than 5:00 p.m. at the place of mailing two working days prior to the date specified for receipt of proposals. The term "working days" excludes weekends and observed holidays.
- (b) Any modification or withdrawal of a bid is subject to the same conditions as in paragraph (a) of this provision.
- (c) The only acceptable evidence to establish the date of mailing of a late bid, modification, or withdrawal sent either by registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the bid, modification, or withdrawal shall be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, bidders should request the postal clerk to place a hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.
- (d) The only acceptable evidence to establish the time of receipt at the PHA/IHA is the time/date stamp of PHA/IHA on the proposal wrapper or other documentary evidence of receipt maintained by the PHA/IHA.
- (e) The only acceptable evidence to establish the date of mailing of a late bid, modification, or withdrawal sent by Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on both the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (c) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, bidders should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and Failure by a bidder to acknowledge receipt of the envelope or wrapper.
- (f) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful bid that makes its terms more favorable to the PHA/IHA will be considered at any time it is received and may be accepted.
- (g) Bids may be withdrawn by written notice, or if authorized by this solicitation, by telegram (including mailgram) or facsimile machine transmission received at any time before the exact time set for opening of bids; provided that written confirmation of telegraphic or facsimile withdrawals over the signature of the bidder is mailed and postmarked prior to the specified bid opening time. A bid may be withdrawn in person by a bidder or its authorized representative if, before the exact time set for opening of bids, the identity of the person requesting withdrawal is established and the person signs a receipt for the bid.

6. Bid Opening

All bids received by the date and time of receipt specified in the solicitation will be publicly opened and read. The time and place of opening will be as specified in the solicitation. Bidders and other interested persons may be present.

7. Service of Protest

(a) Definitions. As used in this provision:

"Interested party" means an actual or prospective bidder whose direct economic interest would be affected by the award of the contract.

"Protest" means a written objection by an interested party to this solicitation or to a proposed or actual award of a contract pursuant to this solicitation.

(b) Protests shall be served on the Contracting Officer by obtaining written and dated acknowledgement from —

Contracting Officer
Capital Construction Department
King County Housing Authority
600 Andover Park West
Tukwila, WA 98188

[Contracting Officer designate the official or location where a protest may be served on the Contracting Officer]

(c) All protests shall be resolved in accordance with the PHA's/IHA's protest policy and procedures, copies of which are maintained at the PHA/IHA.

8. Contract Award

- (a) The PHA/IHA will evaluate bids in response to this solicitation without discussions and will award a contract to the responsible bidder whose bid, conforming to the solicitation, will be most advantageous to the PHA/IHA considering only price and any price-related factors specified in the solicitation.
- (b) If the apparent low bid received in response to this solicitation exceeds the PHA's/IHA's available funding for the proposed contract work, the PHA/IHA may either accept separately priced items (see 8(e) below) or use the following procedure to determine contract award. The PHA/IHA shall apply in turn to each bid (proceeding in order from the apparent low bid to the high bid) each of the separately priced bid deductible items, if any, in their priority order set forth in this solicitation. If upon the application of the first deductible item to all initial bids, a new low bid is within the PHA's/IHA's available funding, then award shall be made to that bidder. If no bid is within the available funding amount, then the PHA/IHA shall apply the second deductible item. The PHA/IHA shall continue this process until an evaluated low bid, if any, is within the PHA's/IHA's available funding. If upon the application of all deductibles, no bid is within the PHA's/IHA's available funding, or if the solicitation does not request separately priced deductibles, the PHA/IHA shall follow its written policy and procedures in making any award under this solicitation.
- (c) In the case of tie low bids, award shall be made in accordance with the PHA's/IHA's written policy and procedures.
- (d) The PHA/IHA may reject any and all bids, accept other than the lowest bid (e.g., the apparent low bid is unreasonably low), and waive informalities or minor irregularities in bids received, in accordance with the PHA's/IHA's written policy and procedures.

- (e) Unless precluded elsewhere in the solicitation, the PHA/IHA may accept any item or combination of items bid.
- (f) The PHA/IHA may reject any bid as nonresponsive if it is materially unbalanced as to the prices for the various items of work to be performed. A bid is materially unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated for other work.
- (g) A written award shall be furnished to the successful bidder within the period for acceptance specified in the bid and shall result in a binding contract without further action by either party.
- Bid Guarantee (applicable to construction and equipment contracts exceeding \$25,000)

KCHA Procurement Policy requires Bid Guarantees for Projects valued at \$150,000 or more.

All bids must be accompanied by a negotiable bid guarantee which shall not be less than five percent (5%) of the amount of the bid. The bid guarantee may be a certified check, bank draft, U.S. Government Bonds at par value, or a bid bond secured by a surety company acceptable to the U.S. Government and authorized to do business in the state where the work is to be performed. In the case where the work under the contract will be performed on an Indian reservation area, the bid guarantee may also be an irrevocable Letter of Credit (see provision 10, Assurance of Completion, below). Certified checks and bank drafts must be made payable to the order of the PHA/IHA. The bid guarantee shall insure the execution of the contract and the furnishing of a method of assurance of completion by the successful bidder as required by the solicitation. Failure to submit a bid guarantee with the bid shall result in the rejection of the bid. Bid guarantees submitted by unsuccessful bidders will be returned as soon as practicable after bid opening.

10. Assurance of Completion

- (a) Unless otherwise provided in State law, the successful bidder shall furnish an assurance of completion prior to the execution of any contract under this solicitation. This assurance may be [Contracting Officer check applicable items] —
- [X] (1) a performance and payment bond in a penal sum of 100 percent of the contract price; or, as may be required or permitted by State law:
- [] (2) separate performance and payment bonds, each for 50 percent or more of the contract price;
- [] (3) a 20 percent cash escrow;
- [] (4) a 25 percent irrevocable letter of credit; or,
- [] (5) an irrevocable letter of credit for 10 percent of the total contract price with a monitoring and disbursements agreement with the IHA (applicable only to contracts awarded by an IHA under the Indian Housing Program).
- (b) Bonds must be obtained from guarantee or surety companies acceptable to the U.S. Government and authorized to do business in the state where the work is to be performed. Individual sureties will not be considered. U.S. Treasury Circular Number 570, published annually in the Federal Register, lists companies approved to act as sureties on bonds securing Government contracts, the maximum underwriting limits on each contract bonded, and the States in which the company is licensed to do business. Use of companies listed in this circular is mandatory. Copies of the circular may be downloaded on the U.S. Department of Treasury website http://www.fms.treas.gov/c570/index.html, or ordered for a minimum fee by contacting the Government Printing Office at (202) 512-2168.

- (c) Each bond shall clearly state the rate of premium and the total amount of premium charged. The current power of attorney for the person who signs for the surety company must be attached to the bond. The effective date of the power of attorney shall not precede the date of the bond. The effective date of the bond shall be on or after the execution date of the contract.
- (d) Failure by the successful bidder to obtain the required assurance of completion within the time specified, or within such extended period as the PHA/IHA may grant based upon reasons determined adequate by the PHA/IHA, shall render the bidder ineligible for award. The PHA/IHA may then either award the contract to the next lowest responsible bidder or solicit new bids. The PHA/IHA may retain the ineligible bidder's bid guarantee.

Preconstruction Conference (applicable to construction contracts)

After award of a contract under this solicitation and prior to the start of work, the successful bidder will be required to attend a preconstruction conference with representatives of the PHA/IHA and its architect/engineer, and other interested parties convened by the PHA/IHA. The conference will serve to acquaint the participants with the general plan of the construction operation and all other requirements of the contract (e.g., Equal Employment Opportunity, Labor Standards). The PHA/IHA will provide the successful bidder with the date, time, and place of the conference.

- 12. Indian Preference Requirements (applicable only if this solicitation is for a contract to be performed on a project for an Indian Housing Authority)
- (a) HUD has determined that the contract awarded under this solicitation is subject to the requirements of section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e(b)). Section 7(b) requires that any contract or subcontract entered into for the benefit of Indians shall require that, to the greatest extent feasible
- (1) Preferences and opportunities for training and employment (other than core crew positions; see paragraph (h) below) in connection with the administration of such contracts or subcontracts be given to qualified "Indians." The Act defines "Indians" to mean persons who are members of an Indian tribe and defines "Indian tribe" to mean any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians; and,
- (2) Preference in the award of contracts or subcontracts in connection with the administration of contracts be given to Indian organizations and to Indian-owned economic enterprises, as defined in section 3 of the Indian Financing Act of 1974 (25 U.S.C. 1452). That Act defines "economic enterprise" to mean any Indianowned commercial, industrial, or business activity established or organized for the purpose of profit, except that the Indian ownership must constitute not less than 51 percent of the enterprise; "Indian organization" to mean the governing body of any Indian tribe or entity established or recognized by such governing body; "Indian" to mean any person who is a member of any tribe, band, group, pueblo, or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs and any "Native" as defined in the Alaska Native Claims Settlement Act; and Indian "tribe" to mean any Indian tribe, band, group, pueblo, or community including Native villages and Native groups (including

Treasury website: https://www.fiscal.treasury.gov/surety-bonds/

- corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs.
- (b) (1) The successful Contractor under this solicitation shall comply with the requirements of this provision in awarding all subcontracts under the contract and in providing training and employment opportunities.
- (2) A finding by the IHA that the contractor, either (i) awarded a subcontract without using the procedure required by the IHA, (ii) falsely represented that subcontracts would be awarded to Indian enterprises or organizations; or, (iii) failed to comply with the contractor's employment and training preference bid statement shall be grounds for termination of the contract or for the assessment of penalties or other remedies.
- (c) If specified elsewhere in this solicitation, the IHA may restrict the solicitation to qualified Indian-owned enterprises and Indian organizations. If two or more (or a greater number as specified elsewhere in the solicitation) qualified Indian-owned enterprises or organizations submit responsive bids, award shall be made to the qualified enterprise or organization with the lowest responsive bid. If fewer than the minimum required number of qualified Indian-owned enterprises or organizations submit responsive bids, the IHA shall reject all bids and readvertise the solicitation in accordance with paragraph (d) below.
- (d) If the IHA prefers not to restrict the solicitation as described in paragraph (c) above, or if after having restricted a solicitation an insufficient number of qualified Indian enterprises or organizations submit bids, the IHA may advertise for bids from non-Indian as well as Indian-owned enterprises and Indian organizations. Award shall be made to the qualified Indian enterprise or organization with the lowest responsive bid if that bid is
- (1) Within the maximum HUD-approved budget amount established for the specific project or activity for which bids are being solicited; and
- (2) No more than the percentage specified in 24 CFR 905.175(c) higher than the total bid price of the lowest responsive bid from any qualified bidder. If no responsive bid by a qualified Indian-owned economic enterprise or organization is within the stated range of the total bid price of the lowest responsive bid from any qualified enterprise, award shall be made to the bidder with the lowest bid.
- (e) Bidders seeking to qualify for preference in contracting or subcontracting shall submit proof of Indian ownership with their bids. Proof of Indian ownership shall include but not be limited to:
- (1) Certification by a tribe or other evidence that the bidder is an Indian. The IHA shall accept the certification of a tribe that an individual is a member.
- (2) Evidence such as stock ownership, structure, management, control, financing and salary or profit sharing arrangements of the enterprise.

- (f) (1) All bidders must submit with their bids a statement describing how they will provide Indian preference in the award of subcontracts. The specific requirements of that statement and the factors to used by the IHA in determining the statement's adequacy are included as an attachment to this solicitation. Any bid that fails to include the required statement shall be rejected as nonresponsive. The IHA may require that comparable statements be provided by subcontractors to the successful Contractor, and may require the Contractor to reject any bid or proposal by a subcontractor that fails to include the statement.
- (2) Bidders and prospective subcontractors shall submit a certification (supported by credible evidence) to the IHA in any instance where the bidder or subcontractor believes it is infeasible to provide Indian preference in subcontracting. The acceptance or rejection by the IHA of the certification shall be final. Rejection shall disqualify the bid from further consideration.
- (g) All bidders must submit with their bids a statement detailing their employment and training opportunities and their plans to provide preference to Indians in implementing the contract; and the number or percentage of Indians anticipated to be employed and trained. Comparable statements from all proposed subcontractors must be submitted. The criteria to be used by the IHA in determining the statement(s)'s adequacy are included as an attachment to this solicitation. Any bid that fails to include the required statement(s), or that includes a statement that does not meet minimum standards required by the IHA shall be rejected as nonresponsive.
- (h) Core crew employees. A core crew employee is an individual who is a bona fide employee of the contractor at the time the bid is submitted; or an individual who was not employed by the bidder at the time the bid was submitted, but who is regularly employed by the bidder in a supervisory or other key skilled position when work is available. Bidders shall submit with their bids a list of all core crew employees.
- (i) Preference in contracting, subcontracting, employment, and training shall apply not only on-site, on the reservation, or within the IHA's jurisdiction, but also to contracts with firms that operate outside these areas (e.g., employment in modular or manufactured housing construction facilities).
- (j) Bidders should contact the IHA to determine if any additional local preference requirements are applicable to this solicitation.
- (k) The IHA [] does [] does not [Contracting Officer check applicable box] maintain lists of Indian-owned economic enterprises and Indian organizations by specialty (e.g., plumbing, electrical, foundations), which are available to bidders to assist them in meeting their responsibility to provide preference in connection with the administration of contracts and subcontracts.



FAIR HOUSING/ACCESSIBILITY NOTICE

A. SUBJECT:

Accessibility Notice: Section 504 of the Rehabilitation Act of 1973; the Americans with Disabilities Act of 1990; the Architectural Barriers Act of 1968 and the Fair Housing Act of 1988.

B. PURPOSE:

The purpose of this Notice is to remind recipients of Federal funds (in this instance, the Public Housing Authority) of their obligation to comply with pertinent laws and implementing regulations which provide for non-discrimination and accessibility in Federally funded housing and non-housing programs for people with disabilities.

C. NOTIFICATIONS:

Public housing agencies (PHAs) and other recipients of Federal PIH funds are responsible for providing this Notice to all current and future contractors participating in covered programs / activities or performing work covered under the above subject legislation and implementing regulations.

D. TO READ THE FULL TEXT OF THE NOTICE:

Go to www.kcha.org/business/requirements

Scroll down to Fair Housing Laws and Read: Fair Housing / Accessibility Notice



PRE-BID CONFERENCE

There will be a Pre-Bid Conference prior to the date of the bid opening for the purpose of providing a general discussion and review of any questions that might pertain to the bidding documents and procedures. All interested contractors are required to attend this meeting after reading the Project Manual. Please bring Project Manual and drawings, if any, to this conference. Project Manuals will be available for purchase at the Pre-Bid Conference. ATTENDANCE OF THE PRE-BID CONFERENCE IS REQUIRED FOR ACCEPTANCE OF BID FROM THE CONTRACTOR.

PROJECT SITE ADDRESS: Mardi Grase

24009 104th Ave. S.E. Kent, WA 98030

CONFERENCE DATE: April 14, 2022

TIME: 11:00 AM

CONTACT NAME: Don Hatfield

EMAIL: donaldh@kcha.org



PROJECT WAGE RATES

- A. DAVIS BACON WAGE RATES (NA)
- **B. NON-ROUTINE MAINTENANCE WAGES**

TYPE OF WAGE RATES: NON-ROUTINE MAINTENANCE

WAGE DATE: <u>01-01-21</u>

For contracts entered into pursuant to competitive bidding procedures, the bid opening date "locks-in" the Non-Routine Maintenance Wage Rates that will be used during the course of the project.

NOTE: The awarded bidder will be required to submit, along with other contractual documentation, Form C.9 Certification of Compliance with Washington State Wage Payment Statutes.



NON-ROUTINE MAINTENANCE WAGE RATES

	HOURLY WAGE RATES						
WORK CLASSIFICATIONS	BASIC WAGE	FRINGE BENEFITS	TOTAL				
Asphalt Painter	\$27.06	\$8.85	\$35.91				
Asphalt Raker	\$25.27	\$8.27	\$33.54				
Backhoe Operator	\$27.06	\$8.85	\$35.91				
Carpenter	\$32.02	\$10.49	\$42.51				
Cement Mason - Finisher	\$32.02	\$10.49	\$42.51				
Concrete Saw Operator	\$25.27	\$8.27	\$33.54				
Drywaller	\$27.06	\$8.85	\$35.91				
Electrician	\$32.02	\$10.49	\$42.51				
Elevator Mechanic	\$55.86	\$39.76	\$95.62				
Glazier	\$27.06	\$8.85	\$35.91				
HVAC/Furnace Mechanic	\$32.02	\$10.40	\$42.42				
Ironworker	\$43.95	\$31.00	\$74.95				
Laborer	\$25.27	\$8.27	\$33.54				
Low Voltage Technician	\$32.02	\$10.49	\$42.51				
Motor Grader	\$25.27	\$8.27	\$33.54				
Painter	\$27.06	\$8.85	\$35.91				
Paver/Roller/(Sakai Roller)	\$27.06	\$8.85	\$35.91				
Paving Machine Operator - Self Propelled	\$27.06	\$8.85	\$35.91				
Pipe Reliner	\$32.02	\$10.49	\$42.51				
Pipefitter	\$25.27	\$8.27	\$33.54				
Plumber	\$32.02	\$10.49	\$42.51				
Pressure Washer	\$19.78	\$6.30	\$26.08				
Refrigeration Mechanic	\$32.02	\$10.49	\$42.51				
Roofer	\$27.06	\$8.85	\$35.91				
Screedman	\$27.06	\$8.85	\$35.91				
Soft Floor Layer	\$25.27	\$8.27	\$33.54				
Tree Trimmer/Tree Climber	\$19.78	\$6.30	\$26.08				
Truck Driver all yardage	\$25.27	\$8.27	\$33.54				



TAX APPLICATIONS

TAX APPLICATIONS

If you have questions regarding the application of the retail sales tax exemption to the King County Housing Authority, please call your personal tax advisor or the Washington State Department of Revenue Office toll-free for one-on-one help: Telephone Information Center 1-800-647-7706.

WAC 458-20-17001

Government contracting -- Construction, installations, or improvements to government real property.

- (1) Special business and occupation tax applications and special sales/use tax applications pertain for prime and subcontractors who perform certain construction, installation, and improvements to real property of or for the United States, its instrumentalities, or a county or city housing authority created pursuant to chapter 35.82 RCW. These specific construction activities are excluded from the definition of "sale at retail" under RCW 82.04.050. All other sales to the United States, its agencies or instrumentalities are taxable as retail sales or wholesale sales, as appropriate. See WAC 458-20-190.
- (2) The definitions of terms and general provisions contained in WAC 458-20-170 apply equally for this rule, as appropriate. In addition, the terms, "clearing land" and "moving earth" include well drilling, core drilling, and hole digging, whether or not casing materials are installed and any grading or clearing of land, including the razing of buildings or other structures.

Business and Occupation Tax

- (3) Amounts derived from constructing, repairing, decorating, or improving new or existing buildings or other structures, including installing or attaching tangible personal property therein or thereto, and clearing land or moving earth, of or for the United States, its instrumentalities, or county or city housing authorities of chapter 35.82 RCW are taxable under the government contracting classification of business and occupation tax. The measure of the tax is the gross contract price.
- (4) Government contractors who manufacture or produce any tangible personal property for their own commercial or industrial use as consumers in performing government contracting activities are subject to the manufacturing classification of business and occupation tax measured by the value of the property manufactured or produced. See also, WAC 458-20-134. The manufacturing tax applies even though the property manufactured or produced for commercial use may be subsequently incorporated into buildings or other structures under the government contract and may thereby enhance the gross contract price.

Retail Sales Tax

(5) The retail sales tax does not apply to the gross contract price, or any part thereof, for any business activities taxable under the government contracting classification. Prime and subcontractors who perform such activities are themselves included within the statutory definition of "consumer" under RCW 82.04.190 and are required to pay retail sales tax upon all purchases of materials, including prefabricated and precast items, equipment, and other tangible personal property which is installed, applied, attached, or otherwise incorporated in their government contracting work. This applies for all such purchases of tangible personal property for installation, etc., even though the full purchase price of such property will be reimbursed by the government or housing authority in the gross contract price. It also applies notwithstanding that the contract may contain an immediate title vesting clause which provides that the title to the property vests in the government or housing authority immediately upon its acquisition by the contractor.

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(6) Also, the retail sales tax must be paid by government contractors upon their purchases and leases or rentals of tools, consumables, and other tangible personal property used by them as consumers in performing government contracting.

Use Tax

- (7) The use tax applies upon the value of all materials, equipment, and other tangible personal property purchased at retail, acquired as a bailee or donee, or manufactured or produced by the contractor for commercial or industrial use in performing government contracting and upon which no retail sales tax has been paid by the contractor, its bailor or donor.
- (8) Thus the use tax applies to all property provided by the federal government to the contractor for installation or inclusion in the contract work as well as to all government provided tooling.
- (9) The use tax is to be reported and paid by the government contractor who actually installs or applies the property to the contract. Where the actual installing contractor pays the tax, no further use tax is due upon such property by any other contractor.
- (10) Note to contractors: The United States Supreme Court has sustained the government contracting tax applications for this state, even though the ultimate economic burden of the tax is borne by the United States Government (Washington v. US, 75 L.Ed 2d 264, 1983).
- (11) This rule does not apply to public road construction. See WAC 458-20-171. [Statutory Authority: RCW 82.32.300. 86-10-016 (Order ET 86-9), § 458-20-17001, filed 5/1/86.]



SECTION 3 - CLAUSE

- A. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3) as implemented by HUD under 24 CFR Part 75 (collectively, the "Section 3 Regulations"). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD- assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, including persons who are recipients of HUD assistance for housing, with preference for both targeted workers living in the service area or neighborhood of the Development and YouthBuild participants.
- B. The parties to this contract agree to comply with Section 3 Regulations. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual obligation or other impediment that would prevent them from complying with Section 3 Regulations.
- C. The Contractor agrees to send to each labor organization or representative of workers with which the Contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the Contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- D. The Contractor agrees to include this Section 3 clause in every subcontract subject to compliance with Section 3 Regulations, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of Section 3 Regulations. The Contractor will not subcontract with any subcontractor where the Contractor has notice or knowledge that the subcontractor has been found in violation of Section 3 Regulations.
- E. The Contractor will certify that any vacant employment positions, including training positions, that are filled; (1) after the Contractor is selected but before the contract is executed, and (2) with persons other than those to whom Section 3 Regulations require employment opportunities to be directed, were not filled to circumvent the Contractor's obligations under Section 3 Regulations.
- F. Noncompliance with HUD's Section 3 Regulations may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- G. Section 3 Employment and Training. Without limiting Contractor's obligation to comply with Section 3 Regulations, the Contractor specifically agrees to use best efforts to provide employment and training opportunities to Section 3 workers in the following order of priority:
 - 1. To residents of the KCHA development where the work is being performed;
 - 2. To residents of other KCHA developments or for residents of Section 8-assisted housing managed by KCHA;
 - 3. To participants in YouthBuild programs; and

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- 4. To low- and very low-income persons residing within the Puget Sound Region.
- H. Section 3 Contracting. Without limiting Contractor's obligation to comply with Section 3 Regulations, Contractor specifically agrees to use best efforts to award contracts and subcontracts to business concerns that provide economic opportunities to Section 3 workers in the following order of priority:
 - 1. To Section 3 business concerns that provide economic opportunities for KCHA residents of the development where the work is being performed;
 - 2. To Section 3 business concerns that provide economic opportunities for KCHA residents of other KCHA developments or Section–8 assisted housing managed by KCHA;
 - 3. To YouthBuild programs; and
 - 4. To Section 3 business concerns that provide economic opportunities to Section 3 workers residing within the Puget Sound Region.



SECTION 3 – SUPPLEMENTAL INSTRUCTIONS TO BIDDERS

LOCAL RESIDENT HIRING AND CONTRACTING (SECTION 3) REQUIREMENTS:

The Owner's or King County Housing Authority's (KCHA) goal for this project is to participate in Section 3 activities by including efforts that will provide employment opportunities to Section 3 workers and contracting opportunities to Section 3 businesses. (Section 3 workers and Section 3 Businesses are defined below and in 24 CFR 75.)

The Contractor and its Subcontractors at all tiers for this specific contract will partner with the Owner to contribute to the Owner's overall "Section 3" goals, as described below.

Because local hiring and contracting requirements are defined under Section 3 of the Housing and Community Development Act of 1968, these requirements are commonly referred to as Section 3. The definitions and goals are defined in Sections A and B below. Section C describes the process. Section D discusses consequences of non-compliance with Section 3 goals and Section E describes somelocal hiring resources. For more information on the Owner's employment and training efforts, or compliance with Section 3, please contact KCHA by email at Section3@kcha.org.

A. Section 3 Definitions

For the purposes of this solicitation:

- 1. "Section 3 worker" means any worker who currently fits or when hired within the past five years fit at least one of the following categories, as documented:
 - a. The worker's income for the previous or annualized calendar year is below the income limit established by HUD. (See Pg. 4 of this section for HUD income limits)
 - b. The worker is employed by a Section 3 business concern.
 - c. The worker is a YouthBuild participant.
- 2. "Targeted Section 3 worker" means a Section 3 worker who is:
 - a. A worker employed by a Section 3 business concern; or
 - b. A worker who currently fits or when hired fit at least one of the following categories, as documented within the past five years:
 - i. A resident of public housing or Section 8–assisted housing;
 - ii. A resident of other public housing projects or Section 8-assisted housing managed by the PHA that is providing the assistance; or
 - iii. A YouthBuild participant.
- 3. "Business concern" means a business entity formed in accordance with State law, and which is licensed under State, county, or municipal law to engage in the type of business activity for which it was formed.
- 4. "Section 3 business concern" means a business concern meeting at least one of the following criteria, documented within the last six-month period:
 - a. It is at least 51 percent owned and controlled by low- or very low-income persons;
 - b. Over 75 percent of the labor hours performed for the business over the prior three-month period are performed by Section 3 workers; or
 - c. It is a business at least 51 percent owned and controlled by current public housing residents or residents who currently live in Section 8–assisted housing.
- 5. The greatest extent feasible means:
 - a. Completing and submitting a Section 3 Work Plan to designated Owner staff prior to contract signing (template to be provided by the Owner).
 - b. If contracting with Section 3 business concerns:



- i. Placing qualified business enterprises on solicitation lists.
- ii. Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation of qualified Section 3 businesses.
- iii. Using the services and assistance of the U.S. Small Business Administration, the Minority Business Development Agency of the U.S. Department of Commerce and State and local governmental small business agencies to identify potential Section 3 businesses.
- iv. Ensuring that small and minority businesses and women's business enterprises are solicited whenever they are potential sources.
- c. If hiring Section 3 workers:
 - i. Post job opportunities for a mutually agreed upon length of time through the Owner's employment agency service partners and at project site as appropriate.
 - ii. Conduct interviews with qualified Section 3 workers.
 - iii. Notify designated Owner (KCHA) staff of all new hires.
- d. For both: Complete Section 3 compliance and tracking paperwork as necessary.

B. Section 3 Goals

The Owner will require, to the greatest extent feasible, for the Contractor to demonstrate participation in the local hiring and contracting requirements as defined under Section 3 of the Housing and Community Development Act of 1968.

- 1. Bidders shall demonstrate compliance with the Section 3 goals by making a best faith effort to achieve the following benchmarks:
 - a. Twenty-five (25) percent or more of the total number of labor hours worked by all workers are Section 3 workers; and
 - b. Five (5) percent or more of the total number of labor hours worked by all workers are Targeted Section 3 workers.
- 2. The successful bidder and covered subcontractors shall direct their efforts to provide Section 3 employment opportunities to Section 3 workers in the following order of priority:
 - a. First Priority: Current residents of KCHA development(s) benefitting from project.
 - b. Second Priority: Other Owner public housing and Section 8 voucher- assisted residents.
 - c. Third Priority: Participants in HUD Youthbuild programs.
 - d. Fourth Priority: Other low or very low income individuals in the Housing Authorities metropolitan area (Puget Sound region) who are at or below the Area's Low Income calculation.
- 3. The Contractor and covered subcontractors shall direct their efforts to award contracts to Section 3 business concerns in the following order of priority:
 - a. First Priority: To Section 3 business concern that provides economic opportunities for KCHA residents at the site(s) where the work will take place.
 - b. Second Priority: To Section 3 business concerns that provide economic opportunities for residents of other KCHA developments or Section–8 assisted housing managed by KCHA.
 - c. Third Priority: A subcontractor that is a HUD Youthbuild company.
 - d. Fourth Priority: To Section 3 business concerns that provide economic opportunities to Section 3 workers residing within the metropolitan area (Puget Sound).

4. Sealed Bidding

In order for KCHA to meet or exceed its adopted goal that 3% of all non-construction contracts and 10% of construction contracts paid in whole or in part with HUD funds be awarded to Section 3 businesses, KCHA may elect, on a contract-by-contract basis, to award a competitively bid contract to a responsible bidder other than the lowest responsive bidder by using the following procedure:



Bids shall be solicited from both Section 3 and non-Section 3 business concerns. KCHA may award the contract to the qualified Section 3 business concern with the highest priority ranking and with the lowest responsive bid if:

- a. the specific project or KCHA as an agency is otherwise not expected to meet Section 3 utilization goals; and,
- b. the bid is within the maximum total contract price established in KCHA's budget for the specific project for which bids are being taken; and,
- c. the sources of funds for the project are such that there are no conflicts between this procedure and applicable state law; and,
- d. the bid is not more than "X" higher than the total bid price for the lowest responsive bid from any responsible, bidder. "X" is determined as follows:

(FOR KCHA USE ONLY)	X = LESSER OF:
• When the lowest responsive bid is less than \$100,000	10%
• When the lowest responsive bid is: \$100,000, but less than \$200,000 At least \$200,000 but less than \$300,000 At least \$300,000 but less than \$400,000 At least \$400,000 but less than \$500,000 At least \$500,000 but less than \$1 million At least \$1 million but less than \$2 million At least \$2 million but less than \$4 million At least \$4 million but less than \$7 million \$7 million or more	9% 8% 7% 6% 5% 4% 3% 2% 1 ½ % of the lowest responsive bid with no dollar limit

If no responsive bid by a Section 3 business concern meets the requirements above, the contract shall be awarded to a responsible bidder with the lowest responsive bid.

C. The Process

- 1. Contract is awarded to lowest responsible Bidder.
- 2. Section 3 orientation with Owner. Once the Notice of Intent to Award has been issued to the successful Bidder, Owner's staff will contact that Bidder and arrange for a meeting to discuss local hiring and contracting goals and strategies in greater detail. At this meeting, the Contractor will be provided a packet that will include a Section 3 overview, Section 3 certification form, and all Section 3 compliance and tracking forms that will be used throughout the contract.
- 3. Contractor reports on Section 3 activities monthly.

FOR CONTRACTS EXCEEDING \$500K ONLY:

- 4. Contractor submits Section 3 Work Plan, including hiring and subcontracting activities, prior to contract execution. Owner reviews and approves work plan prior to contract execution. Section 3 Work Plan shall be included in contract. Owner issues Notice to Proceed, providing all requirements are met.
- 5. Section 3 Work Plan implemented throughout the duration of contract.



D. Penalties for Non-compliance

Owner's commitment to this program is reflected in part by the cost of administering the program. Failure to make a good faith effort to the greatest extent feasible negates such funding and impairs the Owner's efforts to promote workforce diversity and to provide fair and equal opportunities to the public as a whole as a result of the expenditure of public funds. Therefore, if awarded this contract, the parties will mutually agree that failure to meet the requirements, including but not limited to the submission of required documentation, constitutes a material breach of contract. In the event of such breach, Owner may take any or all of the actions as contained in the Contract Documents.

E. Local Hiring Resources

Contact KCHA by email at Section3@kcha.org to obtain a list of local hiring resources.

SECTION 3 – 2021 INCOME GUIDELINES

Location	Breme Silverdale Cour	(Kitsap	Everett Tacoma (King/Spohomish (Pierce County)		Everett (King/Snohomish			Sedro Woolley (Skagit County)		
Income	Extremely Low	\$19,800		Extremely Low	\$24,300		Extremely Low	\$19,100	Extremely Low	\$17,300
Limit 1 Person	Very low income	\$23,950		Very low income	\$40,500		Very low income	\$31,800	Very low income	\$28,850
	Low income	\$52,750		Low income	\$63,350		Low income	\$50,900	Low income	\$46,100



PROGRESS PAYMENT SUSPENSION CRITERIA

CRITERIA will include:

- 1. Non-submittal of Certified Payroll documents (see Informational Form A.12)
- 2. Non-submittal of Section 3 Labor Hours Benchmark Status Report (see Section C for sample)
- 3. No lien release with Application for Payment
- 4. Insurance expires
- 5. Federal and/or State liens on general contractor
- 6. Suspension/expiration of WA State contractor's license
- 7. Work not accomplished
- 8. Work not approved/accepted
- 9. Repeated safety violations not resolved if warnings from KCHA are ignored
- 10. Incorrect Application for Payment or invoice (whichever is applicable)
- 11. Non-submittal of the GC Certification Upon Application for Payment (see Section E, Division 1 for sample of KCHA Pay Application which includes the continuation sheet, the payment application and the GC Certification)



EXECUTIVE ORDER 11246 (as AMENDED)

---DISCLAIMER--- http://www.dol.gov/general/disclaim#statutory

Executive Order 11246 - Equal Employment Opportunity

SOURCE: The provisions of Executive Order 11246 of Sept. 24, 1965, appear at 30 FR 12319, 12935, 3 CFR, 1964-1965 Comp., p.339, unless otherwise noted.

Under and by virtue of the authority vested in me as President of the United States by the Constitution and statutes of the United States, it is ordered as follows:

Part I - Nondiscrimination in Government Employment

[Part I superseded by EO 11478 of Aug. 8, 1969, 34 FR 12985, 3 CFR, 1966-1970 Comp., p. 803]

Part II - Nondiscrimination in Employment by Government Contractors and Subcontractors Subpart A - Duties of the Secretary of Labor

SEC. 201.The Secretary of Labor shall be responsible for the administration and enforcement of Parts II and III of this Order. The Secretary shall adopt such rules and regulations and issue such orders as are deemed necessary and appropriate to achieve the purposes of Parts II and III of this Order.

[Sec. 201 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

Subpart B - Contractors' Agreements

SEC. 202. Except in contracts exempted in accordance with Section 204 of this Order, all Government contracting agencies shall include in every Government contract hereafter entered into the following provisions:

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of this nondiscrimination clause.
- (2) The contractor will, in all solicitations or advancements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.
- (3) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer, advising the labor union or workers' representative of the contractor's commitments under Section 202 of Executive Order No. 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- (4) The contractor will comply with all provisions of Executive Order No. 11246 of Sept. 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

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- (5) The contractor will furnish all information and reports required by Executive Order No. 11246 of September 24, 1965, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the contracting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- (6) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of such rules, regulations, or orders, this contract may be cancelled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order No. 11246 of Sept. 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order No. 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- (7) The contractor will include the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order No. 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as may be directed by the Secretary of Labor as a means of enforcing such provisions including sanctions for noncompliance: Provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the contractor may request the United States to enter into such litigation to protect the interests of the United States."

[Sec. 202 amended by EO 11375 of Oct. 13, 1967, 32 FR 14303, 3 CFR, 1966-1970 Comp., p. 684, EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

- **SEC. 203.** Each contractor having a contract containing the provisions prescribed in Section 202 shall file, and shall cause each of his subcontractors to file, Compliance Reports with the contracting agency or the Secretary of Labor as may be directed. Compliance Reports shall be filed within such times and shall contain such information as to the practices, policies, programs, and employment policies, programs, and employment statistics of the contractor and each subcontractor, and shall be in such form, as the Secretary of Labor may prescribe.
- (b) Bidders or prospective contractors or subcontractors may be required to state whether they have participated in any previous contract subject to the provisions of this Order, or any preceding similar Executive order, and in that event to submit, on behalf of themselves and their proposed subcontractors, Compliance Reports prior to or as an initial part of their bid or negotiation of a contract.
- (c) Whenever the contractor or subcontractor has a collective bargaining agreement or other contract or understanding with a labor union or an agency referring workers or providing or supervising apprenticeship or training for such workers, the Compliance Report shall include such information as to such labor union's or agency's practices and policies affecting compliance as the Secretary of Labor may prescribe: Provided, That to the extent such information is within the exclusive possession of a labor union or an agency referring workers or providing or supervising apprenticeship or training and such labor union or agency shall refuse to furnish such information to the contractor, the contractor shall so certify to the Secretary of Labor as part of its Compliance Report and shall set forth what efforts he has made to obtain such information.
- (d) The Secretary of Labor may direct that any bidder or prospective contractor or subcontractor shall submit, as part of his Compliance Report, a statement in writing, signed by an authorized officer or agent on behalf of any labor union or any agency referring workers or providing or supervising apprenticeship or other training, with which the bidder or prospective contractor deals, with supporting information, to the effect that the signer's practices and policies do not discriminate on the grounds of race, color, religion, sex or national origin, and that the signer either will affirmatively cooperate in the implementation of the policy and provisions of this Order or that it consents and agrees that recruitment, employment, and the terms and conditions of employment under the proposed contract shall be in accordance with the purposes and

King County Housing

KCHA – MARDI GRAS WASTE LINES CAPITAL CONSTRUCTION DEPARTMENT

provisions of the order. In the event that the union, or the agency shall refuse to execute such a statement, the Compliance Report shall so certify and set forth what efforts have been made to secure such a statement and such additional factual material as the Secretary of Labor may require.

[Sec. 203 amended by EO 11375 of Oct. 13, 1967, 32 FR 14303, 3 CFR, 1966-1970 Comp., p. 684; EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

- **SEC. 204** (a) The Secretary of Labor may, when the Secretary deems that special circumstances in the national interest so require, exempt a contracting agency from the requirement of including any or all of the provisions of Section 202 of this **Order** in any specific contract, subcontract, or purchase **order**.
- (b) The Secretary of Labor may, by rule or regulation, exempt certain classes of contracts, subcontracts, or purchase orders (1) whenever work is to be or has been performed outside the United States and no recruitment of workers within the limits of the United States is involved; (2) for standard commercial supplies or raw materials; (3) involving less than specified amounts of money or specified numbers of workers; or (4) to the extent that they involve subcontracts below a specified tier.
- (c) Section 202 of this **Order** shall not apply to a Government contractor or subcontractor that is a religious corporation, association, educational institution, or society, with respect to the employment of individuals of a particular religion to perform work connected with the carrying on by such corporation, association, educational institution, or society of its activities. Such contractors and subcontractors are not exempted or excused from complying with the other requirements contained in this **Order**.
- (d) The Secretary of Labor may also provide, by rule, regulation, or **order**, for the exemption of facilities of a contractor that are in all respects separate and distinct from activities of the contractor related to the performance of the contract: provided, that such an exemption will not interfere with or impede the effectuation of the purposes of this **Order**: and provided further, that in the absence of such an exemption all facilities shall be covered by the provisions of this **Order**."

[Sec. 204 amended by EO 13279 of Dec. 16, 2002, 67 FR 77141, 3 CFR, 2002 Comp., p. 77141 - 77144]

Subpart C - Powers and Duties of the Secretary of Labor and the Contracting Agencies

SEC. 205. The Secretary of Labor shall be responsible for securing compliance by all Government contractors and subcontractors with this Order and any implementing rules or regulations. All contracting agencies shall comply with the terms of this Order and any implementing rules, regulations, or orders of the Secretary of Labor. Contracting agencies shall cooperate with the Secretary of Labor and shall furnish such information and assistance as the Secretary may require.

[Sec. 205 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

- **SEC. 206.** The Secretary of Labor may investigate the employment practices of any Government contractor or subcontractor to determine whether or not the contractual provisions specified in Section 202 of this Order have been violated. Such investigation shall be conducted in accordance with the procedures established by the Secretary of Labor.
- (b) The Secretary of Labor may receive and investigate complaints by employees or prospective employees of a Government contractor or subcontractor which allege discrimination contrary to the contractual provisions specified in Section 202 of this Order.

[Sec. 206 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

SEC. 207. The Secretary of Labor shall use his/her best efforts, directly and through interested Federal, State, and local agencies, contractors, and all other available instrumentalities to cause any labor union

King County Housing Authority

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engaged in work under Government contracts or any agency referring workers or providing or supervising apprenticeship or training for or in the course of such work to cooperate in the implementation of the purposes of this Order. The Secretary of Labor shall, in appropriate cases, notify the Equal Employment Opportunity Commission, the Department of Justice, or other appropriate Federal agencies whenever it has reason to believe that the practices of any such labor organization or agency violate Title VI or Title VII of the Civil Rights Act of 1964 or other provision of Federal law.

[Sec. 207 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

SEC. 208. The Secretary of Labor, or any agency, officer, or employee in the executive branch of the Government designated by rule, regulation, or order of the Secretary, may hold such hearings, public or private, as the Secretary may deem advisable for compliance, enforcement, or educational purposes.

(b) The Secretary of Labor may hold, or cause to be held, hearings in accordance with Subsection of this Section prior to imposing, ordering, or recommending the imposition of penalties and sanctions under this Order. No order for debarment of any contractor from further Government contracts under Section 209(6) shall be made without affording the contractor an opportunity for a hearing.

Subpart D - Sanctions and Penalties

SEC. 209. In accordance with such rules, regulations, or orders as the Secretary of Labor may issue or adopt, the Secretary may:

- (1) Publish, or cause to be published, the names of contractors or unions which it has concluded have complied or have failed to comply with the provisions of this Order or of the rules, regulations, and orders of the Secretary of Labor.
- (2) Recommend to the Department of Justice that, in cases in which there is substantial or material violation or the threat of substantial or material violation of the contractual provisions set forth in Section 202 of this Order, appropriate proceedings be brought to enforce those provisions, including the enjoining, within the limitations of applicable law, of organizations, individuals, or groups who prevent directly or indirectly, or seek to prevent directly or indirectly, compliance with the provisions of this Order.
- (3) Recommend to the Equal Employment Opportunity Commission or the Department of Justice that appropriate proceedings be instituted under Title VII of the Civil Rights Act of 1964.
- (4) Recommend to the Department of Justice that criminal proceedings be brought for the furnishing of false information to any contracting agency or to the Secretary of Labor as the case may be.
- (5) After consulting with the contracting agency, direct the contracting agency to cancel, terminate, suspend, or cause to be cancelled, terminated, or suspended, any contract, or any portion or portions thereof, for failure of the contractor or subcontractor to comply with equal employment opportunity provisions of the contract. Contracts may be cancelled, terminated, or suspended absolutely or continuance of contracts may be conditioned upon a program for future compliance approved by the Secretary of Labor.
- (6) Provide that any contracting agency shall refrain from entering into further contracts, or extensions or other modifications of existing contracts, with any noncomplying contractor, until such contractor has satisfied the Secretary of Labor that such contractor has established and will carry out personnel and employment policies in compliance with the provisions of this Order.
- (b) Pursuant to rules and regulations prescribed by the Secretary of Labor, the Secretary shall make reasonable efforts, within a reasonable time limitation, to secure compliance with the contract provisions of this Order by methods of conference, conciliation, mediation, and persuasion before proceedings shall be instituted under subsection (a)(2) of this Section, or before a contract shall be cancelled or terminated in whole or in part under subsection (a)(5) of this Section.



[Sec. 209 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

SEC. 210. Whenever the Secretary of Labor makes a determination under Section 209, the Secretary shall promptly notify the appropriate agency. The agency shall take the action directed by the Secretary and shall report the results of the action it has taken to the Secretary of Labor within such time as the Secretary shall specify. If the contracting agency fails to take the action directed within thirty days, the Secretary may take the action directly.

[Sec. 210 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p 230]

SEC. 211. If the Secretary shall so direct, contracting agencies shall not enter into contracts with any bidder or prospective contractor unless the bidder or prospective contractor has satisfactorily complied with the provisions of this Order or submits a program for compliance acceptable to the Secretary of Labor. [Sec. 211 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

SEC. 212. When a contract has been cancelled or terminated under Section 209(a)(5) or a contractor has been debarred from further Government contracts under Section 209(a)(6) of this Order, because of noncompliance with the contract provisions specified in Section 202 of this Order, the Secretary of Labor shall promptly notify the Comptroller General of the United States.

[Sec. 212 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

Subpart E - Certificates of Merit

SEC. 213. The Secretary of Labor may provide for issuance of a United States Government Certificate of Merit to employers or labor unions, or other agencies which are or may hereafter be engaged in work under Government contracts, if the Secretary is satisfied that the personnel and employment practices of the employer, or that the personnel, training, apprenticeship, membership, grievance and representation, upgrading, and other practices and policies of the labor union or other agency conform to the purposes and provisions of this Order.

SEC. 214. Any Certificate of Merit may at any time be suspended or revoked by the Secretary of Labor if the holder thereof, in the judgment of the Secretary, has failed to comply with the provisions of this Order.

SEC. 215. The Secretary of Labor may provide for the exemption of any employer, labor union, or other agency from any reporting requirements imposed under or pursuant to this Order if such employer, labor union, or other agency has been awarded a Certificate of Merit which has not been suspended or revoked.

Part III - Nondiscrimination Provisions in Federally Assisted Construction Contracts

SEC. 301. Each executive department and agency, which administers a program involving Federal financial assistance shall require as a condition for the approval of any grant, contract, loan, insurance, or guarantee thereunder, which may involve a construction contract, that the applicant for Federal assistance undertake and agree to incorporate, or cause to be incorporated, into all construction contracts paid for in whole or in part with funds obtained from the Federal Government or borrowed on the credit of the Federal Government pursuant to such grant, contract, loan, insurance, or guarantee, or undertaken pursuant to any Federal program involving such grant, contract, loan, insurance, or guarantee, the provisions prescribed for Government contracts by Section 202 of this Order or such modification thereof, preserving in substance the contractor's obligations thereunder, as may be approved by the Secretary of Labor, together with such additional provisions as the Secretary deems appropriate to establish and protect the interest of the United States in the enforcement of those obligations. Each such applicant shall also undertake and agree (1) to assist and cooperate actively with the Secretary of Labor in obtaining the compliance of contractors and subcontractors with those contract provisions and with the rules, regulations and relevant orders of the Secretary, (2) to obtain and to furnish to the Secretary of Labor such information as the Secretary may require



for the supervision of such compliance, (3) to carry out sanctions and penalties for violation of such obligations imposed upon contractors and subcontractors by the Secretary of Labor pursuant to Part II, Subpart D, of this Order, and (4) to refrain from entering into any contract subject to this Order, or extension or other modification of such a contract with a contractor debarred from Government contracts under Part II, Subpart D, of this Order.

[Sec. 301 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

- **SEC. 302.**"Construction contract" as used in this Order means any contract for the construction, rehabilitation, alteration, conversion, extension, or repair of buildings, highways, or other improvements to real property.
- (b) The provisions of Part II of this Order shall apply to such construction contracts, and for purposes of such application the administering department or agency shall be considered the contracting agency referred to therein.
- (c) The term "applicant" as used in this Order means an applicant for Federal assistance or, as determined by agency regulation, other program participant, with respect to whom an application for any grant, contract, loan, insurance, or guarantee is not finally acted upon prior to the effective date of this Part, and it includes such an applicant after he/she becomes a recipient of such Federal assistance.
- **SEC. 303.** The Secretary of Labor shall be responsible for obtaining the compliance of such applicants with their undertakings under this Order. Each administering department and agency is directed to cooperate with the Secretary of Labor and to furnish the Secretary such information and assistance as the Secretary may require in the performance of the Secretary's functions under this Order.
- (b) In the event an applicant fails and refuses to comply with the applicant's undertakings pursuant to this Order, the Secretary of Labor may, after consulting with the administering department or agency, take any or all of the following actions: (1) direct any administering department or agency to cancel, terminate, or suspend in whole or in part the agreement, contract or other arrangement with such applicant with respect to which the failure or refusal occurred; (2) direct any administering department or agency to refrain from extending any further assistance to the applicant under the program with respect to which the failure or refusal occurred until satisfactory assurance of future compliance has been received by the Secretary of Labor from such applicant; and (3) refer the case to the Department of Justice or the Equal Employment Opportunity Commission for appropriate law enforcement or other proceedings.
- (c) In no case shall action be taken with respect to an applicant pursuant to clause (1) or (2) of subsection (b) without notice and opportunity for hearing.

[Sec. 303 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

SEC. 304. Any executive department or agency which imposes by rule, regulation, or order requirements of nondiscrimination in employment, other than requirements imposed pursuant to this Order, may delegate to the Secretary of Labor by agreement such responsibilities with respect to compliance standards, reports, and procedures as would tend to bring the administration of such requirements into conformity with the administration of requirements imposed under this Order: Provided, That actions to effect compliance by recipients of Federal financial assistance with requirements imposed pursuant to Title VI of the Civil Rights Act of 1964 shall be taken in conformity with the procedures and limitations prescribed in Section 602 thereof and the regulations of the administering department or agency issued thereunder.

Part IV - Miscellaneous

SEC. 401. The Secretary of Labor may delegate to any officer, agency, or employee in the Executive branch of the Government, any function or duty of the Secretary under Parts II and III of this Order.



[Sec. 401 amended by EO 12086 of Oct. 5, 1978, 43 FR 46501, 3 CFR, 1978 Comp., p. 230]

SEC. 402. The Secretary of Labor shall provide administrative support for the execution of the program known as the "Plans for Progress."

SEC. 403. Executive Orders Nos. 10590 (January 19, 1955), 10722 (August 5, 1957), 10925 (March 6, 1961), 11114 (June 22, 1963), and 11162 (July 28, 1964), are hereby superseded and the President's Committee on Equal Employment Opportunity established by Executive Order No. 10925 is hereby abolished. All records and property in the custody of the Committee shall be transferred to the Office of Personnel Management and the Secretary of Labor, as appropriate.

(b) Nothing in this Order shall be deemed to relieve any person of any obligation assumed or imposed under or pursuant to any Executive Order superseded by this Order. All rules, regulations, orders, instructions, designations, and other directives issued by the President's Committee on Equal Employment Opportunity and those issued by the heads of various departments or agencies under or pursuant to any of the Executive orders superseded by this Order, shall, to the extent that they are not inconsistent with this Order, remain in full force and effect unless and until revoked or superseded by appropriate authority. References in such directives to provisions of the superseded orders shall be deemed to be references to the comparable provisions of this Order.

[Sec. 403 amended by EO 12107 of Dec. 28, 1978, 44 FR 1055, 3 CFR, 1978 Comp., p, 264]

SEC. 404. The General Services Administration shall take appropriate action to revise the standard Government contract forms to accord with the provisions of this Order and of the rules and regulations of the Secretary of Labor.

SEC. 405. This Order shall become effective thirty days after the date of this Order.



EXECUTIVE ORDER 13496

New Employee Notification Requirements for Federal Contractors and Subcontractors

Under Department of Labor regulations, www.gpo.gov/fdsys/pkg/FR-2010-05-20/pdf/2010-11639.pdf, contractors holding contracts with the Federal government and their subcontractors are required, beginning on June 21, 2010, to post notices informing employees of their rights under the National Labor Relations Act (NLRA). The notice to employees required by the regulations inform employees about their rights under the NLRA to form, join and assist a union and to bargain collectively with their employer; provides examples of unlawful employer and union conduct that interferes with those rights; and indicates how employees can contact the National Labor Relations Board, the Federal agency that enforces those rights, with questions or to file complaints. Contractors that violate the Labor Department's regulations requiring employee notification of these rights may be subject to sanctions, including suspension or cancellation of the contract.

The regulations require Federal contractors:

- to post the required employee notice conspicuously in and around their plants and offices so that it is prominent and readily seen by employees who are covered by the NLRA and who engage in contract-related activity;
- 2. to post the required notice electronically if they communicate with employees electronically, which requires posting a link to the Department of Labor's website containing the employee notice where they customarily place other electronic notices to employees about their jobs; and
- 3. to insert provisions in their subcontracts that require their subcontractors to comply with the same posting requirements as well.

Contractors and subcontractors may obtain the required poster in any of the three ways. The Labor Department will print posters and provide them to Federal contracting departments and agencies for supply to contractors and subcontractors. In addition, contractors and subcontractors can request posters from the field offices of the Labor Department's Office of Federal Contract Compliance Programs (http://www.dol.gov/ofccp/contacts/ofnation2.htm), or Office of Labor-Management Standards (OLMS) (http://www.dol.gov/olms/contacts/lmskeyp.htm). Finally, contractors and subcontractors can acquire the poster from OLMS' website by downloading it from http://www.dol.gov/olms or by calling (202) 693-0123. Compliance information for contractors and subcontractors can be found at OFCCP's website Construction Compliance Checks Frequently Asked Questions | U.S. Department of Labor (dol.gov)

EXECUTIVE ORDER 13496 - FREQUENTLY ASKED QUESTIONS

Executive Order 13496 Frequently Asked Questions | U.S. Department of Labor (dol.gov)



REQUIREMENTS FOR PUBLIC WORKS PROJECTS

REQUIREMENTS FOR PUBLIC WORKS PROJECTS – All projects require that the contractor and all subcontractors performing labor on the project site must file the Statement of Intent with L&I regardless of the wage determination is set as HUD Non-Routine Maintenance, State Prevailing wages or Davis-Bacon.

Statement of Intent to Pay Prevailing Wages - filed at the start of the project

- A. **Filed Immediately** after the contract is awarded and before work begins, if that is possible. **NO PAYMENTS CAN BE MADE** until the contractor has submitted an Intent form that has been approved by the Industrial Statistician.
- B. SUBCONTRACTORS must file using the PRIME CONTRACTOR'S "Form ID Number" after the PRIME has received approval for their Statement of Intent.
- C. Wage payment requirements for this project are determined to be
 - 1. Davis-Bacon (NA)

2. HUD Non-Routine Maintenance

- a. The Intent is then filed with the question "Is this a Housing Act of 1937 Project?" answered as Yes.
- b. See the Informational Form A.13b for the sample of Intent to Pay Prevailing Wages with the highlighted statement shown on the form.

Certified Payroll - filed each week for the duration of the project

- A. Submitted on a weekly basis, beginning with the first week that the Contractor works on the Project, and for every week afterward until the Contractor completes the Work.
- B. Consisting of a certified payroll report and a statement of compliance.
- C. See Informational Form A.13c for more information.

 NOTE: These requirements will also apply to HUD Non-Routine Maintenance Wages.

Affidavit of Wages Paid - filed at the end of the project

A. Submitted at the end of the project once all of the work has been completed, showing the wages paid to employees who worked on the project.

SCREEN SHOTS OF INTENT

File Intent: Enter the Project Details

STEP 1
Select
Contract Type

STEP 2
Project Details
STEP 3
Intent Details
STEP 4
Add Wages
STEP 5
Review Intent
Details

Project Details	
Contract Type	Bid-Build (Traditional)
Bid Due Date (required) (i)	mm/dd/yyyy
Contract Award Date (required) (i)	mm/dd/yyyy
Awarding Agency	KING COUNTY HOUSING AUTHORITY
Awarding Agency Address	700 ANDOVER PARK SW TUKWILA, WA – 98188
Awarding Agency Contact Name (required)	
awarding Agency Contact Phone Number (required)	Ext
warding Agency Contract Number (required) (1)	
roject Name (<mark>required)</mark>	
s apprentice utilization required? (required)	○ Yes ○ No
s OMWBE utilization required? (required)	○ Yes ○ No
s this a Housing Act of 1937 project? (required)	○ Yes ○ No
Project Site Address or Directions 📵	





Prevailing Wage Section - Telephone 360-902-5335 PO 8ox 44540, Olympia, WA 98504-4540

Statement of Intent to Pay Prevailing Wage

This is a <u>Housing Act of 1937</u> project per <u>24 CFR 96-101</u> and is exempt from the state prevailing wage rates but not exempt from the application of other prevailing wage requirements under the Washington State laws, rules and policies.

	Document Received Date:	Intent ld:	Affidavits:	Status:
Сон	πpany Detaìls			
Comp	any X	UB	:123 456 789	
123 S	omewhere	Reg	#: companyx122	
Rento	n, WA 98111	Em	ail:puppies@yahoo.com	
			d By: Pup Pies	
	BE Certifications as of 2/26/2020 active certifications exist for this business.			
140	active certifications exist for this business.			
Worke	ers' Compensation Account 1D	688	3,825-01	
Pri	me Contractor			
Comp	any Name	Co	mpany X	
Contr	actor Registration No.	con	npanyx122	
WA U	Bi No.	123	3 456 789	
Phone	e Number	200	6-555-1212	
Pro	eject Information			
Awar	ding Agency	KIN	NG COUNTY HOUSING AUTHORITY	
Awar	ding Agency Contact Name	An	ny Kurtz	
Awar	ding Agency Contact Phone Number	20	6-574-1283	
Contr	act Number	cc	2000165	
Proje	ct Name	Mu	inro Manor	
Conti	ract Type	Bio	Build (Traditional)	
Bid D	oue Date	17	29/2020	



Contract Award Date

Apprentice utilization is required

OMWBE utilization is required No

Is this a Housing Act of 1937 project?

Project Site Address or Directions 630 South 152nd St Burien, WA 98148

Project Description Installation of CIPP liners under the building slab, concrete walkway

and landscaping.

2/5/2020

No

Yes

Intent Details

Does your company intend to hire ANY subcontractors? Yes

Will your company have employees perform work on this project? Yes

Do you intend to use any apprentices? (Apprentices are considered

employees.)

How many owner/operators performing work on the project own 30%

or more of the company?

What is the estimated contract amount? OR is this a time and

materials estimate?

\$340,309.00

3/2/2020

Your expected project start date: (MM-DD-YYYY)

King

No

0

In what county (or counties) will the work be performed?

In what city (or nearest city) will the work be performed?

Burien

Journey Level Wage Details

County	Trade	Occupation	Prevailing Wage	Wage	Fringe	# Workers
King	Laborers	Pipe Reliner	\$51.80	\$49.81	\$2.00	5



REQUIREMENTS FOR CERTIFIED PAYROLL per CFR TITLE 29 SUBTITLE A DAVIS BACON & RELATED ACTS PROVISIONS & PROCEDURES

§ 5.5 Contract provisions and related matters.

(a) The Agency head shall cause or require the contracting officer to insert in full in any contract in excess of \$2,000 which is entered into for the actual construction, alteration and/or repair, including painting and decorating, of a public building or public work, or building or work financed in whole or in part from Federal funds or in accordance with guarantees of a Federal agency or financed from funds obtained by pledge of any contract of a Federal agency to make a loan, grant or annual contribution (except where a different meaning is expressly indicated), and which is subject to the labor standards provisions of any of the acts listed in § 5.1, the following clauses (or any modifications thereof to meet the particular needs of the agency, *Provided*, That such modifications are first approved by the Department of Labor):

(1) Minimum wages.

(i) All laborers and mechanics employed or working upon the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (a)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in § 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph (a)(1)(ii) of this section) and the Davis- Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(ii)

- (A) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
 - (1) The work to be performed by the classification requested is not performed



- by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30- day period that additional time is necessary.
- (D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(ii) (B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- (2) Withholding. The King County Housing Authority shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), all or part of



the wages required by the contract, the (Agency) may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

- (3) Payrolls and basic records.
 - (i) Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(ii)

(A) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the King County Housing Authority if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the payrolls to the applicant, sponsor, or owner, as the case may be, for transmission to the King County Housing Authority. The payrolls submitted shall set out accurately and completely all of the information required to be

maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at http://www.dol.gov/esa/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the King County Housing Authority if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit them to the applicant, sponsor, or owner, as the case may be, for transmission to the King County Housing Authority, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the sponsoring government agency (or the applicant, sponsor, or owner).

King County Housing Authority

KCHA – MARDI GRAS WASTE LINES CAPITAL CONSTRUCTION DEPARTMENT

- (B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
 - (1) That the payroll for the payroll period contains the information required to be provided under § 5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under § 5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
 - (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
 - (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.
- (D) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- (iii) The contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the King County Housing Authority or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.
- (4) Apprentices and trainees -
 - (i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above,



shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (iii) Equal Employment Opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30
- (5) Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.



- (6) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the (write in the name of the Federal agency) may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- (7) Contract Termination: Debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- (8) Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
- (9) Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.
- (10) Certification of eligibility.
 - (i) By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis- Bacon Act or 29 CFR 5.12(a)(1).
 - (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
 - (iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.
- (b) Contract Work Hours and Safety Standards Act. The Agency Head shall cause or require the contracting officer to insert the following clauses set forth in paragraphs (b)(1), (2), (3), and (4) of this section in full in any contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by § 5.5(a) or § 4.6 of part 4 of this title. As used in this paragraph, the terms *laborers* and *mechanics* include watchmen and guards.
 - (1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
 - (2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (b)(1) of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (b)(1) of this section, in the sum of \$29 for each calendar day on which such individual was required or permitted to



- work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (b)(1) of this section.
- (3) Withholding for unpaid wages and liquidated damages. The (write in the name of the Federal agency or the loan or grant recipient) shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (b)(2) of this section.
- (4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (b)(1) through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (b)(1) through (4) of this section.
- (c) In addition to the clauses contained in paragraph (b), in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in § 5.1, the Agency Head shall cause or require the contracting officer to insert a clause requiring that the contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Agency Head shall cause or require the contracting officer to insert in any such contract a clause providing that the records to be maintained under this paragraph shall be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the (write the name of agency) and the Department of Labor, and the contractor or subcontractor will permit such representatives to interview employees during working hours on the job.



B - **SECTION**

MARDI GRAS WASTE LINES

24009 104th AVE. S.E., KENT, WA 98030

DUE DATE: April 28, 2022 **TIME:** 1:00 pm

In order to be considered as **RESPONSIVE BIDS**, all bidders **MUST** submit the following <u>Signed</u> <u>Documents (each single sided)</u> no later than the **Bid Due Date and Time**.

Forms to Return if Bidding

B.1	Bid Form
B.2	COVID-19 Job Site Requirements
B.3	Bidder's Experience Record
B.4	Contractor Certification
B.5	Non-Collusive Affidavit
B.6	Equal Opportunity
B.7	Bid Security (Submit only for bids greater than \$150,000)
B.8	Debarment / Suspension Compliance Certification
B.9	Proposed Subcontractor List
B.10	Section 3
	a. Business Certification
	b. Subcontractor Work Plan
B.11	Harassment and Discrimination Policies
B.12	WMBE Survey (Form is not required to be responsive, but requested)
B.13	Preliminary Project Schedule – Provided by Contractor



BID FORM - RETURN EACH FORM SINGLE SIDED

BID TO:

KING COUNTY HOUSING AUTHORITY CAPITAL CONSTRUCTION DEPARTMENT 700 Andover Park West, Suite C * Tukwila, WA 98188

PROJECT NAME AND LOCATION:

Mardi Gras Waste Lines 24009 104th Ave. S.E., Kent, WA 98030

SCOPE OF WORK:

The Mardi Gras, Waste Line Project, is comprised of (but not limited to) the demolition and replacement of the main waste lines in the crawl space, removal and replacement of all sink, tub, vent, urinal, and toilet waste lines, CIPP line coatings, installation of four (4) new double sweep cleanouts for CIPP/SIPP coating, and installation of three (3) new crawl space accesses. New main waste lines will be replaced from interior of building to the new double sweep clean-out at the exterior of the building.

For complete scope, please see E.1 Scope of Work and Technical Specifications

BASE BID:

Bidders must provide a cost for **each and every** bid item (even if the amount is **\$0.00**), for the bid to be considered responsive. Where conflict occurs between the bid item values entered and the total amount written, the bid item price(s) shall prevail, and totals will be corrected to conform thereto. The work of the various items is described throughout the Contract Documents.

Total Base Bid Lump Sum Amount (Gross Contract Price) should include all applicable taxes. King County Housing Authority (KCHA) will only pay this Gross Contract Price. Contractors shall review the State of Washington Department of Revenue Ruling WAC 458-20-17001 (included in bid documents) and all other applicable documents for tax obligations.

Contractor must pay the attached \square Davis Bacon / \boxtimes Non-Routine Maintenance as the Minimum Wages and Fringe Benefits for the construction workers under this contract.

The Bidder agrees to accept as full payment for the Work, as specified in the Contract Documents, and based upon the undersigned's own estimate of quantities and costs, the following stipulated sums.

BASE B	ID PRICE	
Α.	Materials, including all applicable Taxes	\$
В.	Labor	\$
C.	O & P, including all applicable Fees	\$
D.	Owner Allowance for added work contingency, as authorized by KCHA	\$17,000.00
TOTAL	BID AMOUNT: (all costs inclusive – A, B, C& D) Round to Nearest Dollar	\$
		And No/100 Dollars
PRINT (in	words) Total Bid Amount. Sample – Three Hundred Thousand, Two Hundred Six	cty-Six

Bidding Contractor's Company Name: ______ Initials: ______ Bid Form Page 1 of 8 KCHA / 08-05-21



ADDITIONAL COVID-19 REQUIREMENTS

Should there be new COVID-19 requirements instituted by the State or County prior to the bid date, they will be addressed in an Addendum.

UNIT PRICES:

Unit prices are considered for use when small quantities are needed and additional competitive bidding is not required for price justification. An unforeseen condition requiring large quantities resulting in a substantial change in scope of new work will not be considered applicable for unit pricing. Large deviations in the scope of work will be addressed and evaluated through a bid process or on a Time Material basis as stipulated in the contract documents. Acceptance of any unit pricing is at the Owner's discretion.

COMPLETE BID:

Contractor shall include all costs of doing the work shown, described, and intended by the Contract Documents, within the lump sum bid prices in the Proposal.

LOW BIDDER DETERMINATION:

The determination of the Low Bidder will be determined on the basis of the Grand Total of the Total Base Bid Price plus Owner-Directed Work Total. The Owner reserves the right to accept any, all, or no Additive items at the time of Award, or at any time thereafter.

RIGHT TO AWARD THE CONTRACT:

KCHA reserves the right to award the Contract to the Contractor based on the Contractor's Qualifications, Bonding Capacity and ability to Complete the Project within the Completion Time allowed for project. If written notice of the acceptance of this bid is mailed, or delivered to the undersigned within Seven (7) days after the opening thereof, or at any time thereafter before this bid is withdrawn, the undersigned agrees to execute and deliver a Contract in the prescribed form within Seven (7) calendar days after the Contract is presented for signature.

RIGHT TO REJECT BIDS:

KCHA Reserves the Right to Reject any and all Bids and select any bid options (Base Bid/Alternate Bid or both). In addition, KCHA Reserves the Right to Refuse to Award a Bid to a Contractor based on the Contractor's Past Performance, and/or Unresolved Issues with KCHA, as well as unresolved issues with Washington State Labor & Industries. No Extension of Completion is allowed.

KCHA also Reserves the Right to Reject all bids, for any reason, prior to Contract Execution.

The undersigned hereby agrees that this proposal shall be a Valid and Firm Offer for the following calendar days from the date of the Bid Opening.

Calendar Days: SIXTY (60)

If the Contractor's Bid is determined to be "Not Responsible", KCHA will issue in writing the specific reasons for this determination. Your company will be allowed to appeal this decision. The appeal must be in writing. The appeal must be delivered to KCHA at the address provided in the determination of 'Not Responsible' within two (2) business days after KCHA makes the decision. The appeal may include additional information that was not included in the original Bid Documents. KCHA will make a final determination after the receipt of the appeal. The final determination may not be appealed.

Bidding Contractor's Company Name:	Initials:	
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King County

KCHA – MARDI GRAS WASTE LINES CAPITAL CONSTRUCTION DEPARTMENT

Authority		
ADDENDUM RECEIPT: (Receipt of the following Adde	enda is acknowledged)
Addendum No.:	Date:	
NO ADDENDA were received		
START TIME OF CONSTRUCTION Construction for the project must by KCHA.		the written Notice to Proceed Date issued
duration (Construction Period/I work required under the Contra	to significantly complete the p Duration: NTP "construction ct and in accordance with the unch list items) shall be the follow	project within the construction period or a start " to physical completion) all the Contract Documents. Time allowed to owing number of Calendar Days from the
Calendar Days:	<u>NINETY (90)</u>	
Primavera or similar and will incl METHOD OF PAYMENT: Contract Amount shall be paid by	r is to provide a preliminary Mas lude task durations and a project y KCHA to the Contractor mor- ge of 'Completion'. Contractor	ster Project Schedule in Microsoft Project, t duration/completion date at time of bid . nthly from the date of Contract, based on shall use the AIA-G702 and G703 forms il.
be released upon receipt of the P	Proper clearances from all pertin	ursuant to the General Conditions and will nent state agencies. Release of Retention earances from State Agencies are received.
Retention Rate:	FIVE PERCENT (5%	<u>6)</u>
CLOSEOUT PERCENTAGE: Contractor to include in his Sche amount for costs associated with		s; this is a percentage of the contract bid bed in Section 01 77 00.
Closeout Percen	tage: <u>FIVE PERCENT (5%)</u>)
Agreement are of the essence. Ow of the Work or Contract Complete	vner will incur serious and subst tion of the entire project does n re not assessed as a penalty, b	o the Owner and time limits stated in the tantial damages if Substantial Completion not occur in the time limits defined in the out as liquidated damages for breach of Initials:
Digging Contractor's Company Nam	ı	IIIIIais

Page 3 of 8 KCHA / 08-05-21 Bid Form



contract. The amount is fixed and agreed upon by the Contractor and Owner due to the extreme difficulty and impracticability of fixing and ascertaining the actual damages the Owner would sustain.

This amount is construed as actual amount of damages to the Owner and may be retained by the Owner and deducted from any payments to the Contractor. Assessment of liquidated damages does not release the Contractor for obligations in the Agreement. If different and separate completion dates are stated in the Agreement for separate parts or stages of the Work, the amount of liquidated damages shall apply and may be assessed on those parts or stages of the Work which are delays.

If the Contractor fails to complete the Work by the Time for Substantial Completion stated above, then the Contractor agrees to abide by all provision of the Liquidated Damages clause to the Contract. Liquidated Damages shall be in the following DOLLAR AMOUNT per Calendar Days and will be assessed for each day that the Contractor exceeds the time for substantial completion stated above as follows:

Dollar Amount: FIVE HUNDRED DOLLARS AND NO/100 (\$500.00)

INDEMNIFICATION AND HOLD HARMLESS:

The Contractor hereby agrees that, to the fullest extent permitted by law, it will defend, indemnify and hold KCHA and its officials, partners, volunteers, agents and employees (the "Indemnities") harmless from and against any and all claims, losses, damages and expenses, including attorney's fees incurred with respect thereto or in enforcing this indemnity, which in any manner arise out of or in connection with, or result from:

- 1. The Work to be performed pursuant to this contract (the 'Work'), or
- 2. Any Act or Omission of:
 - a. The Contractor:
 - b. Any Subcontractor, Lower Tier Contractor, or Supplier engaged with respect to the Work;
 - c. Any other party acting at the direction, at the request or under the control of the Contractor with respect to this contract or the Work; or
 - d. The Officers, Directors, Partners, Employees, Volunteers or Agents of any of the foregoing, or the successors in interest of any of them.

Notwithstanding the foregoing, however, the Contractor shall not be required to indemnify and Indemnitee against liability for damages arising out of bodily injury to persons or damage to property caused by or resulting from the intentional misconduct or sole negligence of the Indemnitee, and if such damages are caused by or result from the concurrent negligence of the Indemnitee and the Contractor or its employees or agents, then the Contractor's indemnity hereunder shall be limited to the extent of the negligence of the Contractor or its employees or agents. For purposes of this Indemnity, the Contractor waives its immunity under industrial insurance, Title 51 of the Revised Code of Washington, and acknowledges that the parties have negotiated this waiver for the purposes of this agreement.

The Contractor hereby agrees to require all its Subcontractors or anyone acting under its direction or control or on its behalf in connection with or incidental to the performance of this contract to execute an indemnity agreement substantively identical to the proceeding one, specifically naming KCHA as an indemnitee, and the Contractor's failure to do so shall constitute a material breach of this contract by the Contractor.

LOCAL RESIDENCE HIRING AND CONTRACTING REQUIREMENTS FOR SECTION 3:

The undersigned agrees to adhere to the Local Resident Hiring and Contracting Requirements as defined in the Section 3 Documents. Failure to comply with this program "to the greatest extent feasible" may result

Bidding Contractor's Company Name:	Initials:	
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Bid Form Page 4 of 8 KCHA / 08-05-21

King County Housing Authority

KCHA – MARDI GRAS WASTE LINES CAPITAL CONSTRUCTION DEPARTMENT

in the withholding of progress payments until the breach of the contract is remedied. See Section 3 Certification Forms attached.

I certify, under penalty of perjury, that my company	Is a Section 3 Business
	Is Not a Section 3 Business
(For further clarification for Section 3 Certification, refe	er to Sections A.8 and B.10.a)

BID WITHDRAWAL AFTER BID OPENING:

- 1. A bidder who submits an erroneous low bid may withdraw the bid at the risk of forfeiting the bid bond. The bid withdrawal is permissible if there was an obvious error in the low bid and the mistake is readily apparent from the bid itself. The bidder must notify KCHA and submit evidence of the error within twenty-four (24) hours of the bid opening.
- 2. Evaluating factors for return or forfeiture of bid bonds should include:
 - a. Whether the bidder acted in good faith;
 - b. Whether the bidder acted without gross negligence;
 - c. Whether the bidder gave prompt notice of the error;
 - d. Whether the bidder will suffer substantial detriment by forfeiture;
 - e. Whether KCHA's status has not greatly changed, and no substantial hardship will be caused.
- 3. Any low bidder who withdraws its bid is prohibited from bidding on the same project if it is subsequently re-solicited.

NOTIFICATION:

Contractors submitting bids must have current industrial insurance and not be disqualified from bidding (not suspended or debarred by any federal, state, or other public agency).

All or a portion of this contract is paid for by Federal Funds. As a result, Successful Contractors are subject to the following statures: Section 504 of the Rehabilitation Act of 1973; the Americans with Disabilities Act of 1990; the Architectural Barriers Act of 1968 and the Fair Housing Act of 1988.

It will be the Contractor's obligation to comply with pertinent laws and implementing regulations, which provide for non-discrimination and accessibility in Federally Funded Housing and Non-Housing Programs for people with Disabilities. To read the full text of the Notice go to Go to www.kcha.org/business/requirements Scroll down to Fair Housing Laws and Read: Fair Housing / Accessibility Notice

Bidding Contractor's Company Name:	Initials:	
	-	

Bid Form Page 5 of 8 KCHA / 08-05-21



The undersigned acknowledges:

- 1. To have carefully reviewed and understood the scope of work and requirements under the Contract Documents and the complete scope of work as required under the Bid Proposal,
- 2. To have been provided the opportunity to physically assess the project site,
- 3. And affirms that the bid entered herein, shall be a complete bid in accordance with the terms of the Contract Documents.
- 4. And hereby agrees to complete the Work required under the terms of the Contract Documents by the Completion Dates enumerated therein, and
- 5. That all Documents Submitted to KCHA will become Public Records, as per RCW 42.56. If you are submitting information, which you think is confidential and / or proprietary to your business; KCHA recommends that you do not submit that information, as KCHA cannot guarantee that type of information will be withheld from a public disclosure request.

Bidding Contractor's Company	y Name:	Initials:



$\textbf{COMPANY INFORMATION} \ (please \ print \ all \ information):$

Name of Bidder's Company	
Physical Street Address: (Contractor MUST have a Physical Street Address)	
City-State-Zip:	
Mailing Address if different than Physical:	
City-State-Zip:	
Telephone:	
Name of Person Authorized to Sign Contract: (if Company is Awarded Contract)	
Title of Person Authorized to Sign Contract: (if Company is Awarded Contract)	
Email Address of Person Authorized to Sign Contract:	
(if Company is Awarded Contract)	
Website:	
Contractor's License (WA State) Number:	
UBI (Unified Business License) Number:	
Employment Security Account Number:	
State Excise Tax Registration Number:	
Federal Tax I.D. Number:	
Duns Number:	
	Exempt
Public Works Training (RCW39.04.350):	Not Exempt – signed Compliance Statement in Accordance with RCW 9A.72.085 is provided

Bid Form Return Form - B.1

Bidding Contractor's Company Name:____

_____ Initials: _____



Check Box if your company is a Corporation and name the State Incorporated in below.				
Check Box if your company is a Partnership and provide Full Name(s) and Address of all parties below.				
Check Box i	f your company is also kr	nown as (aka) and list that name and address	below.	
NOTE: The penalty for mal	king falsa statamants in ot	ffer is prescribed in 18 U.S.C. 1001.		
SUBMITTED ON:				
		<u>, </u>		
C: CP:11		P. M. IWA		
Signature of Bidder		Print Name and Title		

Bid Form Return Form - B.1

Bidding Contractor's Company Name:____

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KCHA / 08-05-21

_____ Initials: _____



COVID -19 JOB SITE REQUIREMENTS – RETURN EACH FORM SINGLE SIDED

COVID-19 Job Site Requirements

Prior to recommending work all contractors are required to develop for at each job site a comprehensive COVID-19 exposure control, mitigation, and recovery plan. The plan must include policies regarding the following control measures: PPE utilization; on-site social distancing; hygiene; sanitation; symptom monitoring; incident reporting; site decontamination procedures; COVID-19 safety training; exposure response procedures; and a post-exposure incident project wide recovery plan.

A copy of the Site Specific COVID-19 plan must be available on the job site during construction and available for inspection by state and local authorities, KCHA staff, and residents. Workers must be trained on the safety protocols listed below before the activity begins.

All contractors have a general obligation to keep a safe and healthy worksite in accordance with state and federal law and must comply with the Washington State Department of Labor & Industries General Requirements and Prevention Ideas for Workplaces and the Washington State Department of Health Workplace and Employer Resources & Recommendations at https://www.doh.wa.gov/Coronavirus/workplace. Failure to follow these requirements will be considered a violation of these duties and be penalized accordingly. Under RCW 49.17.060, "each employer shall furnish to each of their employees a place of employment free from recognized hazards that are causing or likely to cause serious injury or death to his or her employees and shall comply with the rules, regulations, and orders promulgated under this chapter."

- The Contractor must ensure operations follow the main L&I COVID-19 requirements to protect workers.
- Educate workers about coronavirus and how to prevent transmission and the employer's COVID-19 policies.

A worker may refuse to perform unsafe work, including hazards created by COVID-19. And, it is unlawful for their employer to take adverse action against a worker who has engaged in safety-protected activities under the law if their work refusal meets certain requirements.

COVID-19 Site Supervisor

A site-specific COVID-19 Supervisor shall be designated by the Contractor to monitor the health
of employees and enforce the COVID-19 job site safety plan. A designated COVID-19
Supervisor must be present at all times during construction activities, except on single family
residential job sites with 6 or fewer people on the site. The name and contact information for the
site specific COVID-19 Supervisor must be clearly displayed on all jobsite COVID-19 required
postings.

Bidding Contractor's Company Name:	Initials:



COVID-19 Safety Training

- 1. A Safety Stand-Down/toolbox talk/tailgate training must be conducted on all job sites on the first day of returning to work, and weekly thereafter, to explain the protective measures in place for all workers. Social distancing must be maintained at all gatherings.
- 2. Attendance will be communicated verbally and the trainer will sign in each attendee.

Social Distancing

- 1. Social distancing of at least 6 feet of separation must be maintained by every person on the worksite at all possible times. In instances where the 6 feet separation cannot be maintained, other prevention measures are required such as barriers, staggering breaks or work shift starts, etc.
- 2. Gatherings of any size must be precluded by taking breaks and lunch in shifts. Any time two or more persons must meet, ensure minimum 6 feet of separation.
- 3. Identify "choke points" and "high-risk areas" on job sites where workers typically congregate and control them so social distancing is always maintained.
- 4. Minimize interactions when picking up or delivering equipment or materials, ensure minimum 6-foot separation.

Personal Protective Equipment (PPE) – Employer Provided

- 1. Appropriate eye protection for all hazards must be worn at all times by every employee while on the worksite.
- 2. If appropriate PPE cannot be provided, the work is not authorized to commence, recommence, or the site must be shut down.
- 3. Provide personal protective equipment (PPE) such as gloves, goggles, face shields and face masks as appropriate or required to employees for the activity being performed. Cloth facial coverings must be worn by every employee not working alone (with no chance of human interaction) on the jobsite unless their exposure dictates a higher level of protection under Department of Labor & Industries safety and health rules and guidance. Refer to Coronavirus Facial Covering and Mask Requirements for additional details. A cloth facial covering is described in the Department of Health guidance, https://www.doh.wa.gov/Portals/1/Documents/1600/coronavirus/ClothFacemasks.pdf.

Sanitation and Cleanliness

- 1. Hand-washing stations, with soap and running water, shall be abundantly provided on all job sites for frequent handwashing. When running water is not available, portable washing stations, with soap, are required.
- 2. Workers should be encouraged to leave their workstations to wash their hands regularly, before and after going to the bathroom, before and after eating and after coughing, sneezing or blowing their nose.

Bidding Contractor's Company Name	:	Initials:



- 3. Alcohol-based hand sanitizers with greater than 60% ethanol or 70% isopropanol can also be used, but are not a replacement for the water requirement.
- 4. Post, in areas visible to all workers, required hygienic practices, including not to touch face with unwashed hands or with gloves; washing hands often with soap and water for at least 20 seconds; use hand sanitizer with at least 60% alcohol; cleaning and disinfecting frequently touched objects and surfaces such as workstations, keyboards, telephones, handrails, machines, shared tools, elevator control buttons, and doorknobs; covering the mouth and nose when coughing or sneezing as well as other hygienic recommendations by the U.S. Centers for Disease Control (CDC).
- 5. Make disinfectants available to workers throughout the worksite and ensure cleaning supplies are frequently replenished.
- 6. Frequently clean and disinfect high-touch surfaces on job sites and in offices, such as shared tools, machines, vehicles and other equipment, handrails, doorknobs, and portable toilets. If these areas cannot be cleaned and disinfected frequently, the jobsite shall be shut down until such measures can be achieved and maintained.
- 7. Shared tools and other equipment must be wipe sanitized between users.
- 8. When the worksite is an occupied home, workers should sanitize work areas upon arrival, throughout the workday and immediately before they leave, and keep a personal distance of at least 10 feet from occupants at all times.
- 9. If an employee reports feeling sick and goes home, the area where that person worked should be immediately disinfected.

Employee Health/Symptoms

- 1. Create policies which encourage workers to stay home or leave the worksite when feeling sick or when they have been in close contact with a confirmed positive case. If they develop symptoms of acute respiratory illness, they must seek medical attention and inform their employer.
- 2. Have employees inform their supervisors if they have a sick family member at home with COVID-19. If an employee has a family member sick with COVID-19, that employee must follow the isolation/quarantine requirements as established by the State Department of Health.
- 3. Screen all workers at the beginning of their day by asking them if they have a fever, cough, shortness of breath, fatigue, muscle aches, or new loss of taste or smell.
- 4. Ask employees to take their temperature at home prior to arriving at work or take their temperature when they arrive. Thermometers used shall be 'no touch' or 'no contact' to the greatest extent possible. If a 'no touch' or 'no contact' thermometer is not available, the thermometer must be properly sanitized between each use. Any worker with a temperature of 100.4°F or higher is considered to have a fever and must be sent home.
- 5. Instruct workers to report to their supervisor if they develop symptoms of COVID-19 (e.g., fever, cough, shortness of breath, fatigue, muscle aches, or new loss of taste or smell). If symptoms

Bidding Contractor's Company Name:		Initials:
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develop during a shift, the worker should be immediately sent home. If symptoms develop while the worker is not working, the worker should not return to work until they have been evaluated by a healthcare provider.

- 6. Failure of employees to comply will result in employees being sent home during the emergency actions. For example, if an employee refuses to wear the appropriate facial covering they would be sent home.
- 7. Any worker coming to work on a construction site in Washington from any state that is not contiguous to Washington must self-quarantine for the required number of days to become eligible to work on a job site in Washington.
- 8. If an employee is confirmed to have COVID-19 infection, employers should inform fellow employees of their possible exposure to COVID-19 in the workplace but maintain confidentiality as required by the Americans with Disabilities Act (ADA). The employer should instruct fellow employees about how to proceed based on the CDC <u>Public Health Recommendations for Community-Related Exposure</u>.

No jobsite may operate until the Contractor can meet and maintain all requirements, including providing materials, schedules and equipment required to comply.

All issues regarding worker safety and health are subject to enforcement action under L&I's Division of Occupational Safety and Health (DOSH).

- Employers can request COVID-19 <u>prevention advice and help</u> from L&I's Division of Occupational Safety and Health (DOSH).
- Employee Workplace safety and health complaints may be submitted to the L&I DOSH Safety Call Center: (1-800-423-7233) or via e-mail to adag235@lni.wa.gov

Contractor's Company Name:					
I have read and understand what is required to comply with the COVID-19 Requirements.					
Signature	Title				
Name (Please Print)	Date				
Name (Frease Frint)	Date				
Bidding Contractor's Company Name:	Initials	:			



BIDDER'S EXPERIENCE RECORD – RETURN EACH FORM SINGLE SIDED

KCHA WILL AWARD CONTRACTS ONLY TO RESPONSIBLE PROSPECTIVE CONTRACTORS WHO HAVE THE ABILITY TO PERFORM SUCCESSFULLY UNDER THE TERMS AND CONDITIONS OF THE PROPOSED CONTRACT. PRINT ALL INFORMATION.

ATTACH ADDITIONAL SHEETS AS NECESSARY TO FULLY PROVIDE THE INFORMATION REQUIRED.

NAME OF BIDDER:			
PHYSICAL ADDRESS	S:		
CITY-STATE-ZIP:			
MAILING ADDRESS:			
CITY-STATE-ZIP:			
CONTRACTOR'S LICENSE NUMBER: BOND REGISTRATION NUMBER:	(Must be a valid WA State License		
L&I PUBLIC WORKS TRAINING:	YES NO		
BIDDER IS A(N):	INDIVIDUAL	PARTNERSHIP	
	JOINT VENTURE	INCORPORATION	IN STATE OF
CONTINUOUSLY	Y BEEN IN BUSINESS FROM YEAR	NO. OF REGU	JLAR FULL TIME EMPLOYEES
TOTAL NUMBER OF I	PROJECT COMPLETED IN T	THE PAST 5 YEARS	
NUMBER OF PROJECT	TS COMPLETED	AHEAD (ON-TIME BEHIND
	PERIENCE IN WORK COMPRIME CONTRACTOR:		
BIDDERS LIST THE FO	OLLOWING INFORMATION	N: PRINT ALL INFORMAT	TION
NAME OF BONDING			
	ADDDECC.		
PHON	E NUMBER:		
CONTAC			
BONDING			
Bidding Contractor's Compa Bidders Experience	ny Name:Pag	ge 1 of 4	Initials: KCHA / 01-12-22



LIST THE SUPERVISORY PERSONNEL TO BE EMPLOYED BY THE BIDDER AND AVAILABLE FOR, AND INTENDED TO WORK ON THIS PROJECT (PROJECT MANAGER, PRINCIPAL FOREPERSON, SUPERINTENDENTS AND ENGINEERS): **PRINT ALL INFORMATION**

NAME	TITLE	HOW LONG WITH BIDDER
LIST ALL PUBLICLY FUNDED PROJECTS OF WITHIN THE PAST 5 YEARS. INCLUDE A I SEPARATE SHEET(S), USING THE FORMAT BI	REFERENCE FOR EACH. IF	NECESSARY, ATTACH A
PROJECT NAME:		
OWNER/CONTACT NAME & NUMBER:		
TOTAL CONTRACT AMOUNT:		
IF SUB, YOUR CONTRACT AMOUNT:		
YEAR PROJECT COMPLETED:		
PROJECT NAME:		
OWNER/CONTACT NAME & NUMBER:		
TOTAL CONTRACT AMOUNT:		
IF SUB, YOUR CONTRACT AMOUNT:		
YEAR PROJECT COMPLETED:		
PROJECT NAME:		
OWNER/CONTACT NAME & NUMBER:		
TOTAL CONTRACT AMOUNT:		
IF SUB, YOUR CONTRACT AMOUNT:		
YEAR PROJECT COMPLETED:		
PROJECT NAME:		
OWNER/CONTACT NAME & NUMBER:		
IF SUB, YOUR CONTRACT AMOUNT:		
YEAR PROJECT COMPLETED:		
Bidding Contractor's Company Name:		Initials:



Return Form -B.3

		CTS LISTED ABOVI KPLAIN WHY: PRIN		APLETED WITHIN TH	HEIR ORIGINALLY
	ΓLEMENT OF			IICH HAVE RESULTE R LITIGATION IN TH	
NAMI	E OF CLIENT	T & PROJECT	CONTRACT AMT.	TOTAL CLAIM ARBITRATED / LITIGATED	AMT. OF SETTLEMENTS OF CLAIM
		REPRESENTATIVE (LINFORMATION	OR PARTNER THE	REOF, EVER FAILED	TO COMPLETE A
NO	YES	IF YES, EXPI	LAIN		
	IDDER EVER INT ALL INF		T / PERFORMANCI	E BOND CALLED AS A	A RESULT OF THIS
NO		S, COMPLETE THE F		TAIC DADES	DOND AMOUNT
	PROJECT NA	AVIE	CONTRACT	ING PARTY	BOND AMOUNT
	ER EVER BEE		OF VIOLATING AN	NY STATE OR FEDER	AL EMPLOYMENT
NO	YES	IF YES, EXPI	LAIN		
				ANY PROVISION O	
NO	YES	IF YES, EXPL	LAIN		
Bidding Contr Bidders Expe	actor's Company	Name:	Page 3 of 4		Initials: KCHA / 01-12-22



Return Form -B.3

HAS ANY ADVERSE LEGAL JUDGEMENT RELATED TO CONSTRUCTION BEEN RENDERED AGAINST THE BIDDER IN THE LATE 5 YEARS? **PRINT ALL INFORMATION**

NO	YES	IF YES, EXPLAIN	N		
WORKER	'S COMPENS	Y OF ITS EMPLOYEES ATION OR OTHER INSU MEMBERMENT IN THE F	RANCE COMPANY	FOR ACCIDENTS	RESULTING IN
NO	YES IF Y	ES, COMPLETE THE FOLI	LOWING:		
DAT	<u> </u>	TYPE OF INJUR	<u>Y</u>	AGENCY RECEI	VING CLAIM
	ER IS SELF-IN	E MODIFICATION RATE (SURED, ATTACH PROOF		2020 SHOWING COMPLET	2021 TE WORKSHEET
NOTES To	O BIDDERS: fy Bidders whe	SAFETY IS A PRIMARY re either the current or three hay require additional inform	(3) year average of the	he Experience Modific	ation Rate (EMR)
	VISHA OR	TION CITATIONS ISSUE OTHER APPLICABLE V	WORKPLACE SAF	ETY PROGRAMS.	YEARS UNDER PRINT ALL
S	UBJECT OF	VIOLATION	DATE OF INSPECTION / INCIDENT	OSHA ACTIVITY NO.	CLOSED / PENDING
BEFORE A A STATE LISTED A	MENT OR OT BOVE. FAIL	IATION: IDERED FOR AWARD, THER DOCUMENTATION URE BY THE BIDDER TO NON-RESPONSIVE AND I	REGARDING ANY PROVIDE SUCH A	OF THE BASIC QUE DDITIONAL INFORM	JALIFICATIONS MATION SHALL
INFORMA UNDERSI INFORMA	ATION IS COM GNED AUTH ATION CONTA	WARRANTS UNDER IPLETE, TRUE AND ACCU IORIZES THE KING C AINED HEREIN. (IF THIS SIDERED NON-RESPONS	JRATE TO THE BEST OUNTY HOUSING INFORMATION IS	ST OF HIS / HER KNO G AUTHORITY TO	OWLEDGE. THE VERIFY ALL
	BIDDE	R'S SIGNATURE	B	IDDER'S NAME (PLEASE	PRINT)
	BIDDER'S T	ITLE (PLEASE PRINT)		DATE	
Bidding Cor Bidders Ex	ntractor's Compa		age 4 of 4		Initials:
DIGGETS EX	perience	r	ugc + 01 +		IXC11/A / U1-12-22





CONTRACTOR CERTIFICATION – RETURN EACH FORM SINGLE SIDED

PR	OJECT NAME:	MARDI GRAS WASTI	ELINES
NAI	ME OF COMPANY:		
	YSICAL STREET AD	DRESS:	
CIT	Y – STATE – ZIP:		
MA	ILING ADDRESS:		
CIT	Y – STATE – ZIP:	-	
PHO	ONE NUMBER:		
FED	DERAL TAX ID NO.:		WA STATE UBI NO.:
ГҮРЕ	OF BUSINESS:	CORPORATION	LLC - PARTNERSHIP SOLE PROPRIETOR
	NERS OF THIS COM		the inception of the Company. Use an additional sheet of paper if
neces	•	F OWNER(S)	DATE(S) OF OWNERSHIP (from – to)
			We hereby certify that: (Check the appropriate responses)
1.		King County Housing Author	Bid Documents and Drawings (if applicable) for this project ity.
2.	Us from meeting th		on or other disabilities that would preventMe / ned in the Bid Documents to the greatest extent feasible and ached goals.
3.	location where seg		, nor permitMy /Our employees to work in a ned, except for separate or single-user toilets and changing the sexes.
4.	Plan in the past that	required filing reports with the _Have / Have Not file	ave Not participated in an Equal Employment Opportunity are Government; and that ifI / We have,I dall reports due. If not, the reports will be filed within the
5.			Our correct Taxpayer Identification Number OR er to be issued toMe / Us and
6.	(a)I Am / (b)I /	We have not been notified by	We are not subject to Backup Withholding because; ackup Withholding, or the Internal Revenue Service (IRS) thatI Am/result of a failure to report all interest or dividends, or

Bidding Contractor's Company Name:_ Contractor Certification Return Form – B.4 Initials:



	(c) the IRS has notifiedMe / Us thatI Withholding. (If you ARE subject to Backup Withholding, leave #6 bit)	
7.	I / We have been notified by the IRS that _ Backup Withholding because of under reporting interest or (If you filled out #6 – you are NOT subject to Backup W	dividends.
8.	, who is by title	ihe
AUT	of our firm/company and has been designated, as the responsible offic keeping complies with all the applicable regulations. THORIZED OFFICIAL:	al to ensure required reports are submitted, and record
SIGN	NATURE	NAME (PLEASE PRINT)
TITL	E (PLEASE PRINT)	DATE

Return Form – B.4



NON-COLLUSIVE AFFIDAVIT – RETURN EACH FORM SINGLE SIDED

FOR CONTRACTS AND EQUIPMENT \$	50,000 AND ABOVE
STATE OF WASHINGTON)	
COUNTY OF KING) ss	
	, being first duly sworn, deposes and says:
That he / she is a Partner or Officer of the I	irm of, etc.
sham; that said bidder has not colluded, of bidder or person, to put in a sham bid or to indirectly, sought by agreement or collusion bid price of affiant or of any other bidder, of of that of any other bidder, or to secure any	bid, that such proposal or bid is genuine and not collusive or a conspired, connived or agreed, directly or indirectly, with any or refrain from bidding, and has not in any manner, directly or in, or communication or conference, with any person, to fix the rest to fix any overhead, profit or cost element of said bid price, or advantage against KING COUNTY HOUSING AUTHORITY intract; and that all statements in said proposal or bid are true.
	SIGNATURE OF AUTHORIZED OFFICIAL
	Bidder, if the Bidder is an Individua
	Partner, if the Bidder is a Partnership
	Officer, if the Bidder is a Corporation
SUBSCRIBED AND SWORN to before m	e:
this day of, 2)
(Signature)	
(Print Name)	
My Commission Expires:	, 20
Bidding Contractor's Company Name:	Initials:



EQUAL OPPORTUNITY CLAUSE - RETURN EACH FORM SINGLE SIDED

DURING THE PERFORMANCE OF THIS CONTRACT, THE CONTRACTOR AGREES AS FOLLOWS:

- 1. The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin, citizenship status, creed, age, marital status, physical or mental disability, sexual orientation, political ideology, or status as a Vietnam era or specially disabled veteran. The Contractor will take affirmative action to ensure that applicants are employed and the employees are treated during employment without regard to the aforementioned conditions. Such action shall include, but not be limited to, the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Contracting Officer setting forth the provisions of this nondiscrimination clause.
- 2. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to the aforementioned conditions of paragraph 1 above.
- 3. The Contractor will send to each labor union or representative of workers with which he/she has a collective bargaining agreement or other contract or understanding, a notice advising the labor union or workers' representative of the Contractor's commitments under Section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- 4. The Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations and relevant orders of the Secretary of Labor.
- 5. The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations and relevant orders of the Secretary of Labor, or pursuant thereto, and will permit access to his/her books, records and accounts by the Owner and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.
- 6. In the event of the Contractor's non-compliance with the non-discrimination clauses of the Contract or with any of such rules, regulations or orders, this Contract may be canceled, terminated or suspended in whole or in part and the Contractor may be declared ineligible for further Government Contracts, in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rules, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- 7. The Contractor will include the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulation, or order of the Secretary of Labor issued pursuant to Section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the Owner may direct as a means of enforcing such provisions including sanctions for noncompliance: Provided, however, that in the event the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Owner, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

AUTHORIZED OFFICIAL:

SIGNATURE	NAME (PLEASE PRINT)	_
TITLE (PLEASE PRINT)	DATE	_
Bidding Contractor's Company Name:	Initials:	



BID SECURITY – RETURN EACH FORM SINGLE SIDED

BID DEPOSIT: The undersigned Principal hereby deposits a Bid Deposit with the King County Housing Authority in the form of a cash deposit, certified or cashier's check, or postal money order in the amount of:
Dollars (\$)
OR BID BOND:
The undersigned,(Principal), and
(Surety), are held and firmly bound unto the King County Housing Authority (Owner) in the penal sum of:
which for the payment of which Principal and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally. The liability of surety under this Bid Bond shall be limited to the penal sum of this Bid Bond.
CONDITIONS: The Bid Deposit or Bid Bond shall be an amount not less than five percent (5%) of the total bid, including any Alternates, Additives, and Owner-Directed Work, if any, including sales tax, if any, and is submitted by Principal to Owner in connection with a Proposal in according to the terms of the Proposal and Bid Documents for:
MARDI GRAS WASTE LINES
 NOW THEREFORE: a. If Principal requests, in writing, to withdraw its Bid, prior to Bid Opening, or b. If the Proposal is rejected by Owner, or c. Owner in accordance with the terms of the Proposal and furnishes a bond for the faithful performance of said Project and for the payment of all persons performing labor or furnishing materials in connection therewith, with Surety or Sureties approved by Owner,
then this Bid Security shall be released; otherwise it shall remain in full force and effect and Principal shall forfeit the Bid Deposit or Surety shall immediately pay and forfeit to Owner the amount of the Bid Bond, as penalty and liquidated damages.
The obligations of Surety and its Bid Bond shall be in no way impaired or affected by any extension of time within which Owner may accept bids; and Surety does hereby waive notice of any such extension.

Bidding Contractor's Company Name: _____ Initials: _____



SIGNED AND DATED THIS	Day of _	<u>,</u> 20 <u> </u> .
		ATTEST to Principal's Signature:
PRINCIPAL (Print Company Name)	-	
Signature of Authorized Official	-	Signature
Printed Name	_	Printed Name
Title (Please Print)	-	Title (Please Print)
Corporate Seal (if Applicable)		
		ATTEST to Surety's Signature:
SURETY (Print Company Name)	-	
Signature of Authorized Official	-	Signature
Printed Name	-	Printed Name
Title (Please Print)	-	Title (Please Print)
Corporate Seal (if Applicable)		The above is Attorney in Fact:
		Yes No (If Yes, attach Power of Attorney)
Local Office of Agent and / or Surety Company	y (please p	orint):
Name:	_	
Street Ad	ldress: _	
City, Stat	te, Zip: _	
Power of Attorney of person signing for Surety Co	ompany m	ust be attached to this Bond Form.
Surety Companies executing Bonds must appear Washington.	on the cur	rent Authorized Insurance List in the State of
Bidding Contractor's Company Name:		Initials:

Bid Security Return Form – B.7 Page 2 of 2

KCHA / 08-05-21



DEBARMENT / SUSPENSION COMPLIANCE CERTIFICATION RETURN EACH FORM SINGLE SIDED

The Bidder certifies to the best of its knowledge and belief, that it and its principals:

- 1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
- 2. Have not within a three (3) -year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- 3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
- 4. Have not within a three-year period preceding this application/proposal had one or more public transactions (federal, state or local) terminated for cause or default.

BIDDING COMPANY

Company Name		
Physical Street Address		
City / State / Zip		
Print Name of Authorized Official	Title	
Signature of Authorized Official	Date	
SUBSCRIBED AND SWORN to before me:		
this day of, 20		
(Signature)		
(Print Name)		
My Commission Expires:, 20		

SUBCONTRACTOR - FIRST TIER - LISTING - RETURN EACH FORM SINGLE SIDED NAME OF BIDDING COMPANY: ______ PHYSICAL STREET ADDRESS: CITY / STATE / ZIP: 1. List Approximate Percent (%) of Work Your Company will actually Perform: ____ Do You Intend on using Subcontractor(s) for this Project? 2. 3. (If Yes, you must show on this form the name and information of All First Tier Subcontractors performing work that will be associated with this Bid.) Attach additional sheets if necessary. This form needs to be completed to the best of the Bidder's ability at time of bid. If Bidder is Awarded Contract a final subcontractor list will be submitted prior to Notice to Proceed. PRINT ALL INFORMATION SUBCONTRACTOR - FIRST TIER - LIST Business Name: Trade: ___ Address: Contact: Years of Experience: Phone: UBI No.: Business Name: Trade: _____ Address: Contact: ___ Years of Experience: UBI No.: Business Name: Trade: Address: Contact: Years of Experience: UBI No.: Business Name: Trade: Contact: Address: Years of Experience: Phone: The Bidder hereby certifies that the information contained in this Proposed Subcontractor List, including any attached sheets, is accurate, complete, and current: Print Name of Authorized Official Title Signature Date Bidding Contractor's Company Name: _____ Initials: ____



SECTION 3 – BUSINESS CERTIFICATION RETURN FORM SINGLE SIDED

THIS FORM MUST BE SIGNED AND RETURNED

	Project Name:		
(Company Name: _		
	Address:		
			Contact Title:
	Contact Phone:		Contact Email:
T;	ype of Trade or Bu	isiness:	
			r, Full Time Employees (Puget Sound Region):
1.	Have over 75 per been performed b		f the labor hours performed for your business over the prior three-month period ion 3 workers?
	Yes	No	If "yes" is checked, submit the section 3 Individual Certification form(s) for all the regular, full-time employees (Puget Sound Region).
2.			business owned and controlled by low- or very low-income persons (persons of the median income level for the past 12 months - see attached income
	Yes	No	If "yes" is checked, submit either the section 3 Individual Certification form(s) or the Section 3 Subcontractor Business Work Plan form.
3.	Does your busine will take place?	ess prov	vide economic opportunities for KCHA residents at the site(s) where the work
	Yes	No	If "yes" is checked, please provide supporting documentation.
4.			wide economic opportunities for residents of other KCHA developments or ng managed by KCHA?
	Yes	No	If "yes" is checked, please provide supporting documentation.
5.			rovide economic opportunities to Section 3 workers residing within the t Sound Region)?
	Yes	No	If "yes" is checked, please provide supporting documentation.



I certify, under penalty of perjury, that my company Is Is Not a Section 3 Business.

I further certify that, if my company is awarded the bid, and needs to hire additional employees for the project, we will carry out Section 3 hiring, training and subcontracting requirements to the best of our ability.

Signature

Name

Title

Date

Phone Number

Email Address

If you have more specific questions about Section 3 requirements, contact KCHA at section3@kcha.org.

SECTION 3 – 2021 INCOME GUIDELINES

Location	Bremerton Silverdale (Kitsap County)		Seattle, Bellevue Everett (King/Snohomish Counties)		Tacoma (Pierce County)		Sedro Woolley (Skagit County)	
Income	Very low income	≤\$32,950	Very low income	≤\$40,500	Very low income	≤\$31,800	Very low income	≤\$28,850
Limit 1 Person	Low income	\$32,951 to \$52,750	Low income	\$40,501 to \$63,350	Low income	\$31,801 to \$50,900	Low income	\$28,851 to \$46,100



SECTION 3 – SUBCONTRACTOR WORK PLAN RETURN FORM SINGLE SIDED

RETURN THIS FORM WITH THE BID *IF*:

	CLAIMING <u>YES</u> TO QUES' Project Name:		TION 3 BUSINESS CERT		
	Company Name:				
			Contact Title:		
	Contact Phone:				
			-		
	SECTION 3 BUSINES	S CONCERN	SUBCONTRACTED TASK(S)	SUBCONTRACT AMOUNT	% OF OVERALL CONTRACT
1.	Subcontractor's Name:				
	Subcontractor's Address:				
	Subcontractor's Phone No.:				
	Culturate via Name				
2.	Subcontractor's Name:				
	Subcontractor's Address:		_		
	Subcontractor's Phone No.:				
	Subcontractor's Name:				
3.	Subcontractor's Address:				
	Subcontractor's Phone No.:				
	Subcontractor's Name:				
4.	Subcontractor's Address:				
	Subcontractor's Phone No.:				
то	TAL CONTRACT VALUE:		TOTAL SUBCONTRAC	Γ VALUE:	
	PERC	CENTAGE OF TOTAL	L BID:		
	or a list of Section 3 Certified 3 ttps://portalapps.hud.gov/Sec3				



SUMMARY OF HARASSMENT AND DISCRIMINATION RETURN EACH FORM SINGLE SIDED

KCHA prohibits harassment and discrimination based on race, color, national origin, citizenship status, creed, religion, sex, age, marital or veteran's status, physical or mental disability, sexual orientation, political ideology, or any other basis protected by law ("protected status"). This policy applies to KCHA's employees, vendors, contractors, visitors and others who conduct business with KCHA. The following are examples of prohibited conduct. This list is not exclusive; employees should see KCHA's Personnel Policies and Procedures for more details and vendors/contractors should contact the Human Resources Department for more details:

- Unwelcome conduct based on protected status when sufficiently severe or pervasive to create a hostile work environment; or a supervisor's improper conduct results in a tangible change in an employee's status or benefits (demotion, termination, etc.).
- Unwelcome sexual advances, requests for sexual favors and other verbal or physical conduct of a sexual nature when (1) submission to such conduct is made an implicit or explicit condition of employment; (2) submission to or rejection of such conduct affects employment opportunities or decisions; or (3) such conduct interferes with an employee's work or creates an intimidating, hostile or offensive work environment.
- Sexually suggestive or racially derogatory words, pictures, videos, cartoons, emails, etc.
- Leering, staring in a sexually suggestive manner or making offensive remarks about looks, clothing, or body.
- Touching in a way that may make an individual feel uncomfortable, such as patting, pinching or intentional brushing against another's body.
- Gestures, pictures or drawings which would offend a particular racial or ethnic group or other protected class.
- Comments about an individual's skin color, accent, or other racial/ethnic characteristics.
- Disparaging remarks or stereotypes about an individual's gender, race, birthplace, ethnicity or ancestry.
- Negative comments about an individual's religious beliefs (or lack of religious beliefs).
- Negative comments regarding an individual's age if age 40 and over.
- Derogatory or intimidating references to an employee's mental or physical impairment.

Anyone who has been harassed and/or discriminated against is expected to promptly report the alleged incident(s) to the Supervisor, Department Director, Director of Human Resources, Deputy Executive Director/Chief Administrative Officer or the Executive Director. KCHA will protect the confidentiality of such complaints to the extent possible. Complaints will be promptly, thoroughly and impartially investigated and KCHA will take immediate and appropriate corrective action when it determines that harassment has occurred. Individuals who make complaints or provide information related to complaints will be protected from retaliation.

The Bidder hereby certifies that the information contained above is understood and agreed upon.

Bidder's Company Name:		
Print Name of Authorized Official	Title	
Signature	Date	
Bidding Contractor's Company Name:	Initials:	
TT	WOWA (00.05.0	



WMBE SURVEY – RETURN EACH FORM SINGLE SIDED

PLEASE COMPLETE THIS SURVEY AND RETURN WITH YOUR BID / PROPOSAL DOCUMENTS. NOT SUBMITTING THIS SURVEY WILL <u>NOT DISQUALIFY</u> YOUR BID/PROPOSAL. THIS IS FOR INFORMATIONAL PURPOSES <u>ONLY</u>.

Bidding Company Name: Address: City / State / Zip:				
Type of Business:		_Incorporated — Federal ID# _Sole Proprietorship — SS#: _Other — Describe:		
WMBE: Describe:		Disadvantage Owned (Disagram) Women Owned (WBE) Minority Owned (MBE or White American Black American	abled – DBE	heck Applicable) Hispanic American Asian – Pacific American
Registered WMBE:	Yes	No		_ Registration in Progress
Authorized Signer		Print Name and Title		Date
FOR KCHA USE ONLY: IF TO: Tim Baker – KCHA S Phone: 206-574-1111	enior Manage	ment Analyst	HE CONTRA	CT, FORWARD THIS FORM

Bidding Contractor's Company Name:___

__ Initials: _____



CONTRACTOR'S SUPPLIED SCHEDULE - RETURN EACH FORM SINGLE SIDED

- A. Gantt-Chart Schedule: Submit to the Owner a comprehensive, fully developed, horizontal Gantt-chart-type, Contractor's Final Master Project Schedule within fourteen (14) days of date after Letter of Award. Base schedule on the Preliminary Master Project Schedule and whatever updating and feedback was received since the start of Project. The Gantt-Chart Final Master Project Schedule can be either in MS Project or equivalent format.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line.
 - 1. For construction activities that require three (3) months or longer to complete, indicate an estimated completion percentage in ten (10%) percent increments within time bar.
- C. Contractor's Final Master Project Schedule Updating: At two (2) week intervals, update schedule to reflect actual construction progress and activities. Issue schedule three (3) days before each regularly scheduled progress meeting.
 - 1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
 - 2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
 - 3. As the Work progresses, indicate Actual Completion percentage for each activity.

CONTRACTOR
TO INSERT
PRELIMINARY
MASTER PROJECT
SCHEDULE HERE
MUST BE IN
MICROSOFT PROJECT,
PRIMAVERA or SIMILAR

Bidding Contractor's Company Name: Initials:	
--	--



BB - SECTION

NO PARTICIPATION for Bid Package

BB.1 No Participation Form



NO PARTICIPATION RESPONSE FORM

<u>IF YOU CHOOSE NOT TO BID ON THIS PROJECT</u> RETURN ONLY THIS FORM PRIOR TO THE BID DUE DATE.

(NOT RETURNING THIS DOCUMENT COULD RESULT IN YOUR NAME BEING REMOVED FROM FURTHER KCHA SOLICITATIONS.)

BID DUE DATE:		April 28, 2022			
PROJECT NAME:		Mardi Gras Waste Lines			
RETURN FORM TO: donaldh@kcha.org					
be received by the de	eadline of 1:00PM.	o mail in the No Participation Response KCHA does not recommend mailing in rise with the mail delivery.			
If using the mail please	return the form to:	KING COUNTY HOUSING AUTHORITY ATTN: Don Hatfield, Project Manager 700 Andover Park West, Suite C Tukwila, WA 98188	7		
1. My Company is NO	T BIDDING on this	Contract because: (check all response(s) that	apply:		
Does not perform the requested type of work Has other work which would interfere with the proposed work schedule Job is too big Job is too small Can't meet the bonding and/or insurance requirements The documents were not received in time to prepare a bid The specifications were not clear. Please describe:					
2. I would have Bid on this Contract if:					
COMPANY NAME:					
ADDRESS:	ADDRESS:				
CITY-STATE-ZIP					
SIGNATURE:	PRINCIPAL OR OFFICE	ER	DATE		



C - SECTION

CONTRACT DOCUMENTS for Bid Package

C.1	Construction Contract and General Conditions – Sample Template
C.2	Performance and Payment Bond with Directions (for projects \$35,000 & over)
C.3	Certificate as to Corporate Principal (If Performance & Payment Bonds are required)
C.4	Instructions to Bidders for Insurance Requirements
C.5	Site Specific Safety Plan – List of Plan Requirements
C.6	Subcontractor Verification
C.7	Certification of Payments to Influence Federal Transactions (for all subcontracts \$100,000 & over)
C.8	Disclosure of Lobbying Activities (for all subcontracts \$100,000 & over)
C.9	Certification of Compliance with Washington State Wage Payment Statutes
C.10	Vendor Set Up Form
C.11	Final Affidavits of Amounts Paid – Contractors
C.12	Section 3 Individual Certification Form and FAQ's
C.13	Section 3 Labor Hours Benchmark Status Report – Sample Template

If selected, the documents that are numbered 2 through 9 will need to be submitted prior to the "Notice of Award" along with a copy of the completed Statement of Intent to Pay Prevailing Wages that you have filed with the Washington State Department of Labor and Industries.



CONSTRUCTION CONTRACT / GENERAL CONDITION SAMPLES

PLEASE SEE ATTACHED AIA DOCUMENTS

DRAFT AIA Document A101™ - 2017

Standard Form of Agreement Between Owner and Contractor

where the basis of payment is a Stipulated Sum

AGREEMENT made as of the «» day of «» in the year «» (*In words, indicate day, month and year.*)

CONTRACT NUMBER: «»

BETWEEN the Owner:

(Name, legal status, address and other information)

KING COUNTY HOUSING AUTHORITY

600 Andover Park West Tukwila, Washington 98188

and the Contractor:

(Name, legal status, address and other information)

NAME OF CONTRACTOR

Street Address City, State Zip

for the following Project:

(Name, location and detailed description)

NAME OF SITE

Street Address
City, State Zip
PROJECT NAME:

The Architect and/or The Engineer:

(Name, legal status, address and other information)

NAME OF ARCHITECT OR ENGINEER

Street Address City, State Zip

The Owner and Contractor agree as follows.

In consideration of the mutual covenants and agreements herein contained, the Contractor agrees to furnish all labor, material, tools, equipment, and other items necessary to perform and complete all work described in the contract documents. This agreement includes Owner Directed Work Items. The Owner Directed Work Items may or may not be completed under this agreement. If the Owner elects to exclude any or all Owner Directed Work Items, an adjustment shall be made to this Agreement.

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

AIA Document A201™-2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.



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TABLE OF ARTICLES

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- 8 **MISCELLANEOUS PROVISIONS**
- **ENUMERATION OF CONTRACT DOCUMENTS**

THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others. The Contractor shall comply with the Detailed Summary of the Scope of Work per Exhibit A.

ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be: (Check one of the following boxes.)

[« »] The date of this Agreement.

[**X**] A date set forth in a notice to proceed issued by the Owner.

[« »] Established as follows: (Insert a date or a means to determine the date of commencement of the Work.)

§ 3.2 The Contract Time shall be measured from the date of commencement of the Work, as indicated in the Notice to Proceed.

§ 3.3 Substantial Completion

§ 3.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work no later than the date stipulated in the **Notice to Proceed**.

User Notes:

Portion of Work Dates Month, Day, Year **Contract – Start Date** Month, Day, Year to Month, Day, Year **Construction Period Substantial Completion Date** Month, Day, Year **Physical Completion Date** Month, Day, Year (Liquidated Damages Start) **Contract – Final Completion Date** Month, Day, Year

§ 3.3.3 If the Contractor fails to achieve Substantial Completion as provided in this Section 3.3, liquidated damages, if any, shall be assessed as set forth in Section 4.5.

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be «» (\$ «»), subject to additions and deductions as provided in the Contract Documents.

§ 4.2 Alternates

§ 4.2.1 Alternates, if any, included in the Contract Sum:

Alternate Number and Description

§ 4.2.2 Subject to the conditions noted below, the following alternates may be accepted by the Owner following execution of this Agreement. Upon acceptance, the Owner shall issue a Modification to this Agreement. (Insert below each alternate and the conditions that must be met for the Owner to accept the alternate.)

Conditions for Acceptance **Item Price** NA § 4.3 Allowances, if any, included in the Contract Sum: (*Identify each allowance.*) **Allowance Description Price Owner's Contingency**

§ 4.4 Unit prices, if any:

(Identify the item and state the unit price and quantity limitations, if any, to which the unit price will be applicable.) Unit prices will be used for unforeseen conditions where small quantities are needed. An unforeseen condition requiring large quantities resulting in a substantial change in the scope of work will not be considered applicable for unit pricing. Large deviations in the Scope of Work will be evaluated and addressed through a change order process as stipulated in the Contract Documents.

Price per Unit (\$0.00) **Unit Item Description**

§ 4.5 Liquidated damages, if any:

(Insert terms and conditions for liquidated damages, if any.)

Timely performance and completion of the Work is essential to the Owner and Time limits stated in the Agreement are of the essence. Owner will incur serious and substantial damages if Substantial Completion of the Work or Contract Completion of the entire project does not occur in the time limits defined in the Agreement. Liquidated Damages will not be assessed as a penalty, but as Liquidated Damages for breach of contract. The amount is fixed and agreed upon by the Contractor and Owner due to the extreme difficulty and impracticability of fixing and ascertaining the actual damages the Owner would sustain. This amount is construed as an actual amount of damages to the Owner and may be retained by the Owner and deducted from any payment to the Contractor for obligations in the Agreement. If different and separate completion dates are stated in the Agreement for separate parts or stages of the Work, the amount of Liquidated Damages shall apply and may be assessed on those parts or those stages of the Work which are delayed. The Owner therefore may impose and assess Liquidated Damages in the following amount per calendar day:

§ 4.6 Other:

(Insert provisions for bonus or other incentives, if any, that might result in a change to the Contract Sum.)

NA

ARTICLE 5 PAYMENTS

§ 5.1 Progress Payments

- § 5.1.1 Based upon Applications for Payment submitted to the Owner by the Contractor, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.
- § 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

NA

§ 5.1.3 Provided that an Application for Payment is received by the Owner not later than the 25th day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the 25th day of the following month.

(Federal, state or local laws may require payment within a certain period of time.)

- § 5.1.3.1 Applications for Payment may be delayed by Owner should any criteria listed in A201TM-2017 General Conditions Section 9.5.1 be evident or if the As-Built Documents have not been updated per Division 01 10 10 Scope of Work Section 2.0.B.6.
- § 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to substantiate its accuracy. This schedule, unless objected to by the Owner, shall be used as a basis for reviewing the Contractor's Applications for Payment.
- § 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.
- § 5.1.6 In accordance with AIA Document A201TM–2017, General Conditions of the Contract for Construction, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:
- § 5.1.6.1 The amount of each progress payment shall first include:
 - That portion of the Contract Sum properly allocable to completed Work;
 - .2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing.; and
- § 5.1.6.2 The amount of each progress payment shall then be reduced by:
 - The aggregate of any amounts previously paid by the Owner;
 - .2 The amount, if any, for Work that remains uncorrected and for which the Owner has previously withheld an Application for Payment as provided in Article 9 of AIA Document A201–2017;
 - .3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
 - .4 For Work performed or defects discovered since the last payment application, any amount for which the Owner may withhold payment, or nullify an Application of Payment in whole or in part, as provided in Article 9 of AIA Document A201-2017; and
 - .5 Retainage withheld pursuant to Section 5.1.7.

User Notes:

- § 5.1.6.3 The General Contractor Certification upon the Application for Payment form, per Exhibit B will accompany each Application for Payment. By submitting an Application for Payment, the Contractor certifies, agrees and warrants to the Owner as follows:
- The Contractor has made full payment to all laborers, subcontractors and suppliers of material and equipment whose charges were included in any prior Application for Payment, subject only to (a) retainage at the contract rate, and (b) the matters set forth below or on an attachment hereto.
- The Contractor knows of no one making a claim for payment other than those included in the current Application for Payment, who will be paid when the current Application for Payment is paid by the Owner, except as noted below or on an attachment hereto.
- In consideration of payments made by the Owner, the Contractor hereby waives and releases any and all claims and demands against Owner and the Project for all periods up to and including the period covered by this Application for Payment, subject only to (a) receipt of payment of the current Application, (b) applicable retainage, and (c) the matters set forth below or on an attachment hereto.

§ 5.1.7 Retainage

§ 5.1.7.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:

(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)

«Five Percent » « 5% »

§ 5.1.7.1.1 The following items are not subject to retainage:

(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)

« NA »

§ 5.1.7.2 Reduction or limitation of retainage, if any, shall be as follows:

(If the retainage established in Section 5.1.7.1 is to be modified prior to Substantial Completion of the entire Work, including modifications for Substantial Completion of portions of the Work as provided in Section 3.3.2, insert provisions for such modifications.)

$\ll NA \gg$

- § 5.1.7.3 Retainage shall be held at the rate stated in § 5.1.7.1 for the duration of the project. There shall be no reduction or limitation of retainage. There shall also be no early release of retainage by the Owner to the Contractor. The Owner must obtain all releases in relation to Contractor Compliance from the Washington State Department of Revenue, the Washington State Department of Labor and Industries, and the Washington State Department of Employment Security prior to any release of retention by the Owner to the Contractor.
- § 5.1.8 If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201-2017.
- § 5.1.9 Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

§ 5.2 Final Payment

- § 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when
 - the Contractor has fully performed the Contract except for the Contractor's responsibility to correct .1 Work as provided in Article 12 of AIA Document A201–2017, and to satisfy other requirements, if any, which extend beyond final payment; and
 - .2 a final Application for Payment has been approved by the Owner;
 - verification of final Affidavits of Wages Paid (L&I) is provided by the Contractor to the Owner; .3
 - final Review and Approval of all Certified Payroll Documents for all Prevailing Wages.

User Notes:

(2034653549)

§ 5.2.2 The Owner's final payment to the Contractor shall be made no later than 30 days after the Owner's approval of the Final Application for Payment,

ARTICLE 6 DISPUTE RESOLUTION

§ 6.1 Any claim between the Owner and Contractor shall be resolved in accordance with the provisions set forth in Article 15 of AIA Document A201–2017.

ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2017.

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2017.

ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201–2017 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 The Owner's representative:

(Name, address, email address, and other information)

Nikki Parrott, Director of Capital Construction & Weatherization

- «», Project Manager
- «», Construction Coordinator
- «», Project Engineer

§ 8.3 The Contractor's representative:

(Name, address, email address, and other information)

«», President

§ 8.4 Neither the Owner's nor the Contractor's representative shall be changed without ten days' prior notice to the other party.

§ 8.5 Insurance and Bonds

§ 8.5.1 The Contractor shall purchase and maintain insurance as set forth in AIA Document A101TM 2017, Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum.

Type of insurance or bond

Limit of liability or bond amount (\$0.00)

Certificate of Liability Insurance Requirements:

Limit
Coverage
(Exhibit - C)
Coverage
Requirements:

Coverage
Completed Value of Project
Performance and Payment Bond:
Gross Contract Amount
100%

§ 8.6 Other provisions:

§ 8.6.1 Section 3: Instruction, Requirements and Income Guidelines

§ 8.6.1.1 The Contractor shall comply with all requirements of the Section 3 Program for Economic Opportunities providing to the greatest extent possible, job training, employment and contract opportunities for low and very low income residents including persons who are recipients of HUD assistance for housing, with preference for both targeted workers living in the service area or neighborhood of the Development and Youthbuild participants, as defined at 24 CFR Part 75 per Exhibit D.1-D.3 and as designated in AIA A201-2017, Section 18 of the General Conditions.

§ 8.6.2 Davis-Bacon / HUD Non-Routine Maintenance / State Prevailing Wage Certified Payroll

§ 8.6.2.1 The Contractor shall comply with requirements and regulations of the Davis-Bacon Act per E.0 & E.1.

.1 Payment of Wages to Workers shall be weekly.

User Notes:

- .2 Certified Payroll Reports recording wages paid to each worker will be submitted to the Owner
- .3 Failure to submit weekly Certified Payroll Reports or errors in payroll reports for the Contractor and any and all Subcontractors, and any Lower Tier Subcontractors will be cause for the Owner to suspend or delay Contract Progress Payments.
- .4 The Owner shall withhold progress payments until all issues regarding full compliance with the submission of Certified Payroll Reports are resolved to the complete and full satisfaction of the
- .5 The Contractor is required and shall perform a complete review of all Certified Payroll Reports including those of the Contractor, and all Subcontractors and any and all Lower Tier Subcontractors prior to the submission of the reports by the Contractor to the Owner.
- The Wage Decision for this project is:

(Check one of the following boxes.)

[«»] Davis-Bacon

Construction Type «» Decision No. «» Modification No. «», Date «»

[«»] HUD Non-Routine Maintenance Date «»

[«»] WA State Prevailing Effective Date «» County «»

§ 8.6.3 Prevailing Wage Exemption

§ 8.6.3.1 For all contracts with a Bid Date of May 15, 2011 or later:

- When a Contractor claims an exemption from State Prevailing Wage Requirements on HUD Projects, the Contractor and all Subcontractors and all tiers must file an Intent and Affidavit with the Washington State Department of Labor and Industries for that project.
- .2 The Statement of Intent must also include an Exemption Claim stating that the project is exempt from the payment of State Prevailing Wage Rates based on the Housing Act of 1937 and 24CFR 965.101 and further stating that all workers will be paid in accordance with the requirements of the Davis-Bacon Wage Requirements, per Exhibit E.2.
- Pursuant to RCW 39.12.040, the Owner will not make any payments to a Contractor who has not submitted an Intent Form that has been approved by the Washington State Department of Labor and Industries Industrial Statistician, or release funds retained until the Contractor and all subcontractors have submitted Affidavit forms that have been certified by the Industrial Statistician.

ARTICLE 9 **ENUMERATION OF CONTRACT DOCUMENTS**

§ 9.1 This Agreement is comprised of the following documents:

- AIA Document A101TM–2017, Standard Form of Agreement Between Owner and Contractor .1
- .2 AIA Document A201TM–2017, General Conditions of the Contract for Construction
- .3 Drawings

Number Title **Date** Refer to Exhibit F **Drawings Table of Contents**

Specifications

Section Title **Date Pages** Refer to Exhibit G **Specifications Table of Contents**

.5 Addenda, if any:

> Number **Date Pages**

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 9.

Other documents, if any, listed below:

(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201TM–2017 provides that the advertisement or invitation to bid, Instructions to Bidders, sample forms, the Contractor's bid or proposal, portions of Addenda relating to bidding or proposal requirements, and other information furnished by the Owner in anticipation of receiving bids or proposals, are not part of the Contract Documents unless enumerated in this Agreement. Any such documents should be listed here only if intended to be part of the Contract Documents.)

Dia L	ocuments	
.1	Contractor's Bid Documents	Exhibit H
.2	Contractor's Company COVID – 19 Protection Protocols	Exhibit I
.3	Contractor's Site Specific COVID- 19 Safety Plan	Exhibit J

This Agreement entered into as of the day and year first written above.

KING COUNTY HOUSING AUTHORITY

XXX CONSTRUCTION COMPANY

OWNER (Signature)		CONTRACTOR (Signature)		
Name (Print)		Name (Print)		
Title (Print)		Title (Print)		
		Contractor's License No.: «»		
EXHIBIT OVE	RVIEW:			
DESCRIPTION	ſ	CROSS REFERENCE		
Exhibit – A Exhibit – B Exhibit – C Exhibit – D.1 Exhibit – D.2 Exhibit – D.3 Exhibit – E.0 Exhibit – E.1 Exhibit – E.2 Exhibit – F Exhibit – G Exhibit – H Exhibit – I Exhibit – I	Detailed Summary of Scope of Work Application for Payment Insurance Requirements Section 3 Documents Section 3 Documents Section 3 Documents Certified Payroll Certified Payroll Prevailing Wage Exemption Drawings Specifications Contractor's Bid Documents Contractor's Company COVID-19 Prote Contractor's Site Specific COVID-19 S			

DRAFT AIA Document A201™ - 2017

General Conditions of the Contract for Construction

CONTRACT NUMBER:

for the following PROJECT:

(Name and location or address)

NAME OF SITE

Street Address City, State Zip **PROJECT NAME:**

THE OWNER:

(Name, legal status and address)

KING COUNTY HOUSING AUTHORITY

600 Andover Park West Tukwila, Washington 98188

THE CONTRACTOR:

(Name, legal status and address)

CONTRACTOR'S NAME

Address City, State Zip

THE ARCHITECT and/or THE ENGINEER:

(Name, legal status and address)

ARCHITECT/ENGINEER NAME

Address City, State Zip

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

For guidance in modifying this document to include supplementary conditions, see AIA Document A503™, Guide for Supplementary Conditions.



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ARTICLE 1 GENERAL PROVISIONS

§ 1.1 Basic Definitions

§ 1.1.1 The Contract Documents

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Owner. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding or proposal requirements.

§ 1.1.2 The Contract

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

§ 1.1.3 The Work

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

§ 1.1.4 The Project

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

§ 1.1.5 The Drawings

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

§ 1.1.6 The Specifications

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

§ 1.1.7 Instruments of Service

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

§ 1.2 Correlation and Intent of the Contract Documents

§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

§ 1.2.1.1 The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

- § 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.
- § 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

§ 1.3 Capitalization

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

§ 1.4 Interpretation

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

§ 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service

- § 1.5.1 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Contractor, Subcontractors, Subsubcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.
- § 1.5.2 The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner.

§ 1.6 Notice

- § 1.6.1 Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission.
- § 1.6.2 Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

§ 1.7 Digital Data Use and Transmission

If the parties intend to transmit Instruments of Service or any other information or documentation in digital form, they shall endeavor to establish necessary protocols governing such transmissions, unless otherwise already provided in the Agreement or the Contract Documents.

ARTICLE 2 OWNER

§ 2.1 General

- § 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.
- § 2.1.2 The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

§ 2.2 Evidence of the Owner's Financial Arrangements

§ 2.2.1 For the purposes of this Agreement the Owner has provided sufficient and adequate funding for this project. The Contractor may only request such evidence if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) a change in the Work materially changes the Contract Sum; or (3) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due. The Owner shall furnish such evidence as a condition precedent to commencement or continuation of the Work or the portion of the Work affected by a material change.

§ 2.3 Information and Services Required of the Owner

- § 2.3.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.
- § 2.3.2 The Owner may retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.
- § 2.3.5 The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.
- § 2.3.6 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.
- **§ 2.3.6** Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

§ 2.4 Owner's Right to Stop the Work

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

§ 2.5 Owner's Right to Carry Out the Work

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. In such case an appropriate Change Order shall be issued deducting from payments then or thereafter due the Contractor for the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the additional professional design services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the professional design services, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

ARTICLE 3 CONTRACTOR

§ 3.1 General

User Notes:

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

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- § 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.
- § 3.1.3 The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Owners's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.
- § 3.1.4 Progress Meetings. The Contractor shall schedule and conduct meetings with the Owner and as determined by the Owner with the Architect also present, to discuss such matters as procedures, progress, coordination, and the Final Project Schedules. The Contractor shall prepare, record, and promptly distribute minutes of each progress meeting to each attendee and identified stakeholders. The Contractor shall also provide a short-term look ahead schedule for presentation and review at each progress meeting. The short-term schedule shall be in sufficient detail to allow the Contractor and Owner to make any necessary schedule modifications to maintain the progress of the Work and for adherence to the time frames stipulated in the Agreement. The following shall also be included in the progress meeting agenda:
 - .1 Coordination of architectural, structural, mechanical, electrical, civil work or any other item associated with the Work.
 - .2 Measures to mitigate adverse effects of construction on the residents of the development during construction.
 - .3 Resolving issues with governing agencies.
 - .4 Status of submittals, RFI's, COR's and COR's.
 - .5 Site safety and associated issues.
 - .6 Segregated and comingled material recycling reports.
 - .7 Section 3 compliance and status.

§ 3.2 Review of Contract Documents and Field Conditions by Contractor

- § 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.
- § 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Owner any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Owner may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.
 - .1 If there is a discrepancy between Scope of Work, Specifications and/or Drawings, the Scope of Work shall take precedence followed by the Specifications and lastly the drawings.
- § 3.2.3 The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Owner any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Owner may require.
- § 3.2.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Owner issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

§ 3.3 Supervision and Construction Procedures

- § 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner, and shall propose alternative means, methods, techniques, sequences, or procedures. The Owner shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Owner objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures and shall not proceed with that portion of the Work without further written instructions from the Owner. If the Contractor is then instructed to proceed with the required means, methods, techniques, sequences or procedures without acceptance of changes proposed by the Contractor, the Owner shall be solely responsible for any loss or damage arising solely from those Owner-required means, methods, techniques, sequences or procedures.
- § 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.
- § 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

§ 3.4 Labor and Materials

- § 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.
- § 3.4.2 Except in the case of minor changes in the Work authorized by the Owner in accordance with Section 3.12.8, the Contractor may make substitutions only with the consent of the Owner, and in accordance with a Change Order or Construction Change Directive.
- § 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

§ 3.5 Warranty

- § 3.5.1 The Contractor warrants to the Owner that materials and equipment furnished under the Contract will be of good quality, new and in conformance with the Contract Documents unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work-the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Owner, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.
- § 3.5.2 All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

§ 3.6 Taxes

User Notes:

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

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§ 3.7 Permits, Fees, Notices and Compliance with Laws

- § 3.7.1 Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay permits other than those acquired and paid by the Owners.
- § 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.
- § 3.7.3 If the Contractoror performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction. The Contract shall provide the required tenant notices as directed by the Owner.

§ 3.7.4 Concealed or Unknown Conditions

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Owner will promptly investigate such conditions and, if the Owner determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Owner determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Owner shall promptly notify the Contractor in writing, stating the reasons.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

§ 3.8 Allowances

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the shall be included in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall confirm for the Owner of the name and qualifications of the superintendent as identified in the Bid Documents. Within 14 days of receipt of the information, the Owner may notify the Contractor, stating whether the Owner (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Owner to provide notice within the 14-day period shall constitute notice of no reasonable objection.

- § 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.
- § 3.9.4 The Contractor's Superintendent(s) shall be physically present at the jobsite from daily commencement of work to daily completion of work. The site shall be managed daily without interruption. Daily commencement and daily completion are defined as the actual hours of operation for the project.

§ 3.10 Contractor's Construction and Submittal Schedules

- § 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.
- § 3.10.2 The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Owner's approval. The Owner's approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Owner reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.
- § 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner.

§ 3.11 Documents and Samples at the Site

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Owner, and delivered to the Owner upon completion of the Work as a record of the Work as constructed.

§ 3.12 Shop Drawings, Product Data and Samples

- § 3.12.1 Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.
- § 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.
- § 3.12.3 Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.
- § 3.12.4 Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.
- § 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Owner, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule approved by the Owner or, in the absence of an approved submittal

schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of Separate Contractors.

- § 3.12.6 By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.
- § 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been approved by the Owner.
- § 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Owner's approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Owner of such deviation at the time of submittal and (1) the Owner has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Owner's approval thereof.
- § 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Owner on previous submittals. In the absence of such notice, the Owner's approval of a resubmission shall not apply to such revisions.
- § 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.
- § 3.12.10.1 If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner will specify all performance and design criteria that such services must satisfy. The Contractor shall be entitled to rely upon the adequacy and accuracy of the performance and design criteria provided in the Contract Documents. The Contractor shall cause such services or certifications to be provided by an appropriately licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Owner. The Owner shall be entitled to rely upon the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner has specified to the Contractor the performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Owner will review and approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents.
- § 3.12.10.2 If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

§ 3.13 Use of Site

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

§ 3.14 Cutting and Patching

§ 3.14.1 The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor except with written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

§ 3.15 Cleaning Up

§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project. Contractor to be in compliance with Section 6002 of the Solid Waste Disposal Act as amended by Resource Conservation & Recovery Act.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

§ 3.16 Access to Work

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

§ 3.17 Royalties, Patents and Copyrights

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Owner. Contractor is to be in compliance with the Right of Inventions Act (37 CFR Part 401).

§ 3.18 Indemnification

§ 3.18.1 To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.

ARTICLE 4 ARCHITECT

§ 4.1 General

User Notes:

§ 4.1.1 The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

§ 4.1.2 Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner, Contractor, and Architect. Consent shall not be unreasonably withheld.

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§ 4.2 Administration of the Contract

- § 4.2.1 The Owner will provide administration of the Contract as described in the Contract Documents during construction until the date the Owner issues the final Certificate for Payment.
- § 4.2.2 The Owner will visit the site at intervals appropriate to the stage of construction to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Owner will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Owner will not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.
- § 4.2.3 On the basis of the site visits, the Owner will identify (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Owner will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Owner will not have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.
- § 4.2.4 The Owner will conduct a mandatory pre-construction meeting with the Contractor. The meeting will include but is not limited to a review of the scope of work, project schedules, general requirements for construction work, jobsite security, staging and storage areas, material recycling and salvage, jobsite cleanup, and tests, samples and construction observation. The meeting will also include a review of the submittal process for applications for payment, the change order process, the process for progress payments, the final application for payment, and release of retention. A review of the Certified Payroll process will also be conducted. A separate Certified Payroll training session will be conducted by the Owner with the Contractor and with each subcontractor.
- § 4.2.5 A separate meeting will be also be conducted to review the Section 3 plan submitted by the Contractor and to review of the Section 3 reporting procedures.
- § 4.2.6 The Contractor shall contact the local jurisdiction to conduct a pre-construction conference with building officials and other local agencies as applicable for the project.

§ 4.2.4 Communications

Except as otherwise provided in the Contract Documents or when direct communications have been specially authorized, the Owner and Contractor shall endeavor to communicate with each other directly about matters arising out of or relating to the Contract. Communications by and with the Architect's consultants shall be through the Owner. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

- § 4.2.5 Based on the Owner's evaluations of the Contractor's Applications for Payment, the Owner will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.
- § 4.2.6 The Owner has authority to reject Work that does not conform to the Contract Documents. Whenever the Owner considers it necessary or advisable, the Owner will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Owner nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Owner to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.
- § 4.2.7 The Owner and/or Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Owner and/or Architect's action will be taken in accordance with the submittal schedule approved by the Owner or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Owner's professional judgment to permit adequate review. Review of such submittals is not conducted for the

purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Owner's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Owner and/or Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Owner's and/or Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

- § 4.2.8 The Owner will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Owner will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.
- **§ 4.2.9** The Owner will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.
- § 4.2.11 The Owner will interpret and decide matters concerning performance under, and requirements of, the Contract Documents. The Owner's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.
- § 4.2.12 Interpretations and decisions of the Owner will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings..
- § 4.2.13 The Owner's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.
- § 4.2.14 The Owner will review and respond to requests for information about the Contract Documents. The Owner's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will assist the Owner and respond to Requests For Information (RFI's) as directed by the Owner and will prepare and issue supplemental Drawings and Specifications in response to the Requests For Information.

ARTICLE 5 SUBCONTRACTORS

§ 5.1 Definitions

- § 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.
- § 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

§ 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

- § 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, shall confirm for the Owner of the persons or entities for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design as identified in the Bid Documents. Within 14 days of receipt of the information, the Owner may notify the Contractor whether the Owner (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Owner to provide notice within the 14-day period shall constitute notice of no reasonable objection.
- § 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection. The Contractor shall ensure that all and any Subcontractor(s) are not on any Debarment Lists and are Not Excluded from performing work on Federally Funded Projects. The Contractor shall provide written evidence of such to the Owner prior to the commencement of work.

§ 5.2.3 If the Owner has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner makes reasonable objection to such substitution.

§ 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Subsubcontractors.

§ 5.4 Contingent Assignment of Subcontracts

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

- § 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.
- § 5.4.3 Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS § 6.1 Owner's Right to Perform Construction and to Award Separate Contracts

§ 6.1.1 The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

User Notes:

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- § 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.
- § 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.
- § 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

§ 6.2 Mutual Responsibility

- § 6.2.1 The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.
- § 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Owner of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Owner of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.
- § 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.
- § 6.2.4 The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.
- § 6.2.5 The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.
- § 6.2.6 The Contractor is obligated to comply with all Davis-Bacon and/or State Prevailing regulations if applicable, and shall inform all Subcontractors of this mandatory requirement. Strict compliance of the provisions of certified payrolls and monitoring of that compliance is a direct responsibility of the Contractor for each Subcontractor. Failure of the Contractor to monitor Davis-Bacon requirements including certified payroll compliance by Subcontractors, as evidenced by the Owner's review and written correction notices provided to the Contractor of non-compliance, will result in delay of progress payments to the Contractor by the Owner.

§ 6.3 Owner's Right to Clean Up

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Owner will allocate the cost among those responsible.

CHANGES IN THE WORK ARTICLE 7 § 7.1 General § 7.1.1

The Owner anticipates that it will desire the Contractor to accomplish Work that was not able to .1 be reasonably defined in sufficient detail during the solicitation for Bids for this Project. The

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- Contractor agrees to perform such Owner Directed Work in accordance with the Contract Documents.
- .2 A fixed sum has been determined by the Owner for each individual and separate Owner Directed Work Item. The total Contract Amount includes the lump sum total of all combined Owner Directed Work Items. It is at the Sole Discretion and Decision of the Owner to Authorize the Contractor to proceed with each individual Owner Directed Work Item. If any or all Owner Directed Work Items are determined to be excluded from the Work, the Owner will provide a Change Order to the Contractor to deduct those amounts from the Contract.
- .3 If any or all Owner Directed Work Items are authorized by the Owner to be completed. The Owner will issue an Owner Directed Work Order to the Contractor for that Work Item.
- .4 For each Owner Directed Work Order issued to the Owner, the Contractor shall provide a complete and detailed cost estimate for that item to the Owner. The Contractor's estimate shall be approved by the Owner, prior to the commencement of any Owner Directed Work. Adjustments to the Contract may be made as an additive or deductive Change Order as determined by the difference between the Owner Directed Work Item Allowance, the Final Approved Estimated Cost as submitted by the Contractor, and the final Analysis of the Cost as conducted by the Owner.
- .5 The Contractor shall provide a complete and accurate time and material account and reconciliation report to the Owner for each issued work authorization for each Owner Directed Work Item.
- Owner Directed Work Items are defined in the Contract Documents. .6
- § 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents. All changes in the Work shall be completed for a Fixed Fee.
 - Overhead, Profit, and General Conditions
 - (a.) The allowed markup shall cover all indirect project costs, including but not limited to: project Overhead, Profit, and General Conditions
 - (b.) The Contractor shall be allowed a maximum of 14% Overhead, Profit, and General Conditions, on the cost of craft labor, equipment, small tools and materials for self-performed Change Order work.
 - (c.) The Contractor shall be allowed a maximum of 8% Overhead, Profit, and General Conditions on the cost of craft labor, equipment, small tools and materials for Subcontractor Change Order work. The Contractor is not allowed to take a profit on the profit of the Subcontractor, as stated in form HUD-5370, section 29.
 - (d.) A Subcontractor shall be allowed a maximum of 14% of the cost of craft labor, equipment, materials, and small tools for Overhead, Profit, and General Conditions, for performing selfperformed Change Order work.
 - (e.) A Lower Tier Subcontractor shall be allowed a maximum of 14% of the cost of craft labor, equipment, materials, and small tools for Overhead, Profit, and General Conditions, for performing Change Order work.
- § 7.1.2 A Change Order shall be based upon agreement among the Owner and Contractor. A Construction Change Directive requires agreement by the Owner and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Owner.
- § 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

§ 7.2 Change Orders

- § 7.2.1 A Change Order is a written instrument prepared by the Owner and signed by the Owner and Contractor stating their agreement upon all of the following:
 - .1 The change in the Work;
 - .2 The amount of the adjustment, if any, in the Contract Sum; and
 - .3 The extent of the adjustment, if any, in the Contract Time.

§ 7.3 Construction Change Directives § 7.3.1

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A Construction Change Directive shall be provided as stipulated by the Owner and in accordance with the King County Housing Authority Change Order Request documents (COR) per Exhibit as designated in Document A101-2017, Article 9.1.7.2. The COR is a written order prepared by the Owner and signed by the Owner and upon Owner's request, by the Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order. The Owner's Change Order Request / Change Order Approval Form (COR/COA) per Exhibit as designated in Contract Document A101-2017, Article 9.1.7.2, including the General Contractor Breakdown Summary (GC-COR) Exhibit, and the Subcontractor Breakdown Summary (SC-COR) Exhibit, shall be used by the Contractor for all construction change directives.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- **.4** As provided in Section 7.3.4.

§ 7.3.4 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Owner shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Owner may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Owner;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- **.3** Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
- .5 Costs of supervision and field office personnel directly attributable to the change.

§ 7.3.5 If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

§ 7.3.6 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Owner of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.7 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Owner. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

- § 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Owner will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Owner determines to be reasonably justified. The Owner's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.
- § 7.3.10 When the Owner and Contractor agree with a determination made concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Owner will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

§ 7.4 Minor Changes in the Work

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

ARTICLE 8 TIME

§ 8.1 Definitions

- **§ 8.1.1** Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.
- § 8.1.2 The date of commencement of the Work is the date established in the Agreement.
- § 8.1.3 The date of Substantial Completion is the date certified by the Owner in accordance with Section 9.8.
- § 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

§ 8.2 Progress and Completion

- § 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.
- § 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.
- § 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

§ 8.3 Delays and Extensions of Time

- § 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor's control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Owner determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Owner may determine.
- § 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15.
- **§ 8.3.3** This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

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ARTICLE 9 PAYMENTS AND COMPLETION

§ 9.1 Contract Sum

- § 9.1.1 The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.
- § 9.1.2 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

§ 9.2 Schedule of Values

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Owner before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Owner. This schedule shall be used as a basis for reviewing the Contractor's Applications for Payment. Any changes to the schedule of values shall be submitted to the Owner and supported by such data to substantiate its accuracy as the Owner may require, and unless objected to by the Owner, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment.

§ 9.3 Applications for Payment

- § 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Owner an itemized Application for Payment using AIA Form G701 and AIA Form G702 and in accordance with Article 5 of A101-2017 prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized, if required, and supported by all data substantiating the Contractor's right to payment that the Owner require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.
- § 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives.
- § 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.
- § 9.3.2 Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site.
- § 9.3.3 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

§ 9.4 Certificates for Payment

§ 9.4.1 The Architect will, within seven days after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.

§ 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Owner, based on the Owner's evaluation of the Work and the data in the Application for Payment, that, to the best of the Owner's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Owner. However, the issuance of a Certificate for Payment will not be a representation that the Owner has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

§ 9.5 Decisions to Withhold Certification

§ 9.5.1 The Owner may withhold Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Owner's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Owner is unable to certify payment in the amount of the Application, the Owner will notify the Contractor as provided in Section 9.4.1. If the Contractor and Owner cannot agree on a revised amount, the Owner will promptly release Payment for the amount for which the Owner is able to make such representations. The Owner may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Payment previously issued, to such extent as may be necessary in the Owner's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied:
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.
- § 9.5.2 When either party disputes the Architect's decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15.
- § 9.5.3 When the reasons for withholding certification are removed, approval will be made for amounts previously withheld.
- § 9.5.4 If the Owner withholds payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify and the Contractor shall reflect such payment on its next Application for Payment.

§ 9.6 Progress Payments

- § 9.6.1 After the Owner has issued an Application for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents.
- § 9.6.2 The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

- § 9.6.3 The Owner will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Owner on account of portions of the Work done by such Subcontractor.
- § 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. The Owner shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.
- § 9.6.5 The Contractor's payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.
- § 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.
- § 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.
- § 9.6.8 Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

§ 9.7 Failure of Payment

If the Owner does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents, the amount certified by the Owner or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' notice to the Owner, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided for in the Contract Documents.

§ 9.8 Substantial Completion

- § 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.
- § 9.8.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Owner a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.
- § 9.8.3 Upon receipt of the Contractor's list, the Owner will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Owner's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Owner. In such case, the Contractor shall then submit a request for another inspection by the Owner to determine Substantial Completion.

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- § 9.8.4 When the Work or designated portion thereof is substantially complete, the Owner will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.
- § 9.8.5 The Certificate of Substantial Completion shall be submitted to the Contractor for written acceptance of responsibilities assigned in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

§ 9.9 Partial Occupancy or Use

- § 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Owner as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor.
- § 9.9.2 Immediately prior to such partial occupancy or use, the Owner and Contractor shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.
- § 9.9.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

§ 9.10 Final Completion and Final Payment

- § 9.10.1 Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Owner will promptly make such inspection. When the Owner finds the Work acceptable under the Contract Documents and the Contract fully performed, the Owner will promptly notify the Contractor that to the best of the Owner's knowledge, information and belief, and on the basis of the Owner's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Application for Payment is due and payable. The Owner's acceptance will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.
- § 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Owner (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.
- § 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, the Owner shall, upon application by

the Contractor and certification by the Owner, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Owner prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

- § 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from
 - .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
 - .2 failure of the Work to comply with the requirements of the Contract Documents;
 - .3 terms of special warranties required by the Contract Documents; or
 - .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.
- § 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

§ 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

§ 10.2 Safety of Persons and Property

- § 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to
 - .1 employees on the Work and other persons who may be affected thereby;
 - .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
 - .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.
- § 10.2.2 The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.
- § 10.2.3 The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.
- § 10.2.4 When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.
- § 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

- § 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner.
- § 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

§ 10.2.8 Injury or Damage to Person or Property

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

§ 10.3 Hazardous Materials and Substances

- § 10.3.1 The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner of the condition.
- § 10.3.2 Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor will promptly reply to the Owner in writing stating whether or not there is reasonable objection to the persons or entities proposed by the Owner. If the Contractor has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor has no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable additional costs of shutdown, delay, and start-up.
- § 10.3.3 To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), except to the extent that such damage, loss, or expense is due to the fault or negligence of the party seeking indemnity.
- § 10.3.4 The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.
- § 10.3.5 The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.
- § 10.3.6 If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

§ 10.4 Emergencies

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

ARTICLE 11 INSURANCE AND BONDS

§ 11.1 Contractor's Insurance and Bonds

- § 11.1.1 The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Owner, Architect, and Architect's consultants shall be named as additional insureds under the Contractor's commercial general liability policy or as otherwise described in the Contract Documents.
- § 11.1.2 The Contractor shall provide surety bonds of the types, for such penal sums, and subject to such terms and conditions as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.
- § 11.1.3 Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.
- § 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance. Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

§ 11.2 Owner's Insurance

- § 11.2.1 The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.
- § 11.2.2 Failure to Purchase Required Property Insurance. If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work, Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.
- § 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance. Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the

insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

§ 11.3 Waivers of Subrogation

§ 11.3.1 The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, subsubcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

§ 11.3.2 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

§ 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance

The Owner, at the Owner's option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner's property, or the inability to conduct normal operations, due to fire or other causes of loss. The Owner waives all rights of action against the Contractor and Architect for loss of use of the Owner's property, due to fire or other hazards however caused.

§11.5 Adjustment and Settlement of Insured Loss

§ 11.5.1 A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

§ 11.5.2 Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

§ 12.1 Uncovering of Work

§ 12.1.1 If a portion of the Work is covered contrary to the Owner's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Owner, be uncovered for the Owner's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Owner has not specifically requested to examine prior to its being covered, the Owner may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

§ 12.2 Correction of Work

§ 12.2.1 Before Substantial Completion

The Contractor shall promptly correct Work rejected by the Owner or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Owner's services and expenses made necessary thereby, shall be at the Contractor's expense.

§ 12.2.2 After Substantial Completion

- § 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner, the Owner may correct it in accordance with Section 2.5.
- § 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.
- § 12.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.
- § 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.
- § 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.
- § 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

§ 12.3 Acceptance of Nonconforming Work

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

ARTICLE 13 MISCELLANEOUS PROVISIONS § 13.1 Governing Law

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

§ 13.2 Successors and Assigns

§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

§ 13.2.2 The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

§ 13.3 Rights and Remedies

§ 13.3.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

§ 13.3.2 No action or failure to act by the Owner, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

§ 13.4 Tests and Inspections

§ 13.4.1 Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Owner timely notice of when and where tests and inspections are to be made so that the Owner may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

§ 13.4.2 If the Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Owner will instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Owner of when and where tests and inspections are to be made so that the Owner may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

§ 13.4.3 If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Owner's services and expenses, shall be at the Contractor's expense.

§ 13.4.4 Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Owner.

§ 13.4.5 If the Owner is to observe tests, inspections, or approvals required by the Contract Documents, the Owner will do so promptly and, where practicable, at the normal place of testing.

§ 13.4.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

§ 13.5 Interest

Payments due and unpaid under the Contract Documents shall bear interest from the date payment is due at the rate the parties agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

§ 14.1 Termination by the Contractor

- § 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Sub-contractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:
 - Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
 - .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;
 - .3 Because the Owner has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents; or
 - .4 The Owner has failed to furnish to the Contractor reasonable evidence as required by Section 2.2.
- § 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work under direct or indirect contract with the Contractor, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.
- § 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' notice to the Owner, terminate the Contract and recover from the Owner payment for Work executed, as well as reasonable overhead and profit on Work not executed, and costs incurred by reason of such termination.
- § 14.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

§ 14.2 Termination by the Owner for Cause

- § 14.2.1 The Owner may terminate the Contract if the Contractor
 - repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
 - .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
 - repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful .3 orders of a public authority; or
 - .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.
- § 14.2.2 When any of the reasons described in Section 14.2.1 exist, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:
 - Exclude the Contractor from the site and take possession of all materials, equipment, tools, and .1 construction equipment and machinery thereon owned by the Contractor;
 - .2 Accept assignment of subcontracts pursuant to Section 5.4; and
 - .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.
- § 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.
- § 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, upon application, and this obligation for payment shall survive termination of the Contract.

§ 14.3 Suspension by the Owner for Convenience

- § 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.
- § 14.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent
 - .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
 - .2 that an equitable adjustment is made or denied under another provision of the Contract.

§ 14.4 Termination by the Owner for Convenience

- § 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.
- § 14.4.2 Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall
 - .1 cease operations as directed by the Owner in the notice;
 - .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
 - **.3** except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.
- § 14.4.3 In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed; costs incurred by reason of the termination, including costs attributable to termination of Subcontracts; and the termination fee, if any, set forth in the Agreement.

ARTICLE 15 CLAIMS AND DISPUTES

§ 15.1 Claims

§ 15.1.1 Definition

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim.

§ 15.1.2 Time Limits on Claims

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

§ 15.1.3 Notice of Claims

- § 15.1.3.1 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.
- § 15.1.3.2 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party.

§ 15.1.4 Continuing Contract Performance

§ 15.1.4.1 Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

§ 15.1.4.2 The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

§ 15.1.5 Claims for Additional Cost

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

§ 15.1.6 Claims for Additional Time

§ 15.1.6.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

§ 15.1.6.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction.

§ 15.1.7 Waiver of Claims for Consequential Damages

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

§ 15.2 Initial Decision

§ 15.2.1 Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ 15.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

§ 15.2.6.1 Either party may, within 30 days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days after receipt thereof, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

§ 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

§ 15.2.8 If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

§ 15.3 Mediation

§ 15.3.1 Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.

§ 15.3.2 The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

§ 15.3.3 Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

§ 15.3.4 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 15.4 Arbitration

§ 15.4.1 If the parties have selected arbitration as the method for binding dispute resolution in the Agreement, any Claim subject to, but not resolved by, mediation shall be subject to arbitration which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement. The Arbitration shall be conducted in the place where the Project is located, unless another location is mutually agreed upon. A demand for arbitration shall be

made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the arbitration. The party filing a notice of demand for arbitration must assert in the demand all Claims then known to that party on which arbitration is permitted to be demanded.

- § 15.4.1.1 A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.
- § 15.4.2 The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.
- § 15.4.3 The foregoing agreement to arbitrate and other agreements to arbitrate with an additional person or entity duly consented to by parties to the Agreement, shall be specifically enforceable under applicable law in any court having jurisdiction thereof.

§ 15.4.4 Consolidation or Joinder

- § 15.4.4.1 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation, (2) the arbitrations to be consolidated substantially involve common questions of law or fact, and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).
- § 15.4.4.2 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.
- § 15.4.4.3 The Owner and Contractor grant to any person or entity made a party to an arbitration conducted under this Section 15.4, whether by joinder or consolidation, the same rights of joinder and consolidation as those of the Owner and Contractor under this Agreement.

ARTICLE 16 Federal Provisions

§ 16.1 Prohibition Against the Use of Lead Based Paint

The Contractor shall comply with the prohibition against the use of lead based paint contained in the Lead Based Paint Poisoning Act (42 USC 4821-4846) as implemented by 24 CFR Part 35.

§ 16.2 Federal Health, Safety, and Accident Prevention

The Contractor shall ensure that no laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his/her health and/or safety as determined under the construction safety and health standards promulgated by the Secretary of Labor by regulation. The Contractor shall comply with §5.07 and with the regulations and standards issued by the Secretary of Labor at 29 CFR Parts 1904 and 1926 Failure to comply may result in imposition of sanctions pursuant to the Contract Work Hours and Safety Standards Act (Public Law 9154, 83 Stat. 96), 40 USC 3701 to 3708 et seq.

§ 16.3 Clean Air and Water Applicable to Contracts in Excess Of \$150,000

The Contractor shall comply with all requirements of the United States Environmental Protection Agency (EPA) 40 CFR Part 15, 42 USC 7401, 33 USC 1251 et seq., the Federal Water Pollution Control Act 33 USC 1281 et seq., and Executive Order 11738.

§ 16.4 Energy Efficiency

The Contractor shall comply with all standards and policies relating to energy efficiency which are contained in the energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub. L. 94-163) in Washington State and the Federal Energy Policy and Conservation Act (42 USC 6201).

User Notes:

(1936282232)

§ 16.5 Labor Standards; Davis-Bacon and Related Acts, if Applicable

The Contractor shall comply with all provisions of the Davis-Bacon Act and Related Acts such as the Housing Act of 1937, the National Housing Act, the Housing and Community Development Act of 1974, the National Affordable Housing Act of 1990, Equal Employment Opportunity 41 CFR Part 60 or similar related Acts for Federal Labor Standards for this Contract. The Contractor is responsible for the full compliance of all employers, including the Contractor, Subcontractors, and all the Lower-Tier Subcontractors with the Labor Standards Provisions applicable to this Project.

§ 16.6 Interest of Member of Congress

No member of or delegate to the Congress of the United States of America shall be admitted to any share or part of this Contract or to any benefit to arise therefrom, but this provision shall not be construed to extend to this Contract if made with a corporation for its general benefit. Copeland Anti-Kickback Act 40 USC 3145.

§ 16.7 Interest of Members, Officers, Commissioners and Employees, or Former Members, Officers and Employees

No member, officer, or employee of King County Housing Authority, no member of the Governing body of the locality in which the project is situated, no member of the governing body in which the Owner was activated, and no other public official or such who exercises any functions or responsibilities with respect to the project, shall, during his or her tenure, or for one year thereafter, have any interest, direct or indirect, in this contract or the proceeds thereof.

§ 16.8 Organization Conflicts of Interest

- 1 The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of the work under this Contract and the Contractor's organizational, financial, contractual or other interests are such as:
 - .a Award of the Contract may result in an unfair competitive advantage; or
 - **.b** The Contractor's objectivity in performing the Contract Work may be impaired.
- .2 The Contractor agrees that if after award they discover an organizational conflict of interest with respect to this Contract, they shall make an immediate and full disclosure in writing to the Contracting Officer, which shall include a description of the action, which the Contractor has taken or intend to take to eliminate or neutralize the conflict. The Owner may, however, terminate the Contract if it deems the action to be in the best interest of the Owner.
- .3 In the event the Contractor was aware of an organizational conflict of interest before the award of this Contract and intentionally did not disclose the conflict to the Contracting Officer, the Owner may terminate the Contract for default.
- .4 In the event the Contractor was aware of an organizational conflict of interest before the award of this Contract and intentionally did not disclose the conflict to the Contracting Officer, the Owner may terminate the Contract for default.

§ 16.9 Lobbying

Contractor shall be in compliance with the Byrd Anti-Lobbying Amendment 31 USC 3145.

§ 17 Audits and Inspections

The records and documents with respect to all matters covered by this Contract shall be subject at all times to inspection, review or audit by the Owner or any other government agency so authorized by law during the performance of this Contract. The Owner shall have the right to an annual audit of the Contractor's financial statement and condition.

- 1 The Contractor shall maintain accounts and records in accordance with State Auditor's procedures, including personnel, property, financial and programmatic records which sufficiently and properly reflect all direct and indirect costs of any nature expended and services performed in the performance of this Contract and other such records as may be deemed necessary by the Owner to ensure proper accounting for all funds contributed by the Owner to the performance of this Contract and compliance with this Contract.
- The Owner shall maintain these records for a period of six (6) years after termination hereof unless permission to destroy them in granted by the office of the archivist in accordance with RCW Chapter 40.14

§ 18 Section 3 – Instructions, Requirements and Income Guidelines, if Applicable

Contractor shall comply with all requirements of the Section 3 Program for economic opportunities providing to the greatest extent possible, job training employment and contract opportunities for low or very low income residents including persons who are recipients of HUD assistance for housing, with preference for both targeted workers living in the service area or neighborhood of the Development and YouthBuild participants, as defined at 24 CFR Part 75 ("Section 3 Regulations") per the Exhibit, as designated in AIA Contract Document A101-2007, Section 8.6.1.

- § 18.1 The work to be performed under this contract is subject to the requirements of the Section 3 Regulations. The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very lowincome persons, including persons who are recipients of HUD assistance for housing, with preference for both targeted workers living in the service area or neighborhood of the Development and YouthBuild participants.
- § 18.2 The parties to this contract agree to comply with HUD's Section 3 Regulations. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Section 3 Regulations.
- § 18.3 The Contractor agrees to send to each labor organization or representative of workers with which the Contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the Contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- § 18.4 The Contractor agrees to include this Section 3 clause in every subcontract subject to compliance with Section 3 Regulations, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the Section 3 Regulations. The Contractor will not subcontract with any subcontractor where the Contractor has notice or knowledge that the subcontractor has been found in violation of the Section 3 Regulations.
- § 18.5 The Contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the Contractor is selected but before the contract is executed, and (2) with persons other than those to whom Section 3 Regulations require employment opportunities to be directed, were not filled to circumvent the Contractor's obligations under Section 3 Regulations.
- § 18.6 Noncompliance with Section 3 Regulations may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- § 18.7 The Contractor shall submit to the Owner a Section 3 Work Plan, including hiring and subcontracting activities, and an Individual Certification Form for each person that is assigned to the project, prior to the contract execution. The Contractor will submit to the Owner with each Application for Payment the Section 3 Labor Hours Benchmark Status Report and any Individual Certification Form(s) for persons not initially assigned to the project prior to the contract execution. Noncompliance, incorrect, or missing documents will result in progress payments being withheld until all issues are resolved to the satisfaction of the Owner.
- § 18.8 Section 3 Employment and Training. Without limiting Contractor's obligation to comply with Section 3 Regulations, Contractor specifically agrees to use best efforts to provide employment and training opportunities to Section 3 workers in the following order of priority:
 - .1 To residents of the KCHA development where the work is being performed;
 - .2 To residents of other KCHA developments or for residents of Section 8-assisted housing managed by KCHA:
 - .3 To participants in YouthBuild programs; and
 - .4 To low- and very low-income persons residing within the Puget Sound Area.
- § 18.8 Section 3 Contracting. Without limiting Contractor's obligation to comply with Section 3 Regulations,

Contractor specifically agrees to use best efforts to award contracts and subcontracts to business concerns that provide economic opportunities to Section 3 workers in the following order of priority:

- .1 To Section 3 business concerns that provide economic opportunities for KCHA residents of the development where the work is being performed;
- .2 To Section 3 business concerns that provide economic opportunities for KCHA residents of other KCHA developments or Section-8 assisted housing managed by KCHA;
- .3 To YouthBuild programs; and
- To Section 3 business concerns that provide economic opportunities to Section 3 workers residing within the Puget Sound Area.

§ 19 OTHER INFORMATIVE INFORMATION

§ 19.1 Certificate of Endorsement, Final Project Schedule, Subcontractor List, Performance and Payment Bond and Section 3 Plan must be received and approved by the Owner prior to the issuance of the Notice to Proceed.





PERFORMANCE AND PAYMENT BOND INSTRUCTIONS

DIRECTIONS FOR PREPARATION OF PERFORMANCE AND PAYMENT BOND

- 1. Individual sureties, partnerships, or corporations not in the surety business will not be acceptable.
- 2. The name of the Principal shall be shown exactly as it appears in the Contract.
- 3. The penal sum shall not be less than required by the Specifications.
- 4. If the Principals are partners or joint venturers, each member shall execute the bond as an individual and state is place of residence.
- 5. If the principal is a corporation, the bond shall be executed under its corporate seal. If the corporation has no corporate seal, it shall so state and affix a scroll or adhesive seal following the corporate name.
- 6. The official character and authority of the person(s) executing the bond for the Principal, if a corporation, shall be certified by the Secretary or Assistant Secretary thereof under the corporate seal, or copies attached to such records of the corporation as will evidence the official character and authority of the officer signing, duly certified by the Secretary or Assistant Secretary, under the corporate seal, to be true copies.
- 7. The current power-of-attorney of the person signing for the surety company must be attached to the bond.
- 8. The date of the bond must not be prior to the date of the Contract.
- 9. The following information must be placed on the bond by the surety company:
 - a. The Rate of premium in dollars per thousand; and
 - b. The total dollar amount of premium charged
- 10. The signature of a witness shall appear in the appropriate place attending to the signature of each party of the bond.
- 11. Type or print the name underneath each signature appearing on the bond
- 12. An executed copy of the bond must be attached to each copy of the Contract (original counterpart) intended for signing.



KCHA – MARDI GRAS WASTE LINES CAPITAL CONSTRUCTION DEPARTMENT

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as PRINCIPAL, and		
SURETY are held and bound unto the KIN hereinafter called the Public Housing Authori	NG COUNTY HOUSING AUTHORITY of Seattle	e, Washington,
	• •	and No/100
(\$) DOLLARS , la	awful money of the United States, for the payment of	which Lawful
	of which sum will and truly be made, we bind oursel ns, jointly and severally, firmly by these presents.	ves, our heirs,
WHEREAS the Principal has entered into	o a certain Contract with the Public Housing A	authority dated
, 20, a co	ppy of which is hereto attached and made a part hereof.	
all claims arising out of the prosecution of the V Authority for all expenses which it may incur be and if the Principal shall make full payment to prosecution of the Work under the contract, in hereupon, and if the Principal shall pay or cause of the Contract as well as payment of gasoline all motor vehicle fees required for commercial may	for which the Contract provides, and if the Principal shawork under the contract and shall fully indemnify the Foy reason of such claims, including its attorney's fees a shall persons supplying labor, services, materials, or equal default of which such persons shall have a direct to be paid all sales and use taxes payable as a result of the and special motor fuels taxes in the performance of the notor vehicles used in connection with the performance of shall remain in full force and effect. No modification of	Public Housing and court costs, uipment in the right of action the performance to Contract and of the Contract,
or extension of the term thereof, nor any forber release the Principal or the Surety from liability or forbearance is hereby waived. IN WITNESS WHEREOF, the aforesaid Principal or the term of the t	arance on the part of the Public Housing Authority, she hereunder. Notice to the Surety of any such modificat scipal and Surety have executed this instrument and affi	all in any way ion, extension,
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CERTIFICATE AS TO CORPORATE PRINCIPAL

CERTIFICATE AS TO CORPORATE PRINCIPAL

I,	certify that I am the
President / Vice President / Secretary / _	of the Entity: Corporation
/LLC /	, named as the Principal in the aforegoing bond. The authorized
Official of the named bonding agent wh	o signed the said bond on behalf of the Principal, hereby certifies
that said bond was fully signed, sealed	and attested for and in behalf of said Entity by authority of its
Governing body.	
Named Bonding Agent:	
Affix Corporate Seal / Authorized Signa	fure:



KING COUNTY HOUSING AUTHORITY INSURANCE REQUIREMENTS

INSTRUCTIONS / ENDORSEMENT INFORMATION FOR COMPLETING, EXECUTING, AND SUBMITTING EVIDENCE OF INSURANCE

A. INSURED CONTRACTOR:

- 1. In order to reduce problems and time delays in providing evidence of insurance to the King County Housing Authority you are requested to give your insurance agent or broker a copy of the Insurance Requirements Sheet along with the Instructions/Endorsement Form(s) for Completing, Executing, and Submitting Evidence of Insurance.
- 2. If the agreement requires Workers' Compensation coverage and you have been authorized by the State to self-insure Workers' Compensation, then a copy of the certificate from the State authorizing self-insurance for Workers' Compensation shall meet the requirements for Workers' Compensation insurance covering activities within the State.
- 3. All questions relating to insurance should be directed to the department or office responsible for your contract, lease, permit, or other agreement.

B. INSURANCE AGENT OR BROKER:

- 1. The appropriate Endorsement Form shall include:
 - a. King County Housing Authority as Additional Insured
 - b. State that the Contractor's Insurance Is Primary
 - c. State King County Housing Authority's Insurance Is Non-Contributory In Claims Settlement Funding

PLEASE NOTE: King County Housing Authority WILL NOT ACCEPT Certificates of Insurance Alone.

- 2. More than one insurance policy may be required to comply with the insurance requirements. Endorsement forms appropriate to your insured's agreement, contract, lease or permit are included. In each instance, King County Housing Authority shall be named as additionally insured on the appropriate endorsement forms.
- 3. You shall have an authorized representative of the insurance company forward the completed endorsement forms with his/her phone number noted at the bottom of the page, to King County Housing Authority.
- 4. The name of the Insurance Company underwriting the coverage and its address shall be noted on the endorsement form.
- 5. The "General description of agreement(s) and/or activity(s) insured" shall include reference to the activity and/or to either the specific King County Housing Authority's:
 - a. Project or Site Name
 - b. Contract Number
 - c. Lease Number
 - d. Permit Number
 - e. Construction Approval Number



- 6. The Coverage and limits for each type of insurance are specified on the insurance requirements sheet. When coverage is on a scheduled basis, then a separate sheet is to be attached to the endorsement listing such scheduled locations, vehicles, etc. so covered.
- 7. Endorsements to excess policies will be required when primary insurance is insufficient in complying with King County Housing Authority's requirements.
- 8. If there is insufficient space on the form to note pertinent information, such as inclusions, exclusions or specific provisions, etc., a separate sheet may be attached.
- 9. When additional sheets are attached, change the number of pages at the bottom of the form to so indicate.
- 10. Completed Endorsement(s) including cancellation notices and questions relating to the required insurance are to be directed to:

KING COUNTY HOUSING AUTHORITY ATTN: CAPITAL CONSTRUCTION DEPARTMENT 700 ANDOVER PARK WEST, SUITE C TUKWILA, WA 98188

- 11. Improperly Completed Endorsements will be returned to your insured for correction by an authorized representative of the insurance company.
- 12. For extensions or renewals on insurance policies which have King County Housing Authority Endorsement Form(s) attached, the Housing Authority will accept a copy of the endorsement to extend the period of coverage as evidence of continued coverage.

C. MINIMUM LIMITS:

1. REFER TO "Insurance Requirements" attached.



INSURANCE REQUIREMENTS FOR BUILDING TRADE CONTRACTORS (with Construction Risks)

The Awarded Contractor shall comply as follows:

Contractor shall procure and maintain, at their expense, for the duration of the contract insurance against claims for injuries to persons or damages to property, which may arise from or in connection with the performance of the work hereunder by the Contractor, his agents, representatives, employees or subcontractors.

THE KING COUNTY HOUSING AUTHORITY (AUTHORITY) SHALL BE NAMED AS ADDITIONALLY INSURED ON THE APPROPRIATE ENDORSEMENT FORMS.

MINIMUM SCOPE OF INSURANCE:

Coverage shall be at least as broad as:

- 1. Insurance Services Office Commercial General Liability coverage including Products / Completed Operations.
- 2. Insurance Services Office covering any Owned, Leased, Hired and Non-owned, and Automobile Liability.
- 3. Workers' Compensation insurance as required by State law and Employers Liability coverage.
- 4. Builders Risk (Property / Course of Construction insurance covering for all risks of loss for all projects in excess of \$250,000.)
- 5. Professional Liability / Errors and Omission (when applicable).

MINIMUM LIMITS OF INSURANCE:

Contractor shall maintain limits no less than:

- 1. General Liability: \$1,000,000 per occurrence, \$2,000,000 general aggregate, including \$1,000,000 Products / Completed Operations for bodily injury, personal injury and property damage. If Commercial General Liability Insurance or other form with a general aggregate limit is used, either the general aggregate limit shall apply separately to this project / location or the general aggregate limit shall be twice the required occurrence limit.
- 2. Automobile Liability: \$1,000,000 per accident for bodily injury / property damage.
- 3. Employer's Liability / Washington Stop Gap: \$1,000,000 per accident for bodily injury, sickness or disease.
- 4. Builder Risk (Property) / Course of Construction: Completed value of the project.
- 5. Professional Liability / Errors and Omissions: \$1,000,000 per claim; \$2,000,000 aggregate (when applicable).

DEDUCTIBLES AND SELF-INSURED RETENTIONS:

Any deductibles or self-insured retentions must be declared to and approved by the Authority. At the option of the Authority, either: the insurer shall reduce or eliminate such deductibles or self-insured retentions as respects the Authority, its successors and assigns, director, officers, officials, employees, agents, partners and volunteers; or the Contractor shall provide a financial guarantee satisfactory to the Authority guaranteeing payment of losses and related investigations, claim administration and defense expenses.



NOTE: If this contract deals with hazardous materials or activities (i.e. lead based paint, asbestos, armed security guards) additional provisions covering those exposures must be included in order to protect the Authority's interests.

OTHER INSURANCE PROVISIONS:

General Liability and Automobile Liability Policies are to contain, or be endorsed to contain, the following provisions:

- 1. The Authority, its successors and assigns, director, officers, officials, employees, agents, partners, and volunteers are to be covered as additional insureds with respect to (i) general liability arising out of work done or operations performed by or on behalf of the contractor, including materials, parts or equipment furnished in respect to such work or operations. The endorsement(s) effectuating the foregoing additional insured coverage shall be ISO form CG 20 10 11 85, or CG 20 10 10 01 issued concurrently with CG 20 37 10 01, or their equivalent as long as it provides additional insured coverage, and not limited to the minimum acceptable as required herein, for completed operations; (ii) automobile liability arising out of vehicles owned, leased, hired, or borrowed by or on behalf of the Contractor; (iii) any insurance written on a claims made basis, shall have a retroactive date that coincides with, or precedes, the commencement of any work under this contract. Evidence of such coverage shall be maintained for a minimum of six (6) years beyond the expiration of the project and if a Claims Made policy is not renewed or replaced, then evidence of an extended reporting period of six (6) years shall be provided.
- 2. For any claims related to this project, the Contractor's insurance coverage shall be primary insurance as respects the Authority, its successors and assigns, director, officers, officials, employees, agents, partners and volunteers. Any insurance or self-insurance maintained or expired by the Authority, its officers, officials, employees, or volunteers shall be excess of the Contractor's insurance and shall not contribute with it.
- 3. The Contractor on behalf of itself and its liability insurance carriers release and waive any claims and subrogation rights against The Authority, its successors and assigns, director, officers, officials, employees, agents, partners, and volunteers. The Contractor agrees that they will cause its insurance carriers to include in its policies such a clause or endorsement. If extra cost shall be charged therefore, the Contractor shall pay the same.
- 4. Each insurance policy required by this clause shall be endorsed to state that coverage shall not be canceled or materially changed, except after thirty (30) days / (ten (10) days for non-payment of premium) without prior written notice given to the Authority through certified mail, with return receipt requested.
- 5. Maintenance of the proper insurance for the duration of the contract is a material element of the contract. Material changes in the required coverage or cancellation of the coverage shall constitute a material breach of the contract.

Builders Risk / Course of Construction Policies shall contain the following provisions:

- 1. The Authority and its insurers shall be named as loss payees.
- 2. The insurer shall waive all rights of subrogation against the Authority, its successors and assigns, director, officers, officials, employees, agents, partners and volunteers.

¹ "Equivalent" means that any endorsements provided must have the equivalent coverage of the listed endorsements. NOTE: This may cost the Contract extra money to get this coverage.



ACCEPTABILITY OF INSURERS:

Insurance is to be placed with insurers with a current A.M. Best's rating of no less than **A-:VII**. Contractors must provide written verification of their insurer's rating.

VERIFICATION OF COVERAGE:

Contractor shall furnish the Authority with **original certificates** and **amendatory endorsements** affecting coverage required by this clause. The endorsements should be on forms provided by the Authority or on other than the Authority's forms, provided those endorsements conform fully to the requirements. All certificates and endorsements are to be received and approved by the Authority before work commences in sufficient time to permit Contractor to remedy any deficiencies. The Authority reserves the right to require complete, certified copies of all required insurance policies, or pertinent parts thereof, including endorsements affecting the coverage required by these specifications at any time.

SUBCONTRACTORS:

- 1. Subcontractor shall include the Contractor as additional insured under their policies. All coverages for subcontractors shall be subject to all of the requirements stated herein.
- 2. Contractor shall be responsible for the adequacy of required coverages for subcontractors, and compile related certificates of insurance and endorsements evidencing subcontractors' compliance.

INDEMNIFICATION AND HOLD HARMLESS:

- 1. To the fullest extent permitted by law the Contractor hereby agrees to indemnify and hold harmless the KCHA, its successors and assigns, directors, officials, officers and employees, volunteers, partners and agents (all foregoing singly and collectively "Indemnitees"), from and against any and all claims losses, harm, costs, liabilities, damages and expenses including, but not limited to, reasonable attorneys' fees arising or resulting from the performance of the Work, or the acts or omissions of the Contractor its successors and assigns, employees, subcontractors or anyone acting on the Contractor's behalf in connection with this Contract or its performance; PROVIDED, however, that the Contractor shall not be required to so indemnify any such Indemnitees against liability for damages caused by or resulting from the sole negligence of Indemnitees; PROVIDED FURTHER that if such damages are caused by or result from the concurrent negligence of the Indemnitees and the Contractor or anyone acting on the Contractor's behalf, then the Contractor's indemnity hereunder shall be limited to the extent of the negligence of the Contractor, its successors and assigns, et al.
- 2. The foregoing indemnity is specifically and expressly intended to constitute waiver of the Contractor's immunity under Washington's Industrial Act, RCW Title 51, and that this waiver has been specifically negotiated and agreed upon by the parties.
- 3. The Contractor hereby agrees to require all its subcontractors or anyone acting under its direction or control or on its behalf in connection with or incidental to the performance of this Contract to execute an indemnity clause identical to the preceding clause, specifically naming KCHA as Indemnitee, and failure to do so shall constitute a material breach of this Contract by the Contractor.



SITE SPECIFIC SAFETY PLAN REQUIREMENTS

Following is a list of the elements that are typically addressed in a construction site specific safety plan. A site specific safety plan will be one of the required post-selection documents be submitted by the contractor selected through this bid solicitation.

In addition to the typical elements of a construction safety plan that addresses the contractor, the Governor of the State of Washington has instituted additional proclamations and rules surrounding the COVID-19 virus that must be included. Because COVID-19 is a pandemic which is evolving rapidly, when warranted, it is expected that these project-specific safety plan(s) will be updated by the Contractor to reflect the most current rules in effect.

NOTE: For any project that requires entry into occupied units, the Contractor's Site Specific Safety Plan **will be required** to describe the specific construction techniques and use of PPE designed planned to keep the tenants and the workers safe from COVID-19 virus transmission.

The selected contractor with responsible for obtaining similar plans from all subcontractors and for the supervision and enforcement of safety requirements on the site. The contractor's Site-Specific Safety Plan will be submitted to KCHA before any work can begin. The Plan will need to address the following:

- 1. An initial job/job-site safety orientation and a schedule of weekly safety meetings that show employees and subcontractors what they need to know to perform their job assignments safely.
- 2. Details how and when to report on-the-job injuries.
- 3. Identifies on-site available 1st Aid / CPR trained personnel, readily accessible first-aid on the job site and/or access to the nearest clinic or hospital from the job site.
- 4. Identifies what to do in an emergency, including how to exit the workplace.
- 5. Lists the required personal protective equipment (PPE) and describes the proper use and care of the PPE.
- 6. Details the on-site Haz-Com Program that identifies hazardous materials (Asbestos, Lead) or chemicals including instruction about the safe use and storage.
- 7. Designates an on-site representative responsible for job-site Safety.
- 8. Designates who is responsible for performing and recording regular periodic site reviews, and inspections for your employees and subcontractors.
- 9. Describes programs related to housekeeping and jobsite safety.
- 10. Outlines the job-site specific fall protection plan.
- 11. Describes electrical and/or power generation controls on-site.
- 12. Has provisions for trenching /excavations and/or confined space entry.

KCHA – MARDI GRAS WASTE LINES CAPITAL CONSTRUCTION DEPARTMENT



- Outlines procedures that comply with the governor's requirements as stated in his COVID-19 Phase 2 Construction Restart Job Site Work Plan; including the following:
 - a. General site COVID-19 measures.
 - b. Occupied unit entry (what workers PPE workers will be required to wear, sanitization protocols, etc. that will keep both the worker and the tenant safe).
 - c. Occupied unit exit (what workers will be required to do upon exiting an occupied unit that will keep both the work and the tenant safe).
 - d. Procedures workers will have to follow if entering multiple units within a day (with emphasis on PPE protocols between units if worker(s) are entering multiple units one after the other).



SUB-CONTRACTOR VERIFICATION FORM

It is the responsibility of the General Contractor to obtain and verify the Subcontractor's Information described below. For compliance, the General Contractor must submit a copy of the Subcontractor Verification form prior to the sub starting work. A copy of the Affidavit of Intent form must accompany the applicable Certified Payroll. Without these forms, the Pay Application maybe withheld.

KCHA will review subcontractors' qualifications, safety record, and the history of compliance (including subcontractor's principals working under another company name) with labor and other state and federal laws. Based on this review, KCHA reserves the right at its sole discretion to reject subcontractors and require the selected General Contractor to replace or substitute a subcontractor with one acceptable to KCHA.

Name of Company:				
Physical Address:				
Contact Name and Title				
Email Address:	Phone No.:			
WA State Contractor's License:	UBI Number:			
Employment Security Number:	L&I's Workers' Comp. Acct. ID:			
Federal Tax ID Number:	DUNS Number:			
SUB-CONTRACTOR IS A(N): Individe	ual Partnership* Corporation** – in state of			
* If Partnership, prov	vide Full Name(s) and Address(es) of all parties			
				
** If your company is "also kno	own as (AKA)" or "doing business as (DBA) list all names			
	FICATION RATE (EMR): 2019; 2020; 2021; of EMR stated, showing complete worksheet calculations).			
Sub-Contractor is not presently debarred, suspen excluded from covered transactions by any feder	aded, proposed for debarment, declared ineligible, or voluntarily ral department or agency:			
NOTE : The penalty for making false statement	in offer is prescribed in 18 U.S.C. 1001.			
SUBMITTED ON : Day of	, 20			
Signature of General Contractor	Name of General Contractor (Print)			
Title of General Contractor (Print)	Date			

OMB Approval No. 2577-0157 (Exp. 01/31/2017)

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

CONTRACT DOCUMENT C.7

Applicant Name		
Program/Activity Receiving Federal Grant Funding		
Program/Activity Necesiving Federal Grant's unumg		
The undersigned certifies, to the best of his or her knowledge and	belief, tha	at:
(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement. (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.	certificat at all tunder grup received the sub-received t	The undersigned shall require that the language of this ation be included in the award documents for all subawards tiers (including subcontracts, subgrants, and contracts grants, loans, and cooperative agreements) and that all ipients shall certify and disclose accordingly. Partification is a material representation of fact upon which the was placed when this transaction was made or entered abmission of this certification is a prerequisite for making ring into this transaction imposed by Section 1352, Title S. Code. Any person who fails to file the required ation shall be subject to a civil penalty of not less than 0 and not more than \$100,000 for each such failure.
I hereby certify that all the information stated herein, as well as any info Warning: HUD will prosecute false claims and statements. Conviction 1012; 31 U.S.C. 3729, 3802)	may result	t in criminal and/or civil penalties. (18 U.S.C. 1001, 1010,
Name of Authorized Official	Title	
Signature		Date (mm/dd/yyyy)

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

(See reverse for public burden disclosure.) **CONTRACT DOCUMENT C.8** 1. Type of Federal Action: 2. Status of Federal Action: 3. Report Type: a. contract a. bid/offer/application a. initial filing b. grant b. initial award b. material change c. cooperative agreement For Material Change Only: c. post-award year _____ quarter ____ e. loan guarantee date of last report f. loan insurance 4. Name and Address of Reporting Entity: 5. If Reporting Entity in No. 4 is a Subawardee, Enter Name Subawardee and Address of Prime: Prime Tier _____, if known: Congressional District, if known: **Congressional District**, *if known*: 6. Federal Department/Agency: 7. Federal Program Name/Description: CFDA Number, if applicable: 9. Award Amount, if known: 8. Federal Action Number, if known: b. Individuals Performing Services (including address if 10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): different from No. 10a) (last name, first name, MI): 11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact Signature: upon which reliance was placed by the tier above when this transaction was made Print Name: _____ or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. Telephone No.: _____ _____ Date: ____

Federal Use Only:

Authorized for Local Reproduction

Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational evel below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
 - (b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.



CERTIFICATION OF COMPLIANCE WITH WASHINGTON STATE WAGE PAYMENT STATUTES

•		ree year period immediately preceding the bid solicitation date of
		I" violator, as defined in RCW 49.48.082, of any provision of chapters
	·	final and binding citation and notice of assessment issued by the WA
State Department of La	bor & Industries of through	n a civil judgement entered by a court of limited or general jurisdiction.
I certify under penalty	of perjury under the laws	of the State of Washington that the forgoing is true and correct.
Bidder		
Signature of Authorized	d Official*	
Printed name		
Title		
Date	City	State
Check one:		
Individual Partners	ship Joint Venture	Corporation
State of Incorporation,	or if not a corporation, Sta	te where business entity was formed:
If a co-partnership, give	e company name under wh	ich business is transacted:

^{*}If a corporation, this Certification must be executed in the corporate name by the president or vice president (Or any other coporate officer accompanied by evidence of authority to sign). If a co-partnership, Certification must be executed by a partner.

CONTRACT DOCUMENTS C.10

⚠ King County Housing Authority Vendor Set-up Form (Alternative W-9)

FOR KCHA USE ONLY Submitted by:	Vendor Number:	Date:
Name (as shown on your income tax return):		
Business name/disregard entity name, if different from above:		
Check appropriate box for Federal tax classification (required):		
☐ Individual ☐ S Corporation ☐ 1	rust/Estate	ons)
☐ C Corporation ☐ Partnership ☐ E	xempt Payee	
Limited Liability Company (LLC). Enter the tax classification (C=C P=Partnerships)	corporation, S=S Corporation,	>>
	State, and Zip Code: Telephon	e:
Remit to address (if different from above): City,	State, and Zip Codet Email:	
Taxpayer Identification Number (TIN)	PROV	DE ONE ONLY
Enter your TIN in the appropriate box. The TIN provided must match the nather "Name" line to avoid backup withholding. For individuals, this is your so (SSN). For other entities, it is your employer identification number (EIN).	ocial security number EIN:	
Terms of Payment Net 30 Net 10th c	f Month Other	-
☐ Net 10 ☐ Due upon	receipt	
*Section-3: O yes O No *Questions can be directed to KCHA Sec	tion 3 Coordinator 206-826-5335	
WMBE: OMINORITY OWNED (MBE OR OWNEN OWNER)	OWNED (WBE) ONot Applicable	
1. White American	American NONE OF THE A	BOVE (NEC)
	acific American Other	541 51
3. Native American 6. Hasidic		
3. Native American		
Certification		
Under the penalties of perjury, I certify that:	and any writing for a number to be issued to me) and	
 The number shown on this form is my correct taxpayer identification number (I am not subject to backup withholding because: a) I am exempt from backup w 	withholding, or b) I have not been notified by the Interna	Il Revenue Service (IRS) that I am
subject to backup withholding as a result of a failure to report all interest or div		
3. I am a U.S. citizen or other U.S. person. See instructions Certification Instructions: You must cross out item 2 above if you have been notified by a report all interest and dividends on your tax return. For real estate transactions, item 2 deancellation of debt, contributions to an individual retirement arrangement (IRA), and generalification, but you must provide your correct TIN.	he IRS that you are currently subject to backup withholo oes not apply. For mortgage interest paid, acquisition o nerally, payments to other than interest and dividends,	ding because you have failed to fabandonment of secured property,
The IRS doe not require your consent to any provision of this document other than the co	rtification required to avoid backup withholding.	
SIGN HERE Signature of U.S. Person:	Date:	
Return completed form to King County Housing Authority, 600 A	ndover Park West, Tukwila, WA 98188	
See instructions below or refer to the IRS instructions at		



FINAL AFFIDAVIT OF AMOUNTS PAID

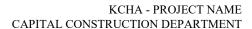
the Sta	atement from the Sub-	i a Final Affidavit Form, rec Contract Participant. Not a	ardless of tier. Every Primary must witness and verify F	rime Participant must Comp	olete and Sign, Certifying
PROJECT NAME / TITLE:				CONTRACT	NUMBER:
SCOPE OF WORK:					
PRIME CONTRACTOR / CONSULTANT:				UBI NU	IMBER:
ADDRESS-CITY-STATE-ZIP:					
CONTRACT TYPE:	CONSTRUCTION	ARCHITECT / ENGINEE	RING CONSULTANT	OTHER:	
ORIGINAL CONTRACT AM	IOUNT:	\$			
TOTAL # OF AMENDMENTS:	()	\$			
TOTAL # OF CHANGE ORDERS	5: ()	\$	$ \vee$		
FINAL CONTRACT	AMOUNT:	\$	0.00		
SUB - CONTRACT PARTIC	IPANT:	. <			
CHECK BOX THAT APPLIES:	SUB-C	CONTRACTOR	SUB - CONSULTANT	MATERIA	LS SUPPLIER
ENTER NAME AND ADDRESS OF CO	OMPANY UBI N	DATE WOI COMPLETI		AMOUNTS IN RETAINAGE TO DATE	AMOUNT PAID TO DATE
I, the Undersigned, do hereby c and Department of Revenue h Contractors, Sub-Consultants an for any retainage that is agreed	nave been paid and nd Materials Supplier	all accounts are in as who have worked u	good standing . I funder my company on thi	rther certify that all of sproject have been	employees and Sub-
NAME OF COMPANY:					
PRINT NAME OF AUTHORIZED PERSON		TITLE	SIGNATURE		DATE
The Sub-Contractor Participa with all work on the project for shown for work completed or po	which this statemer	nt is submitted, by the	ounts paid. I, the unders Sub-Contract Participan	igned, do hereby certi t named above has be	fy that in connection en paid the amount
PRIME PARTICIPANT Signature / Title:				DATE:	
Subscribed and Sworn before	e me this	_ day of	20		
(PRINT NAME)	Nota	ry Public in and for th	ne State of Washington.	B. 1	lotan,
Residing at:					otary Seal
_					
-					



SECTION 3 – INDIVIDUAL CERTIFICATION FORM

Section 3 of the Housing and Urban Development (HUD) Act of 1968, as amended, requires that Housing Authorities and agencies receiving HUD funding, to the greatest extent feasible, provide economic opportunities to low-income persons. **Information provided on this form shall remain confidential and be used for reporting purposes only.** *Print all information*

NAM	E:					
ADD	RESS:					
EMAIL ADDRESS: PHONE NUMBER:						
HIRE DATE: POSITION TITLE:						
EMP	LOYER / COMPANY NA	ME:				
	ECT NAME:					
1.	I am a resident in a KCHA	Property.		_	Yes	No
Prop	erty Name:					
	I am currently in KCHA's				Yes	
3.	I am currently a participan	t in a HUD You	uthBuild Prog	ram.	Yes	No
a. b.	I live in KITSAP COUN My TOTAL income for Below or Equal to I live in KING or SNO	the past 12 more \$52,750 HOMISH COU	 J NTY and	Greater than	\$52,750	
	My TOTAL income for Below or Equal to	-		eck appropriate Greater than		
c.	I live in PIERCE COUNTY TOTAL income for Below or Equal to	NTY and the past 12 more	nths was: (che	-	box)	
d.	I live in SKAGIT COU My TOTAL income for		nths was: (che	ck appropriate	box)	
	Below or Equal to	\$46,100		Greater than	\$46,100	
e.	I live in THURSTON OMy TOTAL income for		nths was: (che	ck appropriate	box)	
	Below or Equal to	\$		Greater than	\$	





f. I live in	COUNTY and		
My TO	OTAL income for the past 12 months was: \$		
Section 8 public he	st five years, I have been a resident of public housing or assisted housing managed by KCHA; a resident of other ousing projects or Section 8 assisted housing, or aild participant.	Yes	No
I hereby certify u	nder the penalty of perjury that the information above is true	e and correct.	
Signature	Di	ate	

If submitting for a new hire, attach completed forms to Labor Hours Benchmark Status Report and submit to project manager. If submitting for Section 3 business qualification, attach to Section 3 Business Certification Form. For questions, please contact KCHA by email at section3@kcha.org.



SECTION 3 – INDIVIDUAL CERTIFICATION FORM FAQ's

Question: What is this form?

Answer: This form is a Section 3 Certification Form that will be used to determine if an individual

is a Section 3 worker as defined by HUD 24 CFR 75 and the KCHA.

Question: Who fills out this form?

Answer: Any individual who is paid in full or part with HUD funds. (If unsure if position is HUD

funded, please contact Contract administrator.)

Question: What will this form be used for?

Answer: This form will be used for the purpose of determining Section 3 eligibility and for statistical

purposes.

Question: Who collects this form and where does it go?

Answer: Any employer or contractor that has a contract with the KCHA that is HUD funded will

collect this data from any employee who was employed within the last five years. Once the

data is collected the original copy will come to KCHA.

Question: Who is a KCHA Resident?

Answer: Someone who lives in a KCHA Housing Development whose name is listed on a current

lease.

Question: How long should I go back to calculate my income?

Answer: Individuals should calculate back 12 months from their date of hire.

Question: What if I don't live in King County?

Answer: Individuals who do not reside in King County may still be eligible to be certified by KCHA

as a Section 3 resident.

If you have more specific questions, please contact KCHA at section3@kcha.org.



SECTION 3 – LABOR HOURS BENCHMARK REPORT - INSTRUCTIONS

Complete the Labor Hours Benchmark Status Report as indicated below and return the completed form <u>along</u> with the pay application(s) for the same period.

Return the Labor Hours Benchmark Status Report and pay application to:

King County Housing Authority 700 Andover Park West Tukwila, WA 98188

Attn:

Email:

REPORT LINES:

- 1) Name of the project as it appears on the Contract
- 2) Company Name
- 3) Name of the person filling out the Labor Hours Benchmark Status Report
- 4) Phone number of the person filling out the Labor Hours Benchmark Status Report
- 5) Email address of the person filling out the Labor Hours Benchmark Status Report
- 6) Contract number as it appears on the Contract
- 7) Contract Award date (date of Letter of Award)
- 8) Reporting Period should be the same as the pay application period
- 9) Total hours worked by all workers on the project this will be everyone that is listed on the certified payrolls during Reporting Period.
- 10) Total hours worked on the project by Section 3 workers during the Reporting Period.

A Section 3 worker is identified as:

- a. The worker's income for the previous or annualized calendar year is below the income limit established by HUD; or
- b. Is employed by a Section 3 business concern; or
- c. Is a YouthBuild participant.

These will be the workers identified as Section 3 employees upon the submittal of their Section 3 Individual Certification Form at the beginning of the project OR when they were brought onto the project. A copy of these forms should be available from your company's payroll office. Copies can also be obtained by submitting a request to section3@kcha.org. Please include your company's name, project name and contact information.

11) Total hours worked on the project by Targeted Section 3 workers during the Reporting Period.

A Targeted Section 3 worker is identified as:

- a. Employed by a Section 3 business concern; or
- b. Is a resident of public housing or Section 8 assisted housing; or
- c. Resides within one mile of the project site.

These workers will be identified as Targeted Section 3 employees upon the submittal of their Section 3 Individual Certification Form at the beginning of the project OR when they were brought onto the project. A copy of these forms should be available from your company's payroll office. Copies can also be obtained by submitting a request to section3@kcha.org. Please include your company's name, project name and contact information.



SECTION 3 – LABOR HOURS BENCHMARK REPORT

		GENERAL INFORMATION	
1)	PROJECT NAME:		
2)	COMPANY NAME:		
3)	CONTACT PERSON:		
4)	CONTACT PHONE NO.:		
5)	CONTACT EMAIL ADDRESS:		
6)	CONTRACT NO.:	7) CONTRACT AWARD DATE:	
	SECTION 3	LABOR HOUR BENCHMARKS	
8)	REPORTING PERIOD: FROM:	TO:	
9)	TOTAL LABOR HOURS FOR ALL REPORTING PERIOD:	WORKERS ON THE PROJECT DURING THE	
10)	TOTAL LABOR HOURS FOR ALL SECTION 3 WORKERS ON THE PROJECT DURING THE REPORTING PERIOD:		
11)	11) TOTAL LABOR HOURS FOR ALL <u>TARGETED</u> SECTION 3 WORKERS ON THE PROJECT DURING THE REPORTING PERIOD:		
I certify that the information in this report is true and correct to the best of my knowledge:			
SIGN	JATURE	TITLE	
PRIN	PRINT NAME DATE		
To	be completed by KCHA Staff RECEIVED BY:		
SIGN	NATURE	TITLE	
PRIN	IT NAME	DATE	



D - **SECTION**

THIRD PARTY REPORTS

THERE ARE NO THIRD PARTY REPORTS FOR THIS PROJECT



E - SECTION

DRAWINGS & SPECIFICATIONS

- **E.1** Scope of Work and Technical Specifications
- E.2 Drawings (if not included see attached)



SCOPE OF WORK & TECHNICAL SPECIFICATIONS

SCOPE OF WORK

DIVISION 1 – GENERAL REQUIREMENTS

01 10 00	Summary
01 26 00	Contract Modification Procedures
01 29 00	Payment Procedures
01 31 00	Project Management & Coordination
01 32 00	Construction Progress Documentation
01 33 00	Submittal Procedures
01 40 00	Quality Requirements
01 50 00	Temporary Facilities & Controls
01 60 00	Product Requirements
01 73 00	Execution
01 73 29	Cutting and Patching
01 74 19	Construction Waste Management
01 77 00	Closeout
01 78 23	Operation and Maintenance Data
01 78 39	Project Record Documents
*****	KCHA Pay Application Form (sample)
*****	KCHA Substitute Request Form (sample)

DIVISION 02 – EXISTING CONDITIONS

02 41 00 Selective Demolition

DIVISION 04 – MASONRY

04 20 00 Unit Masonry

DIVISION 05 – METALS

05 05 13	Shop Applied Coatings
05 50 00	Metal Fabrications

DIVISION 06 – WOOD, PLASTICS, AND COMPOSITES

06 10 00 Rough Carpentry

DIVISION 07 – THERMAL AND MOISTURE PROTECTION

07/62/00	Sheet Metal, Flashing, and Trim
07.92.00	Ioint Sealants

07 92 00 Joint Sealants

DIVISION 08 – OPENINGS

08 31 00 Access Doors and Panels

DIVISION 22 - PLUMBING

22 05 00	Common Work Results For Plumbing
22 05 01	Demolition of Plumbing
22 10 10	CIPP Restoration
22 13 16	Sanitary Waste and Vent Piping
22 30 00	Plumbing Equipment
22 42 00	Plumbing Fixtures





DIVISION 31 - EARTHWORK

31 10 00 Site Preparation 31 22 00 Earthwork

DIVISION 32 - EXTERIOR IMPROVEMENTS

32 12 43 Concrete Paving



LIST OF DRAWINGS

A0.0	Cover Sheet
A1.0	Site Plan
A2.0	Floor Plan
A2.1	Floor Plan
A3.0	Elevations
A4.0	Details

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- C. Quality assurance & Quality Control
- D. Inspection of work
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 - 3. Contractor responsibilities
- F. Project Phasing

4.0 Safety, Protection & Restoration

- A. Safety
- **B.** Protection
- C. Restoration
- D. Hazardous Material

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- A. Site Staging and Deliveries
- B. Temporary Facilities

Division 2 Site Construction

- A. Selective Demolition (Section 024100)
- B. Existing Utilities
- C. Landscaping
- D. Locating and Utilities

Division 4 Unit Masonry

A. Unit Masonry (Section 042000)

Division 5 Metals

- A. Shop Applied Coatings (Section 050513)
- B. Metal Fabrications (Section 055000)

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Division 6 Wood & Plastics

A. Rough Carpentry (Section 061000)

Division 7 Thermal and Moisture Protection

- A. Sheet Metal Flashing (Section 076200)
- B. Sealants (Section 079200)

Division 22 Plumbing

- A. Common Work Results for Plumbing (220500)
- B. Demolition of Plumbing (Section 220501)
- C. Sanitary Waste and Vent Piping (Section 221316)
- D. CIPP/SIPP
- E. Plumbing Equipment (Section 223000)
- F. Plumbing Fixtures (Section 224200)

Division 31 Earthwork

- A. Site Preparation (Section 311000)
- B. Earthwork Summary
- C. Landscaping

Division 32 Exterior Improvements

A. Concrete Paving (Section 321243)

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SECTION 001010 SCOPE OF WORK

1.0 PROJECT SUMMARY

A. GENERAL

Mardi Gras was built in 1970 and comprises of three stories and a basement with 61 units with a structural brick exterior over a concrete foundation. In addition to the residential units, there is also one (1) community room and one (1) men's and women's restroom. This building houses elderly and disabled persons. The building is located in the City of Kent.

The Mardi Gras Waste Line Project is comprised of, but not limited to, the demolition and replacement of the main waste lines in the crawl space, removal and replacement of all sink, tub, vent, urinal, and toilet waste lines, CIPP/SIPP line coatings, installation of four (4) new double sweep clean-outs for CIPP/SIPP coating, and installation of three (3) new crawl space accesses. New main waste lines will be replaced from interior of building to the new double sweep clean-out at the exterior of the building.

After the new main waste line has been installed, the Contractor will install a new waste line from existing toilets. The toilets will include a new gasket and flange on the bathroom floor. Bathtub, sink, urinal, and vent lines will be connected to the main waste line below the floor system. The new waste line will include all necessary supports, clean-outs, and fittings to make connections. All waste lines will be ABS pipes and will match existing sizes. The Contractor will install CIPP/SIPP lining in the waste lines between the building and the main sewer line. The Contractor will install new double sweep clean-outs at locations necessary to install the new CIPP/SIPP liner. Contractor to keep in mind that at least one double sweep clean-out will be installed in a concrete area. The Contractor will provide material and labor to install three (3) new crawl space accesses. See 4/ A4.0 for installation details. The Contractor will also supply two (2) ADA-type temporary sani-cans with handwashing stations during the entire project, locations to be determined by KCHA.

B. BEFORE THE START OF WORK

- 1. Contractor will provide KCHA, in writing, a phasing schedule which includes itemized unit entry and itemized water shut down with unit numbers and duration. Contractor will shut off all water in each unit to protect lines that will receive the new CIPP/SIPP coating. The Contractor will only replace what can be reinstated by 4:00 PM each day.
- 2. The Contractor will not depend on KCHA to turn the water on and off to perform the work and will staff the job accordingly to complete this.
 - a. Contractor will coordinate water shut off with KCHA for all worked performed and will assure water is restored to the building by end of business day. Contractor will be responsible for all water shut downs and water turn on no later than 4:00 PM each day.
- 3. Contractor to include in their bid a bypass system to either the exterior or interior waste lines to help eliminate any water shutdowns if possible.
- 4. It will be up to the Contractor, using their ways and means, to cover up all sinks, toilets, and tubs to help prevent any water from going down any drain while work is being performed.
 - a. If the Contractor chooses to use angle stops to stop water from going down any drain, the Contractor will be responsible for replacing any angle stop that ends up with a leak.

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b. It is assumed that all angle stops before the job start are not leaking. Contractor to verify with KCHA if there are any leaking angle stops.

C. CIPP / SIPP INSTALLATION

- 1. Contractor to clean, per manufacturer's recommendations, the existing waste lines prior to installation of liner.
- 2. It is the responsibility of the Contractor to ensure the pipe is prepped in such a way to ensure a proper installation "per manufacturer's recommendation".
- 3. The Contractor will perform pre and post CCTV inspection of all waste lines and provide a copy to Owner.
- 4. If any obstructions, misalignment, broken, sag or collapsed section not identified as part of the original scope; or not evident in the pre-development videos show up in the video; Contractor to report to Owner to decide on corrective action.
- 5. Contractor to install all necessary clean-outs either to the interior or exterior of the waste line to perform CIPP/SIPP coating.
- 6. Contractor to include in their bid a bypass system to either the exterior or interior waste lines to help eliminate as many water shut downs if possible. This may include the entire building or just major portions depending on the circumstances.
- 7. Contractor to install all necessary double sweep clean-outs with new, round polyethylene valve boxes and locking lids, marked as "SD", with UV Inhibitor, model #2200-18 or approved equal, to be bedded in 5/8" minus crushed rock, and level with finish grade at landscape or concrete areas.
- 8. Recent video footage of existing waste lines is available from KCHA in the form of a USB drive. The video documents current pipe conditions, sizes and layout. These will be made available at the pre-bid walk or by request for pick up at KCHA main office.

The Contractor's scope of work will include, but not be limited to, all labor, materials, equipment, and sequencing to supply KCHA with a "turnkey" project (limited to Contractor's Scope). The Contractor shall work through the site ensuring parking areas and driveways are not only maintained but also accessible for building use during work. Access to the site and the units must be maintained at all times for emergency vehicles, trash collection pickups, postal delivery and any other services being provided at the Mardi Gras Apartments.

It is critical that the Contractor take all precautions to provide a safe construction site for the workers and tenants. The building will be occupied during the construction period.

2.0 PROJECT ADMINISTRATION REQUIREMENTS

A. Pre-Construction Administration

BEFORE commencement of work begins on-site the Contractor will provide the Owner the following items:

1. Project Master Schedule

- a. Completed in Microsoft Project, Primavera or similar.
- b. Must be cost loaded to reflect Schedule of Values items.

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c. Project phasing shall be integrated into master schedule.

d. Shall include the following project milestones.

Contract start date (CS)

Notice to Proceed (NTP)

TBD

Construction Duration (CD) Start Date/Stop Date

TBD - TBD

Substantial Completion (SC)

TBD

Physical Completion (PC) and Warranty Start Date of Entire Project

TBD

Contract Completion (CC) of Entire Project

TBD

2. Schedule of Values (SOV)

- a. Each item must correlate to the project schedule.
- b. Schedule of Values to contain a five (5%) percent line item for the closeout documents.
- c. Schedule of Values to reflect detailed tasks by labor and material.
- d. Contractor to include a separate line item for each item listed below:
 - i. Overhead and Profit.
 - ii. General Conditions.
 - iii. Material and Labor for each task or based on subcontracted work. This will allow KCHA to pay for materials purchased at the beginning of the project or during the course of construction (once KCHA has established that the Contractor has ordered, has been invoiced, and has a suitable location to store materials. See Contract documents for requirements.)
 - iv. Mobilization.
 - v. COVID Monitoring/Cost.
 - vi. Material and Labor to install double sweep clean-out at exterior of building including cover and lid as described in scope.

3. Submittal Schedule

All submittals to King County Housing Authority (KCHA) prior to start of related work.

- a. The Contractor shall provide and manage a schedule of all submittals required on the project as listed in each specification section.
- b. Submittals will be processed with enough time for the Owner to reasonably provide feedback fourteen (14) days prior to materials being delivered to the site.
- c. Any material submitted that is not listed in the project specifications will need to be submitted with a Substitution Request Form for review.
- **4. Site Specific Safety Plan**: The Site-Specific Plan should be submitted for review then kept on site during construction. Verify regular safety meetings are being held per proposed plan. Contractor to provide a site specific COVID-19 safety plan and comply with all state COVID-19 protocols and safety requirements. A dedicated COVID-19 supervisor must be on site and is responsible to monitor the workers for required PPE and daily health reports of the workers.

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^{*}The dates listed above are estimated milestone dates, provided to the Contractor for incorporation and inclusion in the negotiated final project Critical Path Method (CPM) schedule. These estimated dates may change. Such changes, if any, shall not result in an automatic extension of the Final Completion date. The Contractor shall make reasonable flexibility in the schedule to accommodate any such date changes in order to accomplish the interim milestone dates (NTP,CD Start/Stop, SC, PC).

Any work inside the units will require N-95 masks, face shields, latex gloves, and shoe protection (booties.) Masks, gloves and booties must be replaced each time a unit is entered. Face shields to be disinfected prior to each entrance into a unit. Contractor is also required to disinfect all door knobs, light switches, tools and all other contractor touched surfaces in the units. Proper disposal required for all PPE.

- **5. Phasing and Coordination Plan** including the following (See section 3 item I, regarding Phasing):
 - a. Resident access plan for entry and exiting of apartment units.
 - b. Resident parking plan for proposed parking lot closings and openings.
- **6.** Subcontractor List with the names <u>all</u> subcontractors including contact information.

*NOTE: All items stated above must be submitted and approved BEFORE Contractor commences work.

B. Construction Administration

- 1. Master Schedule: The Contractor shall maintain (update and track) the provided project master schedule using CPM for the project. This work will progress and be reflected with the project SOV. Project phasing shall be reflected in the master schedule. The Contractor is responsible for all scheduling and coordination between all trades and any other subcontractors' working for the Contractor.
- 2. Two (2) Week Look-Ahead: The Contractor will provide weekly a two (2) week "look-ahead" schedule updating the relationship of this report with master project schedule. This shall be provided for review and be a topic of discussion during weekly site meetings. This schedule will be specific to the individual tasks as well as to identify work requiring site notifications and coordination.
- **3. Daily Reports:** Contractor to provide copies of daily site reports on a weekly basis. The daily report will describe daily man power, weather conditions, work in progress, delays and issues. Daily report format shall be submitted (during pre-construction phase) to Owner for review.
- **4. Meeting Minutes:** Contractor to manage and provide copies of meeting minutes/notes for all pre-construction, coordination, safety and weekly Owner/Architect/Contractor (OAC) meetings. Meeting minutes format to be submitted (during pre-construction phase) to Owner for review.
- **5. Notices:** It is the Contractor's responsibility to plan, coordinate and inform KCHA of work which shall require notice. Failure to provide adequate notice that results in a delay will be fully on the Contractor.
 - a. Unit Entry: The Contractor must coordinate unit entry with the Owner such that all required Notices-to-Tenants can be posted forty-eight (48) business hours PRIOR to Entry.
 - b. Shut Downs: Contractor will provide proper notification (minimum of seventy-two (72) business hours to the Owner and (minimum of forty-eight (48) business hours to the tenants.
 - c. General Notices: The Contractor shall provide notice to Owner related to project start, potential impacts on tenants' accessibility and moving if items which could interfere with construction progress.
- **6. As-Builts:** The Contractor will be responsible to assess and record the existing conditions of any damaged or non-working items, such as existing electrical and mechanical equipment,

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pipe, utilities, concrete, asphalt etc., prior to removal of work. Contractor is responsible to restore or replace all finishes that become damaged as a result of work being performed. Contractor is not responsible for repairs of existing damage. As-Built drawings shall be current-to-date and will be reviewed on-site prior to each pay application.

- **7. Certified Payrolls:** All Contractor employees and all sub-contractor employees will need to know their trade classification and pay rate.
 - a. Owner will be conducting on-site wage interviews verifying job classification and wage rate.
 - b. Non Routine Labor Rates apply to this project and must be posted on-site at all times.
- 8. Punch List: When the Contractor has deemed the project as substantially complete, meaning all base bid work is complete and conforms to requirements of the specifications and quality standards established through the mock-ups and as stated in the contract documents, the Contractor and a KCHA representative shall thoroughly inspect and list work that is non-conforming that the Contractor must complete prior to final payment. The work may include incomplete or incorrect installations or incidental damage to existing finishes, material, and structures. The list shall be provided to the Owner for their review and approval. All punch list items are expected to be finished and accepted by the physical completion date.

C. Closeout Administration

- 1. O&M Manuals: One (1) hard copy and one (1) electronic copy of the Operation and Maintenance (O&M) manual for all major materials and equipment shall be supplied by the Contractor to the Owner upon Project completion and prior to request for final payment.
 - a. Electronic copy to be submitted for approval prior to submitting hard copy.
 - b. O&M manual will include all warranties associated with the Work.
 - c. O&M manual will include relevant data associated with warranties and works such as
 - i. Name of installer with all contact information.
 - ii. Name of manufacturer and location material was purchased with all contact information.
 - d. All O&M manuals are subject to Owner approval
- **2. Final As-Built Drawings:** Upon substantial completion of the Project, the marked-up set of site documents shall be converted into as-built drawings and submitted to the Owner for review and approval.
- **3. Permits Finals:** Upon physical completion of the Project, all completed permits and permit drawings to be submitted to Owner and City of Kent with final sign offs.
- **4. Master Keys and Access Cards:** Upon physical completion of the Project, contractor shall return all master keys and access cards, signing off a Key Return Form.
- **5. Punch List(s):** Upon physical completion of the Project, all lists shall be completed and signed off by the Owner.

3.0 GENERAL REQUIREMENTS

A. Acknowledgements

1. By signing the contract, the Contractor acknowledges that they have reviewed and can fully implement all administrative and physical aspects of the work as described in the project scope

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- of work, specifications and drawings. The Contractor also acknowledges that they have completed an extensive site walk of the site and accepts the site conditions.
- 2. The Contractor will be responsible to assess and record the existing conditions of any damaged items, such as (existing pipe, concrete utilities, etc.) prior to removal of work. KCHA's assumption is that all items are in good working order. Contractor is responsible to restore or replace all finishes that become damaged as a result of work being performed. Contractor is not responsible for repairs of existing damage.
- 3. The Contractor will provide all materials, piping, fittings, clamps. CIPP/SIPP costing, access wells labor, equipment, and expertise necessary to provide a quality "Turnkey" project, complete with all elements of the work, safely, on time, and within budget.
- 4. The Owner does not foresee any change orders for work resulting in site conditions that were clearly visible and present during the Mandatory Pre-bid Site Visit. By submitting a bid the Contractor acknowledges any labor, material and equipment required for a "Turnkey" project not specifically covered in the plans and specifications has been included in their base bid.
- 5. The Contractor's Superintendent or Foreman will be assigned a construction master key and will be held responsible for all costs related to the re-keying should the key be lost or stolen. If the master key is lost or stolen the Contractor will be responsible for re-keying all related locksets to a new keying system by the **end of the day** the issue is reported.
- 6. The Contactor has and will continue to field verify all visible existing site conditions, adjacent conditions/components and quantities. If there is a discrepancy between Scope of Work, Specifications and/or Drawings, the Scope of Work shall take precedence followed by the Specifications and lastly the Drawings.
- 7. Any questions occurring during bidding or construction shall be resolved by <u>direction in writing</u> from Owner. Any issues not so resolved or any conflicts between the scope of work, specifications and plans, shall result with the Contractor bidding, furnishing and installing the most stringent condition. No exceptions. Contractor must submit an RFI if a conflict exists between the scope of work, specifications and plans.
- 8. Contractor must demonstrate a comprehensive understanding that all work described in the project documents is all-inclusive and results in a complete system. Contractor to provide all materials, unless stated otherwise. All tasks must be complete with uniform fit, function, form, style and type.
- 9. Permits: The Owner will obtain the building permit; all other permits (including city of jurisdiction permits or agency of jurisdiction permits) are the Contractor's responsibility. Contractor shall keep permits posted and onsite at all times
- 10. Plans & Specifications: The Contractor shall keep all associated permits and the approved permit plan set on site at all times. The Contractor will keep and maintain, on-site, a separate but complete set of construction drawings and specifications for markups and daily use.
- 11. Any damage caused by construction related activities (i.e. demolition, laydown areas) to existing physical assets to remain will be the Contractor's responsibility to correct at no cost to the Owner.

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B. Staffing and Experience Requirements

- 1. The Contractor is expected to be on-site working each consecutive weekday unless directed otherwise by Owner.
- 2. A qualified and experienced full time site Superintendent or Foreman will be on site at all times.
- 3. The Contractor shall employ a sufficient number of workers and equipment to perform the Work in a diligent and expeditious manner. KCHA expects the Contractor to adequately staff the project to maintain the schedule, including reallocating and increasing staffing as needed to correct any slippage in the schedule.
- 4. Contractor and sub-contractor employees shall perform all work in a professional manner. All tasks must be complete with uniform fit, function, form, style and type.
- 5. All trades are to have a minimum of three (3) years of experience in their given trade.
- 6. Tradesmen must have the proper certification to perform work or to operate specific equipment that requires certifications and/or licenses.
- 7. The Contractor shall immediately remove from the site any of its employees or its subcontractors' employees, as the Owner shall deem incompetent, careless, insubordinate or otherwise disruptive to the progression of the project.

C. Quality Assurance / Quality Control

- 1. All Work shall be performed using new materials, installed plumb, level, true to the line, free of defects, and completed in a professional workmanlike manner to provide a complete, safe, and operable "Turnkey" installation.
- 2. The Contractor will follow all manufacturers' requirements and recommendations for the installation of all products to maintain the integrity of all manufacturer's warranties.
- 3. Mock-Ups: The Contractor will provide all mockups, within his base scope, required for the project as listed in each specification section. Mockups and color samples will be produced with enough time for the Owner to reasonably provide feedback one (1) week prior to these components being staged and implemented on site. Mockups will set expectations of quality expected for the project.
- 4. Quality Assurance/ Quality Control: The Contactor will provide ongoing QA/QC at each step of work and take corrective measures prior to the next element of work being performed. This will include, but is not limited to, sequencing partial punches and substantial completions throughout the project.
- 5. Contractor responsible for subcontractor's quality of workmanship and materials, completion of scope, and scheduling on site.

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Note: It is incumbent on the Contractor to inform the Owner of any conflicts between manufacturers' requirements and the provided plans and specifications through the RFI process and prior to submitting a bid.

D. Inspection of Work

- 1. Code Compliance: All work will be code compliant and without defect for all materials and applications at time of KCHA punch inspection.
- 2. Owner Progress Inspections: All work is subject to Owner inspection and approval and is the responsibility of the Contractor until it is turned over to Owner.
- 3. Jurisdiction Inspections (as required per permit): The Contractor is required to attend all inspections, and inform KCHA representative within 48 hours prior to such scheduled inspections. Contractor is responsible for any costs associated with re-inspections for work not approved by the Authority Having Jurisdiction.

E. Site Requirements

- 1. All communication and coordination will be with Owner representatives only.
- 2. On-site tenant support services (i.e. laundry, common space, maintenance facilities and storage) must be fully accessible and operational at all times.
- 3. Work shall be coordinated not to interrupt services (i.e. garbage, mail, EMS, etc.).
- 4. Emergency and tenant access must be maintained at all times.
- 5. The Contractor is required to maintain the cleanliness of the work-site; there will be daily inspections by the Owner to verify cleanliness, safety and tenant access. The Contractor will be responsible for clean-up and housekeeping of work limits, staging areas, and Contractor's parking areas by the end of each business day. Contractor to secure all equipment, materials, and tools, ensure that unfinished work areas are protected and secure prior to leaving for the weekend.
- 6. No noise prior to 8:00am but layout and work setup can begin at 7:30am until further notice.
- 7. All interior work in each unit to be completed within 5 consecutive days.
- 8. If Unit access is required, Contractor will not enter units before 8:00AM, once proper notification has been posted by KCHA.
- 9. The Contractor is responsible for providing sanitary services, potable water and field office spaces for their agents. No public bathroom, drinkable water or office space is available onsite, for the Contractor or its agents.
- 10. The Contractor must read and comply with all safety requirements as stated in Section 4 A.
- 11. The Contractor is responsible for all necessary locates (both private and public), grading, and staking as required.
- 12. See Division 1 related to staging and deliveries.

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F. Restrictions

- 1. No parking in fire lanes. Fire lanes will be uninhibited at all times for first responder and tenant service access, unless otherwise approved by Fire Marshal.
- 2. No smoking on site by any Contractor or any of the Contractor's representatives (i.e. subcontractors, suppliers, consultants, etc.).
- 3. No washing out of any materials on site will be allowed. All contaminated or silt laden water must be contained and responsibly disposed of offsite.
- 4. No loud or offensive music is permitted.
- 5. No dumping on site. Contractor will not be allowed to use Owner's waste facilities.

G. Work Hours

- 1. Work to be performed during normal hours of operation from <u>8:00am to 4:30 pm</u>. There will be no work on weekends (unless prior approval has been granted by Owner). Owner does not pay overtime.
- 2. There will be no work on Owner holidays. Contractor to verify with Owner's Representative if there are any Owner holidays occurring during the duration of the project.

H. Contractor's Responsibilities

- 1. Contractor will be responsible to provide power for all work described. Contractor will not be allowed to use any on-site power unless prior approval has been granted by the Owner.
- 2. Water use available with previous approval from Owner. Contractor must obtain approval of Owner for water usage forty-eight (48) hours prior to use.
- 3. The Contractor is responsible for City of Kent hauling route, plans, and street use permits.

I. Project Phasing

- 1. This project will directly impact sixty-one (61) residential dwelling units. The intent of the phasing plan is to provide the least disruption to tenant units and site access. The basis of the phasing plan is to keep the most amount of waste lines open at one time. Owner realizes that waste lines will need to be shut down to perform necessary replacement, lining, or coating but will need to be fully functional by 4:00 PM each work day. It will be the Contractor's responsibility to turn off and turn on all water at the beginning and end of each day. KCHA will not be responsible for this. Contractor will provide a detailed phasing plan to assure proper notification to the residents.
- 2. The Contractor's phasing plan must meet Owner expectations of providing the least impact on resident parking and unit and site access.

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- 3. Any phasing plan modifications must be reviewed and approved by Owner prior to work on site.
- 4. Owner anticipates multiple sequencing sections in the envelope replacement of these buildings. The Owner's expectation is not to open up "Vast" areas of the project for prolong periods of time or shutting down public areas to accommodate staging, stock piling, mobilization or for convenience of construction. Contractor must realize and accept that this project is being conducted in a fully occupied housing development and all that it encompasses (families, elderly, children out of school, daily traffic from families coming and going at all times during the day). The Owner is not only concerned about scope, schedule and budget, but the impact on its residents. The Contractor must take these considerations in to their phasing and sequencing accordingly. The Contractor must review their risk factors to accommodate these considerations in their bid.

4.0 SAFETY, PROTECTION & RESTORATION

A. Safety

- 1. Contractor shall provide and have on site at all times a site specific safety plan.
- 2. Contractor to provide a site specific COVID-19 safety plan and comply with all state COVID-19 protocols and safety requirements. A dedicated COVID-19 supervisor must be on site and is responsible to monitor the workers for required PPE and daily health reports of the workers. Any work inside the units will require N-95 masks, face shields, latex gloves, and shoe protection (booties.) Masks, gloves and booties must be replaced each time a unit is entered. Face shields to be disinfected prior to each entrance into a unit. Contractor is also required to disinfect all door knobs, light switches, tools and all other contractor touched surfaces when exiting the units. Proper disposal required for all PPE.
- 3. Comply with all safety and health codes within Local, State and Federal jurisdictions.
- 4. All work must operate within OSHA and State-equivalent (WISHA) standards and requirements.
- 5. The Contractor shall conduct weekly safety meetings; the minutes from these meeting are to be available to the Owner upon request. A schedule for safety meetings will be provided with the Contractor's submittal of the Safety Plan. Confirmation that the weekly safety meeting did take place and the topic of the safety meeting will be stated in the weekly site meeting minutes.
- 6. All workers on-site will wear high visibility vests or apparel with company logo or name that clearly identifies the workers.
- 7. All workers must be equipped with proper personal protective equipment (PPE) and be wearing it when appropriate or required while they are on-site (i.e. hardhat, safety glasses, ear plugs and fall arrest etc.).
- 8. Contractor to keep walkways free of debris, materials, tools and equipment at all times. Access must be maintained for residents at all times. If access is blocked or limited, the Contractor must ensure that a safe, alternative route can be maintained and accessed by residents.
- 9. The Contractor will be diligent in ensuring that all safety measures are performed at all times for all aspects of work being performed.

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- 10. Work Areas shall be cordoned off with safety fencing and/or caution tape while work is in progress.
- 11. Contractor is responsible for safety and security of work areas affected by work and will provide temporary guardrails, temporary cover and/or locks for openings. Contractor's main focus is the safety of his work force and the safety of KCHA's residents and staff.

B. Protection

- 1. Protect existing roofing, concrete walkways, paving, landscaping and all other finished surfaces which are to remain.
- 2. Contractor will provide protection at landscape areas where material laydown, storage, construction trailers or equipment are stored.
- 3. Contractor is responsible to protect and maintain all areas within the project work limits including, but not limited to, landscaping, hardscapes, exterior amenities, existing improvements, and adjacent/abutting finishes to remain (roofing, soffits, gutters etc.). If necessary, Contractor shall coordinate with Owner for removal / relocation of tenant property on front and back patios.
- 4. See individual scope item related to protection Dust and debris control Best Management Practices (BMPs) will be applied daily in all work areas (i.e. use of tarps, water truck, street sweeper etc.).
- 5. All construction activities, including staging and traffic area, shall be prohibited within five (5') feet of drip lines of protected trees.

C. Restoration

- 1. Contractor responsible for restoration of any damage due to construction related activities. Contractor is advised to do a pre-construction walk and do an assessment with KCHA site personal prior to construction to note and document existing conditions.
- 2. Contractor will restore all landscape impacted by construction to existing pre-construction conditions.
- 3. Contractor to restore all lawn areas with Sod.
 - a. Grass areas that are identified for replacement or are damaged from construction activities are to be conditioned with new 3-way topsoil mix to a depth of (4") inches and tilled in to existing soils.
 - b. The Contractor shall roll to consolidate topsoil for areas to be sodded leaving surface smooth, uniform, firm against deep foot printing, and with a fine loose texture.
 - c. Contractor must ensure that sod is adequately watered until it becomes established and will survive through the 1-year warranty period.
 - d. Existing sod that has been removed shall be disposed of legally.
- 4. Planted areas that are damaged are to be conditioned with new wood chip mulch:
 - a. Will be free from deleterious materials and suitable as a top dressing.
 - b. Loosen subgrade of planting beds to a minimum of 4 inches. Remove stones larger than 1" and sticks, roots, rubbish and other extraneous matter and legally dispose. Mulch shall contain minimal nutrient content.

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c. Areas shall be conditioned with new top soil, tilled in to a depth of two (2") inches, and then two (2") inches of mulch placed throughout the affected area. Mulch should be a minimal nutrient, non-growth promoting mulch (non-die/stain/colored, wood chip/mulch, mulch that does not promote growth) spread around the affected area and section of planting bed/box/defined area. Contractor should work with KCHA to determine limits of mulch required for the project.

D. Hazardous Materials

- If lead based paint is known to be present, the Contractor is responsible for removal using the HUD Lead-Safe-Work protocol. HUD Lead Safe Work protocol is more restrictive than RRP protocols. Contractors should refresh all workers on the HUD portion of Lead Safe work Practices before the project starts. The contractor will be responsible to retain copies of all workers RRP training certificates on the work site at all times, and be able to produce them when asked.
- 2. Owner will make any hazardous material reports available to the Contractor. Contractor will be responsible to determine at what level of abatement and protection is required when disturbing hazardous materials.
- 3. The Contractor agrees to indemnify, defend and hold the Owner harmless from any claims arising out of or relating to the improper handling of hazardous materials that may be present on site.
- 4. Contractors are responsible for reviewing all Hazmat surveys provided by KCHA and must identify all areas or works that have lead base paint, or asbestos containing materials. Contractor is responsible for sharing hazmat surveys with their sub-contractors prior to any work completed on site.
- 5. Traces of asbestos containing materials (ACM) may be present. These should be removed by properly trained and protected personnel using appropriate work practices and engineering controls. Workers potentially working with (ACM) are advised to confirm training requirements of WISHA and to ensure that proper worker protection and work practices are implemented.
- 6. The Contractor agrees to indemnify, defend and hold the Owner harmless from any claims arising out of or relating to the presence of asbestos/hazardous material in the Owner's building that the Contractor has sub-contracted the removal and legal disposal of the asbestos/hazardous material.

5.0 DIVISIONS

DIVISION 1 GENERAL CONDITIONS

A. Site Staging & Deliveries

1. Contractor will coordinate bulk material deliveries with Owner forty-eight (48) hours prior to delivers where potential for parking access will be temporarily blocked – no more than fifteen (15) minutes.

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- 2. Contractor and subcontractors will only be allowed to off load and load up tools no more than fifteen (15) minutes. Heavy equipment used specifically for construction will not block emergency access. Construction activities will not block parking areas not scheduled for work.
- 3. Contractor to use existing parking on site to stage materials in areas approved by the property manager. Property manager to designate parking spaces that will be fenced off around the perimeter and locked, to store materials for current phases of work. KCHA will work with property manager to designate areas around the building for additional material storage. No trash and debris will be stored, and must be removed from the site on a daily basis. Contractor must perform daily clean-up around staging site to ensure dust and debris does not build up in the parking lot.

B. Temporary Facilities

- 1. Use of onsite restroom facilities will not be permitted. Contractor is responsible for portable toilets; Contractor to consult with Owner for placement.
- 2. Contractor is not permitted to use tenant or building power without KCHA approval. For bidding purposes, Contractor is to provide power for this project.
- 3. Contractor to provide all dumpsters, job shacks, con-x boxes, fencing etc. Locations to be negotiated and approved by Owner.

DIVISION 2 SITE CONSTRUCTION

A. DEMOLITION

Refer to Specification Section 024100 Selective Demolition.

- 1. The Contractor shall remove and legally dispose of all materials as required by the contract documents to allow for the installation of all new specified materials. Materials included to be removed and disposed of are as follow (but non-inclusive): cast iron piping, ABS piping, concrete and dirt spoils, related components from structure per scope of work, drawings and specifications.
- 2. Contractor shall salvage and/or protect all materials as necessary until they are to be reinstalled, (i.e. toilets, waste lines, etc.). This list is not all inclusive and the Contractor must verify which items are to be salvaged per the contract documents and in coordination with the Owner prior to demolition activities.
- 3. Install temporary barriers as necessary to protect tenants and staff during demolition.
- 4. Recycling efforts will be performed to the fullest extents possible as specified in the contract documents. Contractor to comply with recycling measures and reporting per Specification section 01 74 19 Construction Waste Management and Disposal.
- 5. **Stock Piling Debris:** Due to limited parking, demolished materials cannot be stockpiled on site and must be removed each workday or contained in dumpsters located in Owner approved areas.
- 6. **Dumping:** Demolition debris shall be discarded off-site in an approved and lawful manner. Unless otherwise stated, all material scheduled for removal or disposal becomes the property of the Contractor. Burying of rubbish on-site or otherwise is prohibited.

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B. EXISTING UTILITIES

- 1. The Contractor is responsible to locate all public and private utilities which may impact the work.
- 2. Contractor to verify any existing equipment, devices, fixtures, cabling, wires and conduit is in operational order prior to moving, relocating, replacing or rerouting. KCHA makes the assumption that equipment was working prior to Contractor's arrival on site and should continue to work at the end of the project.
- 3. Contractor responsible for repairing all wires, cables, communication boxes etc. damaged by construction activities on same day incident occurs.
- 4. Terminations and caps will be clearly marked on site and recorded in the project record drawings (i.e. red line drawings or as-builts) with clear and accurate dimensions.

C. LANDSCAPING

- 1. Prior to start of work, the Contractor and Owner shall walk the site identifying the condition of all trees, plants, sod and landscaping. Any trees, plants, sod etc. damaged due to construction activities must be replaced by the Contractor with like vegetation at Contractor's expense.
- 2. Restore all landscape impacted by construction to existing pre-construction conditions. Grass areas to be restored with sod. See requirements above in Section 4, Subsection C.

D. LOCATING AND UTILITIES

- 1. Contractor responsible to locate all public and private utilities that may be impacted by construction work.
- 2. Contractor to be responsible to repair and or replace all utilities and building components damaged by construction work

DIVISION 4 UNIT MASONRY

A. UNIT MASONRY

Refer to Specification Section 042000 Unit Masonry

- 1. Install metal lintel per detail 4/A4.0 on plans.
- 2. Mortar shall match existing conditions. Mortar and grout shall match call-outs in section 042000 Unit Masonry.
- 3. Contractor to replace any damaged brick caused by drilling or installation of new area well. Brick shall match existing color and style.

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DIVISION 5 METALS

A. SHOP APPLIED COATINGS

Refer to Specification Section 050513 Shop Applied Coatings

- 1. Shop applied coating at metal access well grate covers.
- 2. Shop applied coating at miscellaneous steel
- 3. Shop Applied Coatings: Conform to State of Washington and US Environmental Protection Agency for maximum volatile organic compound (VOC) emissions.
- 4. Powder-Coat finish:
 - a. Medium Gloss
 - b. Color: Black
 - c. Surface: Fine Texture

B. METAL FABRICATIONS

Refer to Specification Section 055000 Metal Fabrications

- 1. Pre-fabricated metal access well per detail 4/A4 on plans.
- 2. Ungalvanized Ferrous metal to be shop primed.
- 3. Install lintel per detail 4/A4.0 on plans.
- 4. Contractor to supply and install new prefab metal access panel with lock at new crawl space wells. All keys to access wells will match. Use Elmdor Access Doors for bidding purposes.
- 5. Contractor to supply and install three (3) new galvanized crawl space access wells. Contractor to follow detail 4/4.0 on plans for installation directions.
- 6. Contractor to supply all labor and material to install all access wells which will include framing lumber, metal powder-coated grate covers, pan flashings, joint sealants, flashings, lentils, hardware, gravel and access doors. Access well locations to be determined onsite with Owner prior to installation.

DIVISION 6 WOOD & PLASTICS

A. ROUGH CARPENTRY

Refer to Specification Section 061000 Rough Carpentry

- 1. Contractor to supply all labor and material necessary to install new access wells. Materials to include any pressure treated blocking, dimensional lumber headers, hardware and fasteners to complete installation of new access wells.
- 2. Field verify location of new access wells with Owner prior to the start of installation.

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3. Provide temporary support to existing framing members to maintain a safe structure prior to installation of any new framing members.

DIVISION 7 THERMAL & MOISTURE PROTECTION

A. SHEET METAL FLASHING, TRIM AND SEALANTS

Refer to Specification Section 076200 Sheet Metal Flashing and Trim 079200 Joint Sealants

- 1. Contractor to provide and install new flashings, counter flashings, and drip edges for a water tight installation at access wells. Flashing materials to prefinished G90 galvanized sheet metal, 24 gauge, Kynar 500 (PVDF) finish. Color to be determined onsite by Owner.
- 2. All fasteners will be hot-dip galvanized or stainless steel.
- 3. Provide and install stainless steel pan flashing per detail 4/A4.0 on plans.
- 4. Apply silicone joint sealant at exposed flashings at masonry wall per detail 4/A4.0 on plans.

DIVISION 22 PLUMBING

A. COMMON WORK RESULTS FOR PLUMBING

Refer to Specification Section 220500 Common Work Results for Plumbing

- 1. Attach hangers and support rigidly to the building structure; provide supplementary steel framing and bracing at all changes in pipe direction to resist thrust of flowing water. Provide seismic bracing as required by codes. Do not fasten hangers to metal deck. Do not use power actuated fasteners.
- 2. Provide additional steel support for piping runs through tight confinements. Provide trapeze system with vibration isolation and seismic restraint for piping through joists and as applicable, due to accessibility of ductwork and mechanical equipment.

B. DEMOLITION OF PLUMBING

Refer to Specification Section 220501 Demolition of Plumbing

- 1. Provide protective measures as required to minimize the transfer of noise, dust, dirt, and refuse to adjacent areas of the project. Such measures may include; dust-tight barriers, temporary walls, portable exhaust fans, vacuum systems, and segmental partitioning.
- 2. Areas of demolition shall be kept as clean and orderly as physically possible. Do not allow demolition debris to accumulate. Gather debris and dispose daily.
- 3. Protect existing furnishing and systems with protective coverings. Protect finished surfaces including floors, ceilings, and walls.
- 4. Remove debris, rubbish, and other materials resulting from demolition operations from building site. Transport and legally dispose of material off site.

C. SANITARY WASTE AND VENT PIPING

Refer to Specification Section 221316 Sanitary Waste and Vent Piping

1. All new waste lines to be ABS schedule 40 IPS pipe. Fittings to be of the same material.

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- 2. Provide all waste piping, connectors, fittings, tubing, clean-outs, etc. specifically listed and manufactured for underfloor installation as applicable. Contractor to size pipe and to meet output of buildings per project mechanical plumbing plans.
- 3. New waste lines shall be run in a uniform path throughout the building and in a consistent configuration similar to the original configurations.
- 4. All runs shall be the longest runs possible with minimal number of fittings.
- 5. Contractor to supply and install all new wax rings and hold-down bolts and braided supply lines to any toilets that will be removed during installation of new waste lines.
- 6. Water and waste service to all units must be fully operational at the end of each work day.
- 7. The Contractor will add a covering of lye over any ground areas that have signs of previous leakage from existing waste lines.
- 8. New waste lines shall run out of the building to the new exterior double sweep at two (2) locations.

D. CURED-IN-PLACE-PIPE

Refer to Specification Section 221010 CIPP

1. Summary

The Contractor is to supply all labor, material, and equipment to install a Cure-in-Place (CIPP) structural lining system or a Sprayed-in-Place (SIPP) coating to the existing main waste lines from the building to the main city sewer line. There are two (2) main waste lines running out of the crawl space to main sewer line.

The Contractor will ream existing waste line piping; remove burrs, roots, scale, and dirt on the inside of all pipes within the drawing of the scope. Camera main waste lines prior to commencement and upon completion of work and provide documentation for closeout. The Contractor will also need to add double sweep clean-outs at the exterior of building and any necessary interior clean-outs to complete the lining job.

2. Sanitary Waste Line General Requirements

- a. All waste lines to be cleaned of scale and debris before coating.
- b. All tenants need to be notified of odors 48 hours prior to the start of work.
- c. All floor areas to be protected during coating process. Flooring in tenants' units will be protected from entry to point of work.
- d. All coated waste lines must be fully functional by the end of work day. Water shut-off and turn-ons will be the responsibility of the Contractor. All water must be back on at end of work day.
- e. All toilets that will be removed will be reinstalled with new wax rings, new hold down bolts and nuts, new braided supply line, and new toilet caps. Toilets will be caulked to floor after re-set.
- f. It is the responsibility of the Contractor to clear the waste lines of obstructions and internal debris that will interfere with the installation and long-term performance of the CIPP or SIPP. Contractor to clean, per manufacturer's recommendations, the existing waste lines prior to installation of liner.

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- g. The Contractor will perform pre and post CCTV inspection of all waste lines and provide a copy to Owner
- h. Contractor to install all necessary double sweep or single sweep clean-outs to access waste lines at either the exterior/interior of the building.
- i. Contractor to supply and install all necessary double sweep clean-outs to meet requirements to install new CIPP/SIPP liner. The new clean-outs will match existing pipe. It is up to the Contractor to establish size, location, and count. Before the installation of any new double sweep clean-outs, the Contractor will share locations with KCHA for approval.

3. CIPP/SIPP Installation

- a. Contractor to clean, per manufacturer's recommendations, the existing waste lines prior to installation of liner.
- b. It is the responsibility of the Contractor to clear the waste lines of obstructions and internal debris that will interfere with the installation and long-term performance of the CIPP or Spin Cast coating.
- c. The Contractor will perform pre and post CCTV inspection of all waste lines and provide a copy to Owner.
- d. If any obstructions, misalignment, broken, sag or collapsed section not identified as part of the original scope show up in the video; Contractor to report to Owner to decide on corrective action.
- e. Contractor to install all necessary double sweep clean-outs with new concrete box with metal lid to access waste lines at the exterior of the building
- f. Contractor is responsible to turn water off and back on before and after the lining of the exterior waste lines. Water will be available to all units at the end of each work day, along with working waste lines. Contractor is responsible to prevent water from going down tenants' waste lines during lining or coating process using their ways and means.
- g. Contractor to submit for Owner's review all product and process data including resins, liner materials, grout, additives, root inhibitors and CIPP/SIPP curing equipment and procedures.
- h. Contractor will be responsible to design and provide all sewage by-pass systems to route sewage to downstream manholes, vacuum trucks or other approved by-pass methods as part of their bid.
- i. Contractor to have on hand any back-up machinery or tools needed to insure a successful job at time of lining installation.

E. CLEAN OUTS

1. Plumbing Pipe and Clean-outs Summary

The extent of all plumbing work shall include all labor, materials and equipment to complete installation of clean-outs required for waste line coatings either interior or exterior. Supply and install new double sweep clean-outs at exterior of building and any new clean-outs required in the interior of the building.

2. Plumbing Pipe and Clean-out Requirements

- a. Provide all assemblies, connectors, fittings, tubing, clean-outs, etc. specifically listed and manufactured for underground installation as applicable. Contractor to size pipe and meet output of building per project plumbing plans (match existing waste lines).
- b. Contractor shall schedule and coordinate main waste line shut down with enough time to post forty-eight (48) hour notices to tenants.
- c. Waste line service must be fully operational at end of each work day.
- d. Exterior Sewer Clean-outs in Yard:

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- i. The Contractor shall locate and pothole existing side sewer lines near building for field verification.
- ii. Contractor shall provide and install an exterior side sewer clean-out outside and within ten (10) feet of building. A double reverse clean-out shall be connected to the existing side sewer. New side sewer clean-out shall match existing side sewer line.
- iii. Provide new brass lids to new clean-out covers. This clean-out will consist of a new round polyethylene valve box and locking lid marked as "SD," with UV Inhibitor, model #2200-18 or approved equal, to be bedded in 5/8" minus crushed rock, and level with finish grade at the landscape or concrete areas

F. PLUMBING EQUIPMENT

Refer to Specification Section 223000 Plumbing Equipment

- 1. Contractor to submit coordinated plumbing piping shop drawings locating the following:
 - a. Valves
 - b. Access panels and access clearances
 - c. Plumbing fixtures and waste line connections
 - d. Pipes and fittings
 - e. The Contractor will be responsible to provide and install all pipe fittings, clean-outs, and clean-out covers for a complete turnkey system. These shall be included on shop drawings.

G. PLUMBING FIXTURES

Refer to Specification Section 224200 Plumbing Fixtures

- 1. Contractor shall reinstall all existing fixtures if removed during the course of work, except as noted in this section.
- 2. Fixtures and miscellaneous plumbing parts scheduled to be detached and reset are as follows:
 - a. New braided supply lines to toilets. Match existing size and length.
 - b. New wax toilet rings and hold-down bolts.
 - c. New gasket and flange at toilet connection to floor.
 - d. Contractor to inform Owner, in writing, of any fixtures that had been damaged before removing fixtures.
 - e. Contractor to supply and install new bead of caulk around bottom of toilet.
 - f. All connections to sinks, tubs, urinals will be made in the crawl space. All first floor toilets over crawl space, will have a new entire waste line installed to the new flange in floor.

DIVISION 31 EARTHWORK

A. SITE PREPARATION

Refer to Specification Section 311000 Earthwork

The Contractor shall provide any and all survey (if required to verify), setting grade or grading, staking and all necessary labor, equipment, and material for the import, export and placement of materials required to establish purposed grades as shown, described or reasonably inferred on the drawings for construction of sidewalks, curb and gutter, paved surfaces, retaining walls, landscaped areas and the general shaping of the site.

1. Grub & Clear Work Summary

The Contractor and Owner shall, prior to start of work, walk the site identifying all trees, plants and landscaping slated for removal and/or protection. **Excavate areas around exterior clean-**

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outs for waste line piping access as required to install new polyethylene valve boxes with access covers level with existing landscaping. Verify quantities on site.

2. Grub & Clear General Requirements

- a. Sod: Sod shall be kept in place were possible to prevent erosion during the course of construction until time of replacement. Grassed areas that are damaged are to be conditioned with new top soil, tilled in, and sod installed. Contractor must ensure that sod is adequately watered until it becomes established and will survive through the warranty period.
- b. **Plants:** Plants and shrubs in all areas of work stated on the plan shall be removed in their entirety, all plants and shrubs outside the limits of work shall be protected or transplanted if necessary to perform work beyond what is reasonable described on the drawings.

B. EARTHWORK WORK

1. Earthwork Summary

The Contractor shall excavate areas where new exterior double sweep clean-outs are required.

2. Earthwork General Requirements

- a. **Landscape Areas:** Shall receive a minimum of 4" 6" of new topsoil in grass and planting areas.
- b. The Contractor shall roll to consolidate topsoil for areas to be sodded leaving surface smooth, uniform, firm against deep foot printing, and with a fine loose texture.

3. Stock Piles

- a. All stockpiles of materials on site shall be in pre-approved locations and shall remain covered when access to materials is not required.
- b. Geotextiles or plastic covers shall be placed over stockpiles or disturbed soil areas to protect against wind and/or water erosion. Plastic shall be secured with sandbags or an object of sufficient weight to prevent plastic from blowing off
- c. Hay bales, compost filter sock or sediment fence shall be used when necessary to retain stockpiled sediment.
- d. Stockpiles shall be maintained and free of sluff which could encroach into areas for pedestrian and vehicle traffic.
- e. Upon completion of materials placement, surround hardscapes used for pedestrian traffic shall be kept clean and free of dirt and debris.
- f. Subgrade elevations shall allow specified depths of hardscape and asphalt.
- g. Protection of new and existing hardscapes, landscape shall be performed while adjacent work is in progress that could result in potential damage.
- h. Loose soils shall be covered or graded flat and sealed by the end of each working day.

C. LANDSCAPING

1. Landscaping Work Summary

The Contractor shall provide all labor, materials and equipment necessary to restore landscaped areas in front of the entry to its original condition. Landscaping includes, but is not limited to, installation of ground cover, sod, mulch, and landscape fabric to match existing.

2. Landscaping General Requirements

a. **Shrubs & Plantings**: Planted areas that are within the work zone or otherwise damaged are to be conditioned with new top soil, tilled in to a depth of two (2") inches, and then two (2") inches of a minimal nutrient, non-growth promoting mulch (non-die/stain/colored,

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- wood chip/mulch) to be placed throughout the area that was affected. Replace any damaged plantings with like and size of original plantings.
- b. Trees: The Contractor shall provide tree stakes and amend soils as necessary for planting.
- c. **Mulch:** Apply 2" average thickness spread around the affected areas and section of planting areas shown, described or reasonably inferred on the drawings. Where applicable, mulch shall be ½" lower than adjacent hardscape surfaces. Mulch should be a minimal nutrient, non-growth promoting mulch (non-die/stain/colored, wood chip/mulch).
 - i. The Contractor shall provide necessary protection of site improvements from soiling and discoloration.
 - ii. Planted areas that are damaged and are not included in the area of work are to receive two (2") inches of mulch placed throughout the area that was affected.

DIVISION 32 EXTERIOR IMPROVEMENTS

A. CONCRETE PAVING

Refer to Specification Section 321243 Concrete Paving

1. Concrete

- a. The Contractor shall provide all labor, materials and equipment required for the installation of new concrete sidewalk, curb and gutter per the locations specified in the construction drawings. The Contractor shall maintain access to units or building for residents and staff at all times. Contractor will have to phase concrete installation or create alternative entries to provide residents or staff with continuous access.
- b. All "Ready Mix Concrete" is to be purchased from one source to ensure color consistency.

2. Existing Utilities General Requirements

- a. Contractor to verify any existing equipment, devices, fixtures, cabling, wires and conduit is in operational order prior to moving, relocating, replacing or rerouting. KCHA makes the assumption that equipment was working prior to Contractor's arrival on site and should continue to work at the end of the project.
- b. Contractor responsible for all public and private locates.
- c. Contractor responsible for repairing all wires, cables, communication boxes etc. damaged by construction activities on same day incident occurs.
- d. Contractor is responsible to carefully remove and protect all utility and communication services on structure to be reinstalled. Services shall be maintained and operational for duration of project unless otherwise scheduled disruption is required to perform work.
- e. It is Owner's expectation that the Contractor will not be required to shut power off to the building or unit to install new pipe lining or waste lines. These conditions must be approved by both Contractor and Owner prior to demo.
- f. Terminations and caps will be clearly marked on site and recorded in the project record drawings (i.e. red line drawings or as-builts) with clear and accurate dimensions. Contractor is reminded that the red line drawing or as-builts are updated constantly during the project and must be signed off when pay applications are submitted. The red line drawings or as-builts are how the Owner verifies the progress on site and percentages listed on the pay application.

3. Formwork

The Contractor shall provide:

a. Adequate staking, bracing, plumb of the side forms, square or correctness of angles in walkways (45s are 45 degrees), forms shall be true to line (doesn't curve or bow), run a consistent spacing between side forms to side forms.

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b. Forms shall be placed to provide a minimum two (2%) percent slope away from buildings to ensure proper drainage.

*Note: Contractor's Superintendent MUST discuss expectations with crew and KCHA site staff prior to setting forms. This will make inspections go smoothly and eliminate delays.

4. Concrete

- **a. Sidewalks:** Sidewalks shall be 4" thick and 6" where subject to vehicular traffic unless otherwise noted for locations requiring a thickened edge
 - i. Mix: Concrete shall be a 6 sack and have a 28-day compressive strength of 3000 psi.
 - ii. Aggregate: Concrete aggregate shall be 1 inch diameter crushed quarried rock or washed river gravel free of organic materials.
 - iii. Contractor shall provide necessary means of access to units at end of each day during set-up and after pour.

Concrete shall remain consistent in mix design and supplier throughout the duration of the project unless otherwise specified and/or approved.

- **b. Reinforcing Sidewalks:** Concrete sidewalks shall contain $6 \times 6 10$ gauge galvanized welded wire mesh or a #3 rebar grid at 3'- 0" on center.
 - *Rebar or welded wire mesh shall be properly supported with dobies, chairs or other approved methods. Pulling or hooking welded wire mesh to its prescribed depth during slab placement shall not be allowed.
- **c. Doweling:** Contractor shall install 10"-12" epoxy coated dowels between successive pours and epoxied in place where new concrete meets adjoining existing concrete. Dowels must achieve a minimum of 5" to 6" of embedment into existing and new concrete. Dowels shall be equally spaced, placed a max of 12' apart and no less than 4" form the edge of concrete on either side.
- **d. Placement:** The Contractor shall confirm all necessary protection of existing finish surfaces to remain is in place. Form work, reinforcement and pan decking shall be free of dirt and debris.
 - i. Concrete shall not be placed over frozen ground/rock base.
 - ii. When concrete placement is authorized during cold weather (ambient air temperature less than 50 degrees Fahrenheit), the concrete shall be kept at a temperature of at least 50 degrees for not less than 6 days by the use of concrete blankets or other approved means.
 - iii. Concrete placed during weather exceeding 90 degrees shall be protected from exposure to the sun, wind, and from excessive moisture loss. Moist cure for 7 days. Do not add water to the mix after it reaches the construction site (4" max. slump) and do not add water to the surface during finishing operations.
 - iv. Contractor to pour back concrete sidewalk so existing thresholds are supported at entries. There are conditions on the exterior units that involve a metal flashing detail under the thresholds. It is not the intent of KCHA to remove these flashings but incorporate them into the new pour back. Contractor to include cost to integrate flashings into pour back. Please coordinate with KCHA project team to determine how to integrate.
- **e. Finish:** Concrete shall receive a medium broom finish; broom pattern shall be placed perpendicular to the direction of traffic. Broom pattern shall be straight, run side to side without stopping with consistent and straight lines; maintained free of clumps and debris. Edges shall receive a ½" radius.
 - i. The Contractor shall provide work platforms, for elevated work, if necessary to achieve a finish free of defects and inconsistencies. KCHA expects the broom finish on the elevated walkway to be perpendicular to the direction of traffic and run side to side without stopping with consistent and straight broom lines.

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- ii. See guardrail section of the SOW related to the elevated pedestrian bridge.
- iii. Joints: All joints shall be equally spaced, (expansion joints at ten (10') foot intervals and control joints at five (5') intervals.) straight and placed 90 degrees perpendicular to the edge of sidewalk.
- **f. Protection and Clean-up:** Prior to pour the Contractor shall protect all adjacent surfaces from concrete splatter and potential damage from work performed.
 - i. The Contractor shall provide a barrier (i.e. caution tape, fencing,) to protect new work from pedestrian traffic and small animals; this includes capping all stakes/rebar.
 - ii. Contractor shall provide an ECO Pan for wash out.
 - iii. Contractor will be responsible for all clean-up and damage due to spillage, impact damage etc., at no cost to Owner.
 - iv. All concrete curb and gutter or sidewalk that is not designed for replacement and is damaged during construction shall be replaced at the Contractor's expense.
- 5. **Saw Cutting:** Saw cutting shall be required at the limits of all selected asphalt removal for patchwork and as necessary for the installation of concrete forms. Also, where existing concrete (i.e. sidewalks, curb & gutter) to remain meets new concrete.
 - a. The Contract shall provide straight and square saw cuts where new and existing concrete will meet. Contractor to walk site with Owner prior to demo to establish saw cut limits at the entrances of common areas, transitions between new and old work, unit entries, trash enclosure, and other areas deemed sensitive to Owner at time of Pre-Bid Walk and Pre-Construction Walk.
 - b. Concrete cutting shall be straight and perpendicular to adjoining surfaces
- 6. **Acceptance of work:** Owner will not accept concrete work that is damaged and that does not meet the quality standards set based on the provided mock up. Contractor's site superintendent to submit ready mix truck/load tickets (at time of delivery) to Owner's representative for every delivery received on site.

END OF SECTION

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SECTION 01 1000 SUMMARY

PART 1		GENERAL			
1.1		RELATED DOCUMENTS			
	A.	Drawings and general provisions of the Contract, including General and Supplemen Conditions and other Division 01 Specification Sections, apply to this Section.			
1.2		SUMMARY			
	A.	 This Section includes the following: Work covered by the Contract Documents. Phased construction. Work under other contracts. Owner-furnished products. Owner's occupancy requirements. Applicable Codes. Reference standards. Use of premises and work restrictions. Specification formats and conventions. Execution, correlation and intent – Contract Documents. 			
1.3		WORK COVERED BY CONTRACT DOCUMENTS			
	A.	Project Identification:			
		1. Project Name: Mardi Gras Waste Lines			
		2. Project Location: 24009 104th Ave. S.E., Kent WA 98030			
	B.	Owner/Developer: King County Housing Authority			
		1. Owner's Representative: <u>Don Hatfield</u>			
		2. Contact Phone:206-574-1213			
	C.	Architects / Engineer:			
		1. Representative: SHKS			
		2. Contact Phone: 206-675-9151			
	D.	Reference Section A of the Bid Documents for scope of work.			

1.4 PHASED CONSTRUCTION

A. Construction shall be phased to accommodate Owner's desired schedule as noted in the bid documents and/or drawings, if applicable. Final Project Schedule shall include

phasing schedule to be prepared by the Contractor, and reviewed and approved by the Owner.

- B. Contractor shall prepare a phasing plan to maintain access to residential units during construction. Plan to be reviewed and approved by Owner prior to Work commencing.
- C. Before commencing Work of each phase of construction, submit an updated copy of Contractor's Final Project Schedule showing the sequence, commencement and completion dates, and move-out and -in dates of residents for all phases of the Work.

1.5 WORK UNDER OTHER CONTRACTS

A. General: Cooperate fully with separate contractors so work on those contracts may be carried out smoothly, without interfering with or delaying work under this Contract. Coordinate the Work of this Contract with work performed under separate contracts.

1.6 OWNER-FURNISHED PRODUCTS

- A. Owner will furnish products as indicated. This section includes receiving, unloading, handling, storing, protecting, and installing Owner-furnished products.
- B. Owner-Furnished Products:
 - 1. Products and materials as noted on drawings or otherwise indicated for re-use.
 - 2. Products and materials as noted on drawings or otherwise indicated to be supplied by Owner.

1.7 OWNER'S OCCUPANCY REQUIREMENTS

- A. Owner Occupancy of Completed Areas of Construction: Owner reserves the right to occupy and to place and install equipment in completed areas of the building before Substantial Completion. Such placement of equipment and partial occupancy shall not constitute acceptance of the total Work. PRIOR to partial Owner Occupancy:
 - 1. Owner will prepare a Certificate of Substantial Completion for each specific portion of the Work to be occupied.
 - 2. Contractor is responsible for obtaining a Certificate of Occupancy from authorities having jurisdiction before Owner occupancy.
 - 3. The mechanical and electrical systems shall be fully operational; all required tests and inspections shall be successfully completed for areas to be occupied. On occupancy, Owner will operate and maintain mechanical and electrical systems serving the occupied portions of building.
 - 4. On occupancy, Owner will assume responsibility for maintenance and custodial service for the occupied portions of building.
 - 5. Coordinate insurance requirements with Owner prior to Owner occupancy of completed areas of the building.
- 1.8 CUTTING & PATCHING Refer to Section 01 7329 Cutting and Patching.

1.9 APPLICABLE CODES

A. Perform all Work in accordance with the current code requirements of the city holding jurisdiction over the site where Work is to be completed.

B. Certification of Code Compliance: All materials, methods and equipment shall comply with requirements of applicable codes and the Contract Documents, including requirements of all incorporated standards. The Contractor shall furnish, as a part of the Contract, certification of such compliance if requested by the Architect or the Code Enforcing Agency. Such certification shall be submitted in the form of test results or other data from a recognized independent testing laboratory. Contractor shall coordinate and provide all required submittals to the Code Enforcing Agency in a timely manner so as to not delay progress of the Project.

1.10 USE OF PREMISES AND WORK RESTRICTIONS

- A. General: Contractor shall have full use of premises for construction operations subject to phased construction requirements as specified in this Section and as indicated on Drawings by the Contract limits.
 - 1. Security Procedures: Refer to the Scope of Work Division 1, Section 4 Safety, Protection & Restoration and 01 5000 Temporary Facilities and Controls, for required security procedures to be followed while working at this building.
 - 2. Contractor Identification: All Contractors on site shall be easily identifiable and must wear clothing, name badges, hardhats, safety vests, or other visible identification or identifying article (approved by Owner) with employee's, laborer or staff member's company logo or company name.
- B. Use of Site: Limit use of premises to areas within the Contract limits indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
 - 1. Limits: Confine construction operations to areas as indicated on drawings.
- C. Use of Parking Lot: Limited.
- D. Work Restrictions, General: Comply with restrictions on construction operations.
 - 1. Comply with limitations on use of public streets and with other requirements of authorities having jurisdiction.
- E. On-Site Work Hours: Work in the existing occupied buildings will start no earlier than 8:00am, and will be completed by 4:30pm. Hours for work performed outside of the building will be at the discretion of Owner's Representative.
 - 1. Early Morning Hours: As approved by Owner's representative.
 - 2. Hours for Utility Shutdowns: Notify Owner and all affected utility companies seventy-two (72) hours in advance of proposed shutdown.
 - 3. Contractor to notify residents of Work a minimum of forty-eight (48) hours prior to start of Work.
 - a. If Work progress or new work affects additional or a new set of residents, the Contractor must give a new notice of work to all affected residences a minimum of forty-eight (48) hours prior to start of Work.
 - 4. Hours for Core Drilling and other loud activities must comply with city of jurisdiction's noise codes.
- F. Nonsmoking Properties. All of King County Housing Authority properties are nonsmoking.

- G. Controlled Substances: Use of tobacco products and other controlled substances on Project site is not permitted.
- H. Employee Screening: Comply with Owner's requirements for drug and background screening of Contractor personnel working on Project site.
 - 1. Maintain list of approved screened personnel with Owner's representative.

1.11 SPECIFICATION FORMATS AND CONVENTIONS

- A. Specification Format: The Specifications are organized into Divisions and Sections using the 33-division format and CSI/CSC's "2010 Master Format" numbering system.
 - 1. Division 01: Sections in Division 01 govern the execution of the Work of all Sections in the Specifications.
- B. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words and phrases when used in particular situations. These conventions are as follows:
 - 1. Abbreviated Language: Language used in the Specifications and other Contract Documents is abbreviated. Words and meanings shall be interpreted as appropriate. Words implied, but not stated, shall be inferred as the sense requires. Singular words shall be interpreted as plural and plural words shall be interpreted as singular, where applicable, as the context of the Contract Documents indicates.
 - 2. Imperative mood and streamlined language are generally used in the Specifications. Requirements expressed in the imperative mood are to be performed by Contractor. Occasionally, the indicative or subjunctive mood may be used in the Section Text for clarity to describe responsibilities that must be fulfilled indirectly by Contractor or by others when so noted.
 - a. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.

1.12 EXECUTION, CORRELATION AND INTENT – CONTRACT DOCUMENTS

- A. General: If there is a discrepancy between Scope of Work, Specifications and/or Drawings, the Scope of Work shall take precedence followed by the Specifications and lastly the drawings. Contact Owner immediately for clarification of conflicts, corrections and clarifications.
- PART 2 PRODUCTS (Not Used)
- PART 3 EXECUTION (Not Used)

END OF SECTION 01 1000

SECTION 01 2600 CONTRACT MODIFICATION PROCEDURES

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for handling and processing Contract Modifications.
- B. Related Sections:
 - 1. Division 01 Section "Product Requirements" for administrative procedures for handling requests for substitutions made after Contract award.

1.3 MINOR CHANGES IN THE WORK

A. Reference Article 7 in the General Conditions.

1.4 PROPOSAL REQUESTS

- A. The Contractor will have ten (10) calendar days from first notification to supply Owner with the information outlined in this section.
- B. Owner-Initiated Change Order Requests (COR): Contractor will issue a detailed description of:
 - 1. Proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
 - 2. Change Order Requests (COR) and Construction Change Directives (CCD) shall be initiated by the Owner, dated and sequentially numbered on Owner provided forms.
 - 3. CORs are not instructions either to stop Work in progress or to execute the proposed change.
 - 4. After receipt of COR, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
 - a. Provide a cost breakdown, including overhead and profit as a separate line item, and time extension request as provided for in Article 7 of The General Conditions.
 - b. Provide all necessary product information, specifications, etc. required to justify any Contractor requested changes.
 - 5. The allowed markup shall cover all indirect project costs, including but not limited to, the project Overhead, Profit and General Conditions
 - a. The Contractor shall be allowed a maximum of fourteen (14%) percent Overhead, Profit, and General Conditions, on the cost of craft labor,

- equipment, small tools and materials for self-performed Change Order work.
- b. The Contractor shall be allowed a maximum of eight (8%) percent Overhead, Profit and General Conditions on the cost of craft labor, equipment, small tools and materials for Subcontractor Change Order work. The Contractor is not allowed to take profit on the profit of the Subcontractor as stated in form HUD-5370, section 29.
- c. A Subcontractor shall be allowed a maximum of fourteen (14%) percent of the cost of craft labor, equipment, materials and small tools for Overhead, Profit and General Conditions, for self-performed Change Order work.
- d. A Lower-Tier Subcontractor shall be allowed a maximum of fourteen (14%) percent of the cost of craft labor, equipment, materials and small tools for Overhead, Profit and General Conditions, for self-performed Change Order work.
- 6. Include a list of quantities of products required (or eliminated) their unit costs and a total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
- 7. Indicate applicable taxes, delivery charges, equipment rental and amounts of trade discounts.
- 8. Include costs of labor and supervision directly attributable to the change.
- 9. Include an updated Contractor's Final Project Schedule that indicates the effect of the change, including but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
 - a. Time extension requests must demonstrate the impact on the project Critical Path Schedule. See the General Conditions Article 15 and Section 01 3200 -Construction Progress Documentation.
- 10. Review all pricing provided by subcontractors and suppliers for accuracy and completeness. Verify that their scope of work is consistent with the requested change. Verify math is correct and that markup rates complies with the General Conditions.
- 11. After signing the Change Order Request or CCD, the Contractor shall return it to the Owner.
- 12. Quotation Form: Use forms acceptable to Owner.
- 13. After review of the Contractor's price, cost breakdown and requested time extension, if any, the Owner will submit for estimation and do one of the following:
 - a. Sign and route for approval.
 - b. Reject and resubmit to the Contractor for pricing correction.
 - c. Revise the Change Order Request or CCD and submit to the Contractor for repricing.
- 14. Prior to the Owner's acceptance of Change Order Requests, appropriate personnel shall also review the change requests.
- C. Contractor-Initiated Proposals: If latent or changed conditions require modifications to the Contract, Contractor may initiate a claim by submitting a request for a change to Owner.
 - 1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.

- 2. Provide a cost breakdown, including overhead and profit as a separate line item, and time extension request as provided for in Article 7 of the General Conditions.
- 3. Provide all necessary product information, specifications, etc. required to justify any Contractor requested changes.
- 4. Allowances for direct supervision, safety, small tools, overhead and profit are limited by the General Conditions, Article 7.1.1.
- 5. Include a list of quantities of products required (or eliminated), their unit costs and total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
- 6. Indicate applicable taxes, delivery charges, equipment rental and amounts of trade discounts.
- 7. Include costs of labor and supervision directly attributable to the change.
- 8. Include an updated Contractor's Final Project Schedule that indicates the effect of the change, including but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
 - a. Time extension requests must demonstrate the impact on the project Critical Path Schedule. See the General Conditions, and Section 01 3200-Construction Progress Documentation.
- 9. Comply with requirements in Division 01 Section "Product Requirements" if the proposed change requires substitution of one product or system for product or system specified.
- 10. Proposal Request Form: Use form acceptable to Owner.
- 11. After review of the Contractor's price, cost breakdown and requested time extension, if any, the Owner will submit for estimation and do one of the following:
 - a. Sign and send on for approval.
 - b. Reject and resubmit to the Contractor for pricing correction.
 - c. Revise the Change Order Request or CCD and submit to the Contractor for repricing.
- 12. Prior to the Owner's acceptance of Change Order Requests, appropriate personnel shall also review the change requests.

1.5 CONSTRUCTION CHANGE DIRECTIVE (CCD)

- A. The Contractor will have ten (10) calendar days from first notification to supply Owner with the information outlined in this section.
- B. Construction Change Directive: The Owner may issue a Field Authorization in accordance with provisions in Article 7, General Conditions. Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
- C. Documentation: Maintain detailed records on a time and material basis of Work required by the CCD, with supporting documentation as required by CORs.
 - 1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract, consistent with Article 7 of the General Conditions of the Contract.

1.6 CHANGE ORDER PROCEDURES

- A. The Contractor will have ten (10) calendar days from first notification to supply Owner with the information outlined in this section.
- B. When approved and signed by the Owner, the Construction Change Directive will either be included in a Change Order Request (COR) to be charged against the Contract Allowance, or will be included in a formal Change Order, which will modify the Contract amount.
- PART 2 PRODUCTS (Not Used)
- PART 3 EXECUTION (Not Used)

END OF SECTION 01 2600

SECTION 01 2900 PAYMENT PROCEDURES

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section specifies administrative and procedural requirements necessary to prepare and process Applications for Payment.

1.3 DEFINITIONS

A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

1.4 SCHEDULE OF VALUES

- A. Coordination: Coordinate preparation of the Schedule of Values with preparation of Contractor's Master Project Schedule.
 - 1. Correlate line items in the Schedule of Values with other required administrative forms and schedules, including the following:
 - a. Application for Payment forms with Continuation Sheets.
 - b. Submittal Schedule.
 - 2. Submit the Schedule of Values to Owner at earliest possible date but no later than fourteen (14) calendar days after the date of bid opening.
 - 3. Sub-schedules: Where the Work is separated into phases requiring separately phased payments, provide sub-schedules showing values correlated with each phase of payment.
- B. Format and Content: Use the Project Documents as a guide to establish line items for the Schedule of Values. Lines items are based on Scope of Work and sequencing.
 - 1. Identification: Include the following Project identification on the Schedule of Values:
 - a. Project name and location.
 - b. Name of Owner.
 - c. Owner's contract number.
 - d. Contractor's name and address.
 - e. Date of submittal.
 - 2. Arrange the Schedule of Values in tabular form with separate columns to indicate the following for each item listed:

- a. Item #, including separate line for an Allowance (if applicable).
- b. Description of the Work.
- c. Total Dollar Value.
- d. Previous Application Amount.
- e. Dollar Amount Charged on Current Pay Application.
- f. Stored Materials.
- g. Total Complete and Stored.
 - Percentage of the Contract Sum to nearest onehundredth percent, adjusted to total one hundred (100%) percent.
- h. Balance to Finish
- i. Retainage
- 3. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with the Project Documents. Provide several line items for principal subcontract amounts, where appropriate.
- 4. Round amounts to nearest one (1) cent; total shall equal the Contract Sum.
- 5. Provide a separate line item in the Schedule of Values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
 - Differentiate between items stored on-site and items stored offsite. Include evidence of insurance or bonded warehousing per Article 9.3.2 AIA A201-2017 General Conditions.
- 6. Provide separate line items in the Schedule of Values for initial cost of materials, for each subsequent stage of completion, and for total installed value of that part of the Work.
- 7. Each item in the Schedule of Values and Applications for Payment shall be complete. Include total cost and proportionate share of general overhead and profit for each item.
 - a. Temporary facilities and other major cost items that are not direct cost of actual work-in-place may be shown either as separate line items in the Schedule of Values or distributed as general overhead expense, at Contractor's option.
- 8. Schedule Updating: Update and resubmit the Schedule of Values before the next Applications for Payment when Change Orders result in a change in the Contract Sum.

1.5 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment shall be consistent with previous applications and payments as certified by Owner and paid for by Owner.
- B. Payment Application Times:
 - 1. The date for each progress payment is indicated in the Contract between Owner and Contractor.
 - 2. The period of construction Work covered by each Application for Payment is the period indicated in the Contract.
- C. Payment Application Forms:

- 1. Use Payment Application forms as provided by Owner for Applications for Payment.
- D. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Owner will return incomplete applications without action.
 - 1. Entries shall match data on the Schedule of Values and Contractor's Final Project Schedule. Use updated schedules if revisions were made.
 - 2. Include amounts of Change Orders and Construction Change Directives issued before last day of construction period covered by application.
 - 3. Provide current Subcontractor List with each Application for Payment.
- E. Transmittal: Submit one (1) signed and notarized original Application for Payment to Owner by a method ensuring receipt within forty-eight (48) hours. The Application for Payment shall include intent to pay prevailing wages and a running spreadsheet that itemizes both the intent and affidavit of wages paid to date for each subcontractor.
 - 1. Transmit Application for Payment with a transmittal form listing attachments and recording appropriate information about the application.
- F. General Contractor Certification Upon Application For Payment: Refer to attached Exhibits in Contract.
- G. Initial Application for Payment: Administrative actions and submittals that must precede the first Application for Payment include the following:
 - 1. List of subcontractors. (Required at pre-construction conference.)
 - 2. Schedule of Values.
 - 3. Contractor's Final Project Schedule to be created in MS Project or equivalent format. (Required at pre-construction conference.)
 - 4. Certificates of insurance and insurance policies. (Required prior to contract award.)
 - 5. Performance and payment bonds. (Required prior to contract award.)
 - 6. Section 3 Work Plan, for projects whose contract value is five hundred thousand dollars (\$500,000) or higher.
 - 7. Intent to Pay Prevailing Wages must be filed with L&I.
 - 8. Contractor to provide Owner with initial Cash Flow Projections. Cash Flow Projection needs to reflect Work as detailed in Final Project Schedule.
- H. **All** Application for Payments will be reviewed for completion and correctness, including reasons outlined in A201-2017 General Conditions.
- I. Application for Payment at Substantial Completion: After Owner issues the Certificate of Substantial Completion, submit an Application for Payment showing one hundred (100%) percent completion for portion of the Work claimed as substantially complete.
 - 1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.

- 2. This application shall reflect Certificates of Partial Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- J. Final Payment Application: Administrative actions and submittals that must precede or coincide with submittal of the final Application for Payment include the following (refer to Article 9.10 in AIA A201-2017 General Conditions:
 - 1. Completion of Project closeout requirements.
 - 2. Completion of Items specified.
 - 3. Ensure that unsettled claims will be settled.
 - 4. Ensure that incomplete Work is not accepted and will be completed without undue delay.
 - 5. Transmittal of required Project construction records to the Owner.
 - 6. Proof that fees and similar obligations were paid.
 - 7. Removal of temporary facilities and services.
 - 8. Removal of surplus materials, rubbish and similar elements.
 - 9. Operations and Maintenance Manuals
 - 10. Record Drawings (i.e. As-built drawings, redline drawings)
 - 11. Final Affidavits of Wages Paid filed with L&I.
- 1.6 SPECIAL PAYMENT REQUIREMENTS (Not Used)
- PART 2 PRODUCTS (Not Used)
- PART 3 EXECUTION (Not Used)

END OF SECTION 01 2900

SECTION 01 3100 PROJECT MANAGEMENT AND COORDINATION

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
 - 1. General project coordination procedures.
 - 2. Coordination Drawings.
 - 3. Project meetings.
 - 4. Requests for Information (RFIs).
- B. See Division 01 Section "Execution" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.

1.3 DEFINITIONS

A. RFI: Request from Contractor seeking interpretation or clarification of the Contract Document.

1.4 COORDINATION

- A. Coordination: Contractor's Responsibility to coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations, included in different Sections that depend on each other for proper installation, connection and operation.
 - 1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
 - 2. Coordinate installation of different components with other contractors to ensure maximum accessibility for required maintenance, service and repair.
 - 3. Make adequate provisions to accommodate items scheduled for later installation.
- B. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities and activities of other contractors to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
 - 1. Preparation of Contractor's Final Project Schedule.
 - 2. Preparation of the Schedule of Values.
 - 3. Installation and removal of temporary facilities and controls.
 - 4. Delivery and processing of submittals.
 - 5. Progress meetings.

- 6. Pre-installation conferences.
- 7. Project closeout activities.

1.5 PROJECT MEETINGS

- A. General: Contractor is responsible for scheduling and conducting meetings and conferences at Project site, unless otherwise indicated.
 - 1. Attendees: Inform participants, others who are involved and individuals whose presence is required, of date and time of each meeting. Notify Owner of scheduled meeting dates and times.
 - 2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
 - 3. Minutes: Record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned; send the electronic version of the meeting minutes to the Project Manager and the Project Engineer, within three (3) business days of the meeting.
- B. Pre-construction Conference: A pre-construction conference shall be scheduled before starting construction. Owner to hold the conference at Project site or another convenient location. Conduct the meeting to review responsibilities and personnel assignments.
 - 1. Attendees: Authorized representatives of Owner, Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference.
 - 2. Agenda: Owner to discuss items of significance that could affect progress, including the following:
 - a. Scope of Work.
 - b. Contract Start and End Dates.
 - c. Authority of Owner's Personnel.
 - d. Davis Bacon/Prevailing Wage Certified Payroll Reports/Labor Relations and Section 3.
 - e. Insurance Certificate, Endorsement and Performance and Payment Bonds.
 - f. General Requirements/Special Conditions.
 - g. Final Project Schedule, including Phasing.
 - h. Easements, Permits, Lines & Grades.
 - i. Contractor's Superintendent.
 - j. Subcontractor List.
 - k. Safety Plan (see attachment at end of this section).
 - 1. Tests, Samples and Observations.
 - m. Progress Meetings and Reports.
 - n. Applications and Certificates of Payment, and Retention.
 - o. Progress Payments.
 - p. Change Orders.
 - q. Warranty Requirements.
 - r. Submittals.
 - s. Temporary and Storage Facilities, Staging Areas and Jobsite Security.
 - t. Clean-up and Trash Removal.
 - u. Salvage of Materials and Spare Materials.
 - v. Record Drawings.
 - w. Substantial Completion, Final Payment and Retainage.
 - x. Recycling and Energy Conservation.

- y. Minutes: The Owner will record and distribute Pre-construction meeting minutes via email.
- C. Progress Meetings: The Contractor will conduct progress meetings at weekly intervals. (refer to Article 3.1.4 of General Conditions).
 - Attendees: In addition to representatives of Owner and the Contractor, each subcontractor, supplier and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
 - 2. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
 - a. Contractor's Final Project Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's Final Project Schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
 - 1) Contractor shall provide a short term look-ahead schedule for presentation and review at each progress meeting.
 - b. Review present and future needs of each entity present, including the following:
 - 1) Interface requirements.
 - 2) Sequence of operations.
 - 3) Status of submittals.
 - 4) Deliveries.
 - 5) Off-site fabrication.
 - 6) Access.
 - 7) Site utilization.
 - 8) Temporary facilities and controls.
 - 9) Work hours.
 - 10) Hazards and risks.
 - 11) Progress cleaning.
 - 12) Quality and work standards.
 - 13) Status of correction of deficient items.
 - 14) Field observations.
 - 15) RFIs.
 - 16) Status of proposal requests.
 - 17) Pending changes.
 - 18) Status of Change Orders.
 - 19) Pending claims and disputes.
 - 20) Documentation of information for payment requests.
 - 21) Safety
 - 22) Section 3 compliance and status
 - 3. Minutes: Contractor will record the meeting minutes.
 - 4. Reporting: Contractor will email the minutes to all concerned prior to the meeting and will distribute written copies of the minutes of the meeting to each party present and to parties who should have been present.
 - a. Schedule Updating: Revise Contractor's Two (2)-Week Look Ahead Schedule after each progress meeting. This schedule will be discussed in

- each progress meeting. Issue revised schedule concurrently with the report of each meeting.
- b. Contractor's weekly reports will consist of five (5) daily reports, each reflecting the preceding five (5) days. These reports will be sent electronically to the Owner on a schedule that will be determined at the Pre-Construction Meeting or at each progress meeting.

1.6 REQUESTS FOR INFORMATION (RFIs)

- A. Procedure: Immediately on discovery of the need for interpretation of the Contract Documents, and if not possible to request interpretation at Project meeting, prepare and submit an RFI in the form specified.
 - 1. RFIs generated from subcontractor or supplier of the Contractor must be routed through the General Contractor.
 - 2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
 - 3. If a suggestion can be determined or derived at by the initiator of the RFI, it is required the suggestion be supplied with the submitted RFI. If no suggestion is given where one is possible, the RFI will be returned as incomplete.
- B. Content of the RFI: Include a detailed, legible description of item needing interpretation and the following:
 - 1. Project name and number.
 - 2. RFI Subject.
 - 3. Date.
 - 4. Name of Contractor.
 - 5. Name of Architect.
 - 6. RFI number, numbered sequentially.
 - 7. Specification Section number and title and related paragraphs, as appropriate.
 - 8. Drawing number and detail references, as appropriate.
 - 9. Field dimensions and conditions, as appropriate.
 - 10. Contractor's suggested solution(s). If Contractor's solution(s) impact the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
 - 11. Contractor's signature.
 - 12. Attachments: Include drawings, descriptions, measurements, photos, product data, shop drawings and other information necessary to fully describe items needing interpretation.
- C. Hard-Copy RFIs: Form established by Contractor's Project Management system.
 - 1. Identify each page of attachments with the RFI number and sequential page number.
- D. Owner's Action: Owner will review each RFI, determine action required and return it. Allow five (5) working days for Owner's acknowledgement of each RFI.
 - 1. The following RFIs will be returned without action:
 - a. Requests for approval of submittals.
 - b. Requests for approval of substitutions.
 - c. Requests for coordination information already indicated in the Contract Documents.
 - d. Requests for adjustments in the Contract Time or the Contract Sum.
 - e. Requests for interpretation of Owner's actions on submittals.
 - f. Incomplete RFIs or RFIs with numerous errors.

- 2. Owner's action may include a request for additional information, in which case Owner's time for response will start again.
- 3. Owner's action may include architect and/or engineer recommendation or approval of proposed solution.
- 4. Owner's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Change Order Request according to General Conditions.
 - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Owner in writing within five (5) days of receipt of the RFI response.
- E. On receipt of Owner's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Owner within three (3) days if Contractor disagrees with response.
- F. Contractor RFI Log: Prepare, maintain and submit a tabular log of RFIs organized by the RFI number. Submit log weekly. Include the following:
 - 1. Project name.
 - 2. Name and address of Contractor.
 - 3. Name and address of Architect.
 - 4. RFI number including RFIs that were dropped and not submitted.
 - 5. RFI description.
 - 6. Date the RFI was submitted.
 - 7. Date Architect's response was received.
 - 8. Identification of related Minor Change in the Work, Construction Change Directive and Change Order Request, as appropriate.
- PART 2 PRODUCTS (Not Used)
- PART 3 EXECUTION (Not Used)

GENERAL CONTRACTOR SITE SAFETY PLAN CHECKLIST

Instructions: Please indicate whether or not your Site Safety Plan contains the following provisions.

Contractors: Site Safety Plan Evaluation

Yes	No	N/A		
[]	[]	[]	1.	Will your company have a written, established, supervised and enforced site safety plan for the project? (<i>The site safety plan must be presented before</i>
				starting work)
[]	[]	[]	2.	Does the site safety plan include an orientation and weekly safety meetings that show your employees and other subcontractors what they need to know to perform their job assignments safely?
[]	[]	[]	3.	Does the site safety plan describe how and when to report on-the-job injuries?
[]	[]	[]	4.	Does the site safety plan identify on-site available 1st Aid / CPR trained personnel, readily accessible first-aid and and/or access to the nearest clinic or hospital on job site?
[]	[]	[]	5.	Does the site safety plan identify what to do in an emergency, including how to exit the workplace?
[]	[]	[]	6.	Does the site safety plan explain how employees and other subcontractors report unsafe conditions and practices?
[]	[]	[]	7.	Does the site safety plan describe the required personal protective equipment (PPE) and the proper use and care of the PPE?
[]	[]		8.	Is there an on-site Haz-com Program that identifies hazardous materials
				(Asbestos, Lead) or chemicals including instruction about the safe use and storage?
[]	[]	[]	9.	Does the site safety plan identify the designated representative responsible for job-site Safety?
[]	[]	[]	10.	Does the site safety plan describe who is responsible for performing and recording regular periodic site reviews, and inspections for your employees and subcontractors?
[]	[]	[]	11.	Does the site safety plan describe programs related to housekeeping and jobsite safety?
[]	[]	[]	12.	Does the site safety plan include a job-site specific written fall protection plan covering potential falls hazards and protections?
[]	[]	[]	13.	Does the site safety plan describe electrical and or power generation controls?
[]	[]	[]	14.	Does the site safety plan have provisions for trenching /excavations and/or confined space?

Notes:

SECTION 01 3200 CONSTRUCTION PROGRESS DOCUMENTATION

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
 - 1. Contractor's Final Project Schedule.
 - 2. Submittals Schedule (refer to Article 3.10.2 in General Conditions AIA A201-2017).
 - 3. Daily construction reports.

1.3 DEFINITIONS

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring and controlling the construction project. Activities included in a Final Project Schedule consume time and resources.
 - 1. Critical activities are activities on the critical path. They must start and finish on the planned early start and finish times.
 - 2. Predecessor Activity: An activity that precedes another activity in the network.
 - 3. Successor Activity: An activity that follows another activity in the network.
- B. CPM: Critical Path Method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of Project.
- C. Critical Path: The longest connected chain of interdependent activities through the network schedule that establishes the minimum overall Project duration and contains no float.
- D. Float: The measure of leeway in starting and completing an activity.
 - 1. Float time is not for the exclusive use or benefit of either Owner or Contractor, but is a jointly owned, expiring Project resource available to both parties as needed to meet schedule milestones and Contract completion date.
- E. Fragnet: A partial or fragmentary network that breaks down activities into smaller activities for greater detail.
- F. Major Area: A story of construction, a separate building or a similar significant construction element.

1.4 SUBMITTALS

- A. Submittals Schedule: Submit one (1) electronic copy of schedule to the Owner. Arrange the following information in a tabular format
 - 1. Scheduled date for first submittal.
 - 2. Specification Section number and title.
 - 3. Submittal category (action or informational).
 - 4. Name of subcontractor (if applicable).
 - 5. Description of the Work covered.
 - 6. Scheduled date for Architect's final release or approval.
- B. Contractor's Final Project Schedule: Submit one (1) electronic copy and one (1) hard copy of initial schedule to the Owner. The hard copy should be large enough to show entire schedule for entire construction period.

1.5 COORDINATION

- A. Coordinate preparation and processing of schedules and reports with performance of construction activities and with scheduling and reporting of separate contractors.
- B. Coordinate Contractor's Final Project Schedule with the Schedule of Values, list of subcontracts, Submittals Schedule, progress reports, payment requests, and other required schedules and reports.
 - 1. Secure time commitments for performing critical elements of the Work from parties involved.
 - 2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

PART 2 PRODUCTS

2.1 SUBMITTALS SCHEDULE

- A. Preparation: Submit a schedule of submittals to the Owner, arranged in chronological order by dates required by Final Project Schedule. Include time required for review, resubmittal, ordering, manufacturing, fabrication and delivery when establishing dates.
 - 1. Coordinate Submittals Schedule with list of subcontracts, the Schedule of Values, and Contractor's Final Project Schedule.
 - 2. Submit concurrently with the first complete submittal of Contractor's Final Project Schedule.
- 2.2 CONTRACTOR'S FINAL PROJECT SCHEDULE, GENERAL (refer to Article 3.10.1in the General Conditions AIA A201)
 - A. Contractor to provide a baseline, cost-loaded schedule in MS Project or Primavera to the Owner's Representative one (1) day **prior** to the weekly project meeting. Contractor is to update the schedule weekly.
 - B. Time Frame: Extend schedule from date established for commencement of the Work to date of Substantial Completion as set by the date of Notice to Proceed.

- 1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
- C. Activities: Treat each story or separate area as a separate numbered activity for each principal element of the Work. Comply with the following:
 - 1. Activity Duration.
 - 2. Procurement Activities: Include procurement process activities for long lead items and major items as separate activities in schedule.
 - a. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication and delivery.
 - 3. Submittal Review Time: Include review and resubmittal times indicated in Division 01 Section "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's Final Project Schedule with Submittals Schedule.
 - 4. Startup and Testing Time: Include not less than ten (10) days for startup and testing.
 - 5. Substantial Completion: Indicate completion in advance of date established for Substantial Completion, and allow time for Architect's administrative procedures necessary for certification of Substantial Completion.
- D. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in Final Project Schedule, and show how the sequence of the Work is affected.
 - 1. Phasing: Arrange list of activities on schedule by phase.
 - 2. Work by Owner: Include a separate activity for each portion of the Work performed by Owner.
 - 3. Work Restrictions: Show the effect of the following items on the Final Project Schedule:
 - a. Coordination with existing construction.
 - b. Limitations of continued occupancies.
 - c. Uninterruptible services.
 - d. Partial occupancy before Substantial Completion.
 - e. Use of premises restrictions.
 - f. Provisions for future construction.
 - g. Seasonal variations.
 - h. Environmental control.
 - 4. Work Stages: Indicate important stages of construction for each major portion of the Work.
- E. Milestones: Include milestones indicated in the Contract Documents in Final Project Schedule, including, but not limited to, the Notice to Proceed, Substantial Completion and Final Completion.
- F. Contract Modifications: For each proposed contract modification and concurrent with its submission, prepare a time-impact analysis using fragnets to demonstrate the effect of the proposed change on the overall project schedule.

2.3 CONTRACTOR'S FINAL PROJECT SCHEDULE (GANTT CHART)

- A. Gantt-Chart Schedule: Submit to the Owner a comprehensive, fully developed, horizontal Gantt-chart-type, Contractor's Final Project Schedule within fourteen (14) calendar days of date after Letter of Award. Base schedule on the Preliminary Construction Schedule and whatever updating and feedback was received since the start of Project. The Gantt-Chart Final Project Schedule can be either in MS Project or equivalent format.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line.
 - 1. For construction activities that require three (3) months or longer to complete, indicate an estimated completion percentage in ten (10%) percent increments within time bar.

PART 3 EXECUTION

3.1 CONTRACTOR'S FINAL PROJECT SCHEDULE

- A. Contractor's Final Project Schedule Updating: At two (2) week intervals, update schedule to reflect actual construction progress and activities. Issue schedule three (3) days before each regularly scheduled progress meeting.
 - 1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
 - 2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
 - 3. As the Work progresses, indicate Actual Completion percentage for each activity.
- B. Distribution: Distribute electronic copies of approved schedule to Owner, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
 - 1. Post copies in Project meeting rooms and temporary field offices.
 - 2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

END OF SECTION 01 3200

SECTION 01 3300 SUBMITTAL PROCEDURES

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples and other submittals.

B. Related Sections:

- 1. See Division 01 40 00 Section "Quality Requirements" for submitting test and inspection reports and for mockup requirements.
- 2. See Division 01 77 00 Section "Closeout Procedures" for submitting warranties.
- 3. See Division 01 78 39 Section "Project Record Documents" for submitting Record Drawings, Record Specifications, and Record Product Data.
- 4. See Division 01 78 23 Section "Operation and Maintenance Data" for submitting operation and maintenance manuals.

1.3 DEFINITIONS

- A. Action Submittals: Written and graphic information that requires Owner's responsive action.
- B. Informational Submittals: Written information that does not require Owner's responsive action. Submittals may be rejected for not complying with requirements.

PART 2 PRODUCTS

2.1 SUBMITTAL PROCEDURES

- A. General Submittal Procedure Requirements:
 - 1. Submit electronic submittals to Owner via email as PDF electronic files.
 - a. Owner will return annotated file. Annotate and retain one (1) copy of file as an electronic Project record document file.
- B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
 - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals and related activities that require sequential activity.
 - 2. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.
 - a. Owner reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.

- C. Processing Time: Allow enough time for submittal review, including time for resubmittals, as follows:
 - 1. Time for review shall commence on Owner's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.
 - 2. Initial Review: Allow five (5) working days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Owner will advise Contractor when a submittal being processed must be delayed for coordination.
 - 3. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
 - 4. Resubmittal Review: Allow seven (7) working days for review of each resubmittal.
- D. Identification: Place a permanent label or title block on each submittal for identification.
 - 1. Indicate name of firm or entity that prepared each submittal on label or title block.
 - 2. Provide a space approximately **4 by 5 inches** on label or beside title block to record Contractor's review and approval markings and action taken by Owner.
 - 3. Include the following information on label for processing and recording action taken:
 - a. Project name.
 - b. Date.
 - c. Name and address of Architect (if applicable).
 - d. Name and address of Contractor.
 - e. Name and address of subcontractor.
 - f. Name and address of supplier.
 - g. Name of manufacturer.
 - h. Submittal number or other unique identifier, including revision identifier.
 - 1) Submittal number shall use consecutively numbered submittals (001, 002, etc), followed by the Specification Section number, followed by a sequential number indicating version (e.g., 001-13 3300-0).
 - 2) Example: $001 01 \ 1300 0$
 - a) 001: Consecutively numbered submittals
 - b) 01 1300: Specification Section
 - c) 0: Version of submittal (0 = original submittal; 1 = first resubmittal; 2 = 2nd resubmittal; etc.)
 - i. Number and title of appropriate Specification Section.
 - j. Drawing number and detail references, as appropriate.
 - k. Location(s) where product is to be installed, as appropriate.
 - 1. Other necessary identification.
- E. Deviations: Highlight, encircle or otherwise specifically identify deviations from the Contract Documents on submittals.
 - 1. Substitution Requests.

- F. Additional Copies: Unless additional copies are required for final submittal, and unless Owner observes noncompliance with provisions in the Contract Documents, initial submittal may serve as final submittal.
 - 1. Additional copies submitted for maintenance manuals will not be marked with action taken and will be returned.
- G. Transmittal: Package each submittal individually and appropriately for transmittal and handling. Transmit each submittal using a transmittal form. Owner will return submittals, without review, received from sources other than Contractor.
 - 1. Include Contractor's certification stating that information submitted complies with requirements of the Contract Documents.
- H. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.
 - 1. Note date and content of previous submittal.
 - 2. Note date and content of revision in label or title block and clearly indicate extent of revision.
 - 3. Resubmit submittals until they are marked "Make Corrections Noted" or "No Exceptions Taken".
- I. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers and others as necessary for performance of construction activities. Show distribution on transmittal forms.
 - 1. Use for Construction: Use only final submittals with mark indicating "Make Corrections Noted" or "No Exceptions Taken".

2.2 CONTRACTOR'S USE OF ARCHITECT'S CAD FILES

- A. General: At Contractor's written request, copies of Architect's CAD files will be provided to Contractor for Contractor's use in connection with Project, subject to the following conditions:
 - 1. Release of CADD information will be restricted to the following categories:
 - a. Architectural floor plans.
 - b. Site plan.
 - c. Reflected ceiling plans.
 - d. Exterior elevations.
 - e. Stair sections.
 - 2. The CADD database will contain only the background information; the sheet numbers, sheet titles, room names and numbers, reference symbols, and other similar data will not be included.
 - 3. The CADD database will be generated on PC hardware with Autodesk AutoCAD software. Architect has the capability to develop CADD output to meet capabilities of all major platforms and major media types.
 - 4. When requesting CADD databases, specify the output form required.

PART 3 PRODUCTS

3.1 ACTION SUBMITTALS

A. General: Prepare and submit to Owner, Action Submittals required by individual Specification Sections.

- B. Electronic Submittals: Identify and incorporate information in each electronic submittal file as follows:
 - 1. Assemble complete submittal package into a single indexed file incorporating submittal requirements of a single Specification Section and transmittal form with links enabling navigation to each item.
 - 2. Name file with submittal number or other unique identifier, including revision identifier.
 - a. File name shall use project identifier and Specification Section number followed by a decimal point and then a sequential number (e.g., LNHS-061000.01). Resubmittals shall include an alphabetic suffix after another decimal point (e.g., LNHS-061000.01.A).
 - 3. Provide means for insertion to permanently record Contractor's review and approval markings and action taken by Owner.
 - 4. Transmittal Form for Electronic Submittals: Use electronic form acceptable to Owner, containing the following information:
 - a. Project name.
 - b. Date.
 - c. Name and address of Architect.
 - d. Name of Construction Manager.
 - e. Name of Contractor.
 - f. Name of firm or entity that prepared submittal.
 - g. Names of subcontractor, manufacturer, and supplier.
 - h. Category and type of submittal.
 - i. Submittal purpose and description.
 - j. Specification Section number and title.
 - k. Specification paragraph number or drawing designation and generic name for each of multiple items.
 - 1. Drawing number and detail references, as appropriate.
 - m. Location(s) where product is to be installed, as appropriate.
 - n. Related physical samples submitted directly.
 - o. Indication of full or partial submittal.
 - p. Transmittal number, numbered consecutively.
 - q. Submittal and transmittal distribution record.
 - r. Other necessary identification.
 - s. Remarks.
 - 5. Metadata: Include the following information as keywords in the electronic submittal file metadata:
 - a. Project name.
 - b. Number and title of appropriate Specification Section.
 - c. Manufacturer name.
 - d. Product name.
- C. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.
 - 1. If information must be specially prepared for submittal because standard printed data are not suitable for use, submit as Shop Drawings, not as Product Data.
 - 2. Mark each copy of each submittal to show which products and options are applicable.
 - 3. Include the following information, as applicable:
 - a. Manufacturer's written recommendations.
 - b. Manufacturer's product specifications.

- c. Manufacturer's installation instructions.
- d. Manufacturer's catalog cuts.
- e. Wiring diagrams showing factory-installed wiring.
- f. Printed performance curves.
- g. Operational range diagrams.
- h. Compliance with specified referenced standards.
- i. Testing by recognized testing agency.
- 4. Number of Copies: Submit to Owner, four (4) copies of Product Data, unless otherwise indicated. Owner will return two (2) copies. Mark up and retain one (1) returned copy as a Project Record Document.
- D. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data, unless submittal of Architect's CAD Drawings is otherwise permitted.
 - 1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
 - a. Dimensions.
 - b. Identification of products.
 - c. Fabrication and installation drawings.
 - d. Roughing-in and setting diagrams.
 - e. Wiring diagrams showing field-installed wiring, including power, signal, and control wiring.
 - f. Shop-work manufacturing instructions.
 - g. Templates and patterns.
 - h. Schedules.
 - i. Notation of coordination requirements.
 - j. Notation of dimensions established by field measurement.
 - k. Relationship to adjoining construction clearly indicated.
 - 1. Seal and signature of professional engineer if specified.
 - m. Wiring Diagrams: Differentiate between manufacturer-installed and field-installed wiring.
 - 2. Sheet Size: Except for templates, patterns and similar full-size drawings, submit to Owner, Shop Drawings on sheets at least 8-1/2 by 11 inches but no larger than 36 by 48 inches.
 - 3. Number of Copies: Submit to Owner, a minimum of three (3) opaque (bond) copies of each submittal. Submit additional copies as required for each consultant. Owner will return two (2) copies. At the sole discretion of the Owner electronic copies may be acceptable.
- E. Samples: Submit to Owner, Samples for review of kind, color, pattern, and texture for a check of these characteristics with other elements and for a comparison of these characteristics between submittal and actual component as delivered and installed.
 - 1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package.
 - 2. Identification: Attach label on unexposed side of Samples that includes the following:
 - a. Generic description of Sample.
 - b. Product name and name of manufacturer.
 - c. Sample source.
 - d. Number and title of appropriate Specification Section.

- 3. Disposition: Maintain sets of approved Samples at Project site, available for quality control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
- 4. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures and patterns available.
 - a. Number of Samples: Submit one (1) full set of available choices where color, pattern, texture or similar characteristics are required to be selected from manufacturer's product line. Owner will return submittal with options selected.
- 5. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture and pattern; color range sets; and components used for independent testing and inspection.
 - a. Number of Samples: Submit three (3) sets of Samples. Owner will retain two (2) Sample sets; remainder will be returned.
- F. Product Schedule or List: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location.
 - 1. Number of Copies: Submit a minimum of three (3) copies of product schedule or list, unless otherwise indicated. Submit additional copies for each consultant required to review the submittal. Owner will return two (2) copies.
- G. Submittals Schedule: Comply with requirements specified in the General Conditions of the Contract and Owner-Contractor Contract.
- H. Application for Payment: Comply with requirements specified in the Owner-Contractor Contract.
- I. Schedule of Values: Comply with requirements specified in the Owner-Contractor Contract. If needed, combine subcontract list in paragraph below with product list above. Subcontract list is required by General Conditions to be submitted as soon as practical after award of the Contract.
- J. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design.
 - 1. Number of Copies: Submit electronically to Owner, one (1) copy of subcontractor list, unless otherwise indicated.

3.2 INFORMATIONAL SUBMITTALS

A. General: Prepare and submit Informational Submittals required by other Specification Sections.

- 1. Number of Copies: Submit to Owner, two (2) copies of each submittal, unless otherwise indicated. Owner will not return copies.
- 2. Certificates and Certifications: Provide a notarized statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity.
- 3. Test and Inspection Reports: Comply with requirements specified in Division 01 40 Section "Quality Requirements."
- B. Coordination Drawings: Comply with requirements specified in Division 01 31 00 Section "Project Management and Coordination."
- C. Contractor's Final Project Schedule: Comply with requirements specified in the General Conditions of the Contract, and Owner-Contractor Contract.
- D. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, names and addresses of architects and Owners, and other information specified.
- E. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification (WPS) and Procedure Qualification Record (PQR) on AWS forms. Include names of firms and personnel certified.
- F. Installer Certificates: Prepare written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
- G. Manufacturer Certificates: Prepare written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
- H. Product Certificates: Prepare written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
- I. Material Certificates: Prepare written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
- J. Material Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
- K. Product Test Reports: Prepare written reports indicating current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
- L. Research/Evaluation Reports: Prepare written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project.

- M. Pre-construction Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
- N. Compatibility Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
- O. Field Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
- P. Maintenance Data: Prepare written and graphic instructions and procedures for operation and normal maintenance of products and equipment. Comply with requirements specified in Division 01 78 23 Section "Operation and Maintenance Data."
- Q. Design Data: Prepare written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.
- R. Manufacturer's Instructions: Prepare written or published information that documents manufacturer's recommendations, guidelines, and procedures for installing or operating a product or equipment. Include name of product and name, address and telephone number of manufacturer.
- S. Manufacturer's Field Reports: Prepare written information documenting factoryauthorized service representative's tests and inspections. Include the following, as applicable:
 - 1. Statement on condition of substrates and their acceptability for installation of product.
 - 2. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
 - 3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
- T. Insurance Certificates and Bonds: Prepare written information indicating current status of insurance or bonding coverage. Include name of entity covered by insurance or bond, limits of coverage, amounts of deductibles, if any, and term of the coverage.
- U. Material Safety Data Sheets (MSDSs): Submit information directly to Owner.
 - 1. Architect will not review submittals that include MSDSs and will return them for resubmittal.

3.3 DELEGATED DESIGN

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
 - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Owner.
- B. Delegated-Design Submittal: In addition to Shop Drawings, Product Data, and other required submittals, electronically submit three (3) copies of a statement, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
 - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.
 - 2. If submittal has a wet stamp, then send three (3) hard copies, with the wet stamp to Owner for approval. Owner will return one (1) copy to Contractor.

PART 4 EXECUTION

4.1 CONTRACTOR'S REVIEW

A. Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions.

4.2 OWNER'S ACTION

- A. Action Submittals: Owner will review each submittal, make marks to indicate corrections or modifications required, and return it. Owner will stamp each submittal with an action stamp and will mark stamp appropriately to indicate action taken.
- B. Informational Submittals: Owner will review each submittal and will not return it, or will return it if it does not comply with requirements. Owner will forward each submittal to appropriate party.
- C. Partial submittals are not acceptable, will be considered nonresponsive, and will be returned without review.
- D. Submittals not required by the Contract Documents may not be reviewed and may be discarded.

END OF SECTION 01 3300

SECTION 01 4000 QUALITY REQUIREMENTS

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
 - 1. Specified tests, inspections and related actions do not limit Contractor's other quality assurance and quality-control procedures that facilitate compliance with the Contract Document requirements.
 - 2. Requirements for Contractor to provide quality-assurance and quality-control services required by Architect, Owner, or authorities having jurisdiction are not limited by provisions of this Section.
- C. See Divisions 02 through 33 Sections for specific test and inspection requirements, if applicable. Not all Divisions will be used.

1.3 DEFINITIONS

- A. Quality-Assurance Services: Activities, actions and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- B. Quality-Control Services: Tests, inspections, procedures and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Services do not include contract enforcement activities performed by Owner.
- C. Mockups: Full-size, physical assemblies that are constructed on-site. Mockups are used to verify selections made under sample submittals, to demonstrate aesthetic effects and, where indicated, qualities of materials and execution, and to review construction, coordination, testing or operation; they are not Samples. Approved mockups establish the standard by which the Work will be judged.
- D. Pre-construction Testing: Tests and inspections that are performed specifically for the Project before products and materials are incorporated into the Work to verify performance or compliance with specified criteria.

- E. Product Testing: Tests and inspections that are performed by an NRTL, an NVLAP, or a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with industry standards.
- F. Source Quality-Control Testing: Tests and inspections that are performed at the source, i.e., plant, mill, factory or shop.
- G. Field Quality-Control Testing: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- H. Testing Agency: An entity engaged to perform specific tests, inspections or both. Testing laboratory shall mean the same as testing agency.
- I. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Lower Tier Subcontractor, to perform a particular construction operation, including installation, erection, application and similar operations.
 - 1. Using a term such as "carpentry" does not imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as "carpenter." It also does not imply that requirements specified apply exclusively to tradespeople of the corresponding generic name.
- J. Experienced: When used with an entity, "experienced" means having successfully completed a minimum of two (2) previous projects similar in size and scope to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.

1.4 CONFLICTING REQUIREMENTS

- A. General: If compliance with two (2) or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer uncertainties and requirements that are different, but apparently equal, to Owner for a decision before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Owner for a decision before proceeding.

1.5 TESTING SUBMITTALS

- A. Qualification Data: For testing agencies, as prescribed by Contract, but not provided by Owner shall demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- B. Reports: Prepare and electronically submit to the Owner certified written reports that include the following:
 - 1. Date of issue.

- 2. Project title and number.
- 3. Name, address and telephone number of testing agency.
- 4. Dates and locations of samples and tests or inspections.
- 5. Names of individuals making tests and inspections.
- 6. Description of the Work and test and inspection method.
- 7. Identification of product and Specification Section.
- 8. Complete test or inspection data.
- 9. Test and inspection results and an interpretation of test results.
- 10. Record of temperature and weather conditions at time of sample taking and testing and inspecting.
- 11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
- 12. Name and signature of laboratory inspector.
- 13. Recommendations on re-testing and re-inspecting.
- C. Permits, Licenses and Certificates: For Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records and similar documents, established for compliance with standards and regulations bearing on performance of the Work.

1.6 QUALITY ASSURANCE

- A. General: Qualifications paragraphs in this Article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Installer Qualifications: A firm or individual experienced in installing, erecting, or assembling work similar in material, design and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- C. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly or product that is similar to those indicated for this Project in material, design and extent.
- F. Specialists: Certain sections of the Specifications require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
 - 1. Requirement for specialists shall not supersede building codes and regulations governing the Work.

- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E 548; and with additional qualifications specified in individual Sections; and where required by authorities having jurisdiction, that is acceptable to authorities.
 - 1. NRTL: A nationally recognized testing laboratory according to 29 CFR 1910.7.
 - 2. NVLAP: A testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program.
- H. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design and extent to those indicated for this Project.
- I. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
 - 1. Build mockups in location and of size indicated or, if not indicated, as directed by Owner.
 - 2. Notify Owner seven (7) calendar days in advance of dates and times when mockups will be constructed.
 - 3. Demonstrate the proposed range of aesthetic effects and workmanship.
 - 4. Obtain Owner's approval of mockups before starting work, fabrication or construction.
 - 5. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
 - 6. Demolish and remove mockups when directed, unless otherwise indicated.
- J. Laboratory Mockups: Comply with requirements of pre-construction testing and those specified in individual Sections in Divisions 02 through 33.

1.7 QUALITY CONTROL

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
 - 1. Owner will furnish Contractor with names, addresses and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
 - 2. Costs for retesting and re-inspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor.
- B. Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
 - 1. Where services are indicated as Contractor's responsibility, engage a qualified testing agency to perform these quality-control services.
 - a. Contractor shall not employ same entity engaged by Owner, unless agreed to in writing by Owner.

- 2. Notify testing agencies at least twenty-four 24 hours in advance of time when Work that requires testing or inspecting will be performed.
- 3. Where quality-control services are indicated as Contractor's responsibility, electronically submit a certified written report, in duplicate, of each quality-control service to the Owner.
- 4. Testing and inspecting requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
- 5. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- C. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Division 01 33 00 Section "Submittal Procedures."
- D. Re-testing/Re-inspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including re-testing and reinspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- E. Testing Agency Responsibilities: Cooperate with Owner and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
 - 1. Notify Owner and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
 - 2. Determine the location from which test samples will be taken and in which onsite tests will be conducted.
 - 3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
 - 4. Electronically submit to the Owner a certified written report, in duplicate, of each test, inspection and similar quality-control service through Contractor.
 - 5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
 - 6. Do not perform any duties of Contractor.
- F. Associated Services: Cooperate with agencies performing required tests, inspections and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
 - 1. Access to the Work.
 - 2. Incidental labor and facilities necessary to facilitate tests and inspections.
 - 3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist agency in obtaining samples.
 - 4. Facilities for storage and field curing of test samples.
 - 5. Delivery of samples to testing agencies.
 - 6. Preliminary design mix proposed for use for material mixes that require control by testing agency.
 - 7. Security and protection for samples and for testing and inspecting equipment at Project site.

- G. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and quality-control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.
 - 1. Schedule times for tests, inspections, obtaining samples and similar activities.

1.8 SPECIAL TESTS AND INSPECTIONS

- A. Special Tests and Inspections: Owner will engage a qualified special inspector to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of Owner, and as follows:
 - 1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviewing the completeness and adequacy of those procedures to perform the Work.
 - 2. Notifying Owner and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
 - 3. Submitting a certified written report of each test, inspection, and similar quality-control service to Owner with copy to Contractor and to authorities having jurisdiction.
 - 4. Electronically submitting to the Owner a final report of special tests and inspections, which includes a list of unresolved deficiencies, at Substantial Completion.
 - 5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
 - 6. Re-testing and re-inspecting corrected work.

PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION

3.1 REPAIR AND PROTECTION

- A. General: On completion of testing, inspecting, sample taking and similar services, repair damaged construction and restore substrates and finishes.
 - 1. Provide materials and comply with installation requirements specified in other Specification Sections. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible.
 - 2. Comply with the Contract Document requirements for Division 01 73 29 Section "Cutting and Patching."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

END OF SECTION 01 4000

SECTION 01 5000 TEMPORARY FACILITIES AND CONTROLS

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 73 00 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes requirements for temporary utilities, support facilities, and security and protection facilities.
- B. See Division 01 Section "Execution" for progress cleaning requirements.
- C. See Divisions 02 through 33 Sections for temporary heat, ventilation, and humidity requirements for products in those Sections. Not all Sections will be used

1.3 DEFINITIONS

A. Permanent Enclosure: As determined by Architect, permanent or temporary roofing is complete, insulated and weathertight; exterior walls are insulated and weathertight; and all openings are closed with permanent construction or substantial temporary closures.

1.4 USE CHARGES

- A. General: Cost or use charges for temporary facilities shall be included in the Contract Sum. Allow other entities to use temporary services and facilities without cost, including, but not limited to, Owner's construction forces, Architect, testing agencies and authorities having jurisdiction.
- B. Water Service from Existing System: Water from Owner's existing water system is available for use with approval of Owner's Representative. Provide connections and extensions of services as required for construction operations. Contractor must notify Owner forty-eight (48) hours before use of water service.
- C. Electric Power Service from Existing System: Contractor is responsible for supplying power service and distribution as required for construction operations, unless other arrangements are made with approval of Owner's Representative.

1.5 QUALITY ASSURANCE

A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.

1.6 PROJECT CONDITIONS

- A. Temporary Use of Existing Permanent Facilities: Contractor shall assume responsibility for operation, maintenance and protection of each existing permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.
- B. Conditions of Use: The following conditions apply to use of temporary services and existing facilities by all parties engaged in the Work:
 - 1. Keep temporary services and existing facilities clean and neat.
 - 2. Relocate temporary services as required by progress of the Work.
 - 3. Provide temporary keys and lock cores throughout duration of Contractor's occupancy of Owner's space. Contractor to provide Owner's Representative with temporary construction keys matching construction cores installed for access.
 - a. When Contractor is given keys to KCHA property, Contractor will claim responsibility for the keys by signing for keys acquired. If Contractor loses keys, Contractor is responsible for rekeying all locks associated with lost key. Contractor is responsible for returning keys back to Owner's Representative when Work is completed.

PART 2 PRODUCTS

2.1 TEMPORARY FACILITIES

- A. Field Offices, General: Prefabricated or mobile units with serviceable finishes, temperature controls and foundations adequate for normal loading.
- B. Storage and Fabrication Sheds: Provide sheds sized, furnished and equipped to accommodate materials and equipment for construction operations.
- C. Contractor is responsible for security of Temporary Facilities.

2.2 EQUIPMENT

- A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.
- B. HVAC Equipment: Unless Owner authorizes use of permanent HVAC system, provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.
 - 1. Use of gasoline-burning space heaters, open-flame heaters or salamander-type heating units is prohibited.
 - 2. Heating Units: Listed and labeled for type of fuel being consumed, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
 - 3. Permanent HVAC System: If Owner authorizes use of permanent HVAC system for temporary use during construction, provide filter with MERV of 13 at each return air grille in system and remove and replace at end of construction.
- C. Self-Contained Toilet Units: Single-occupant units of chemical, aerated re-circulation, or combustion type; vented; fully-enclosed with a glass-fiber-reinforced polyester shell or similar nonabsorbent material.

- D. Drinking-Water Fixtures: Containerized, tap-dispenser, bottled-water, drinking-water units, including paper cup supply.
 - 1. Where power is accessible, provide electric water coolers to maintain dispensed water temperature at 45 to 55 degrees F.
- E. Electrical Outlets: Properly configured, NEMA-polarized outlets to prevent insertion of 110-to-120-V plugs into higher-voltage outlets; equipped with ground-fault circuit interrupters, reset button and pilot light.
- F. Power Distribution System Circuits: Where permitted, and overhead and exposed for surveillance, wiring circuits, not exceeding 125-V AC, 20-A rating, and lighting circuits may be nonmetallic sheathed cable.

PART 3 EXECUTION

3.1 INSTALLATION, GENERAL

- A. With Owner's approval, locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.
- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

3.2 TEMPORARY UTILITY INSTALLATION

- A. General: Install temporary service or connect to existing service.
 - 1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services. Provide Owner with seventy-two (72) hour notice if disturbance is to occur to site staff or residents.
- B. Water Service: Connect to Owner's existing water service facilities. Clean and maintain water service facilities in a condition acceptable to Owner. At Substantial Completion, restore these facilities to condition existing before initial use.
- C. Sanitary Facilities: Provide temporary toilets, wash facilities and drinking water for use by construction personnel. Comply with requirements of authorities having jurisdiction for type, number, location, operation and maintenance of fixtures and facilities.
 - 1. Toilets: Use of Owner's existing toilet facilities will be permitted with Owner's approval, as long as facilities are cleaned and maintained daily. At Substantial Completion, restore these facilities to condition existing before initial use.
- D. Heating and Cooling: Provide temporary heating and cooling required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of low temperatures or high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed.

- 1. Maintain a minimum temperature of 50 degrees F in permanently enclosed portions of building for normal construction activities, and 65 degrees F for finishing activities and areas where finished Work has been installed.
- 2. Isolation of Work Areas in Occupied Facilities: Prevent dust, fumes and odors from entering occupied areas.
- E. Ventilation and Humidity Control: Provide temporary ventilation required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed. Coordinate ventilation requirements to produce ambient condition required and minimize energy consumption.
- F. Electric Power Service: Provide electric power service and distribution system of sufficient size, capacity, and power characteristics required for construction operations.
 - 1. Connect temporary service to Owner's existing power source, as directed by Owner after receiving approval by Owner's Representative.
- G. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections and traffic conditions.
 - 1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.

3.3 SUPPORT FACILITIES INSTALLATION

- A. Waste Disposal Facilities: Provide waste-collection containers in sizes adequate to handle waste from construction operations. Comply with requirements of authorities having jurisdiction. Comply with Division 01 73 00 Section "Execution" for progress cleaning requirements. Contractor shall not use Owner's waste receptacles for any disposal.
- B. Parking: Use designated areas of Owner's existing parking areas for construction personnel upon Owner's approval.

3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. Protection of Existing Facilities: Protect existing vegetation, equipment, structures, utilities and other improvements at Project site and on adjacent properties, except those indicated to be removed or altered. Repair damage to existing facilities.
- B. Environmental Protection: Provide protection, operate temporary facilities and conduct construction in ways and by methods that comply with environmental regulations and that minimize possible air, waterway and subsoil contamination or pollution or other undesirable effects.
- C. Security Enclosure and Lockup: Install substantial temporary enclosure around partially completed areas of construction. Provide lockable entrances to prevent unauthorized entrance, vandalism, theft and similar violations of security.
- D. Barricades, Warning Signs, and Lights: Comply with Owner's instructions for erecting structurally adequate barricades, including warning signs and lighting.

- E. Temporary Egress: Maintain temporary egress from existing occupied facilities as indicated and as required by Owner.
- F. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations and similar activities. Provide temporary weathertight enclosure for building exterior.
 - 1. Where heating or cooling is needed and permanent enclosure is not complete, insulate temporary enclosures.
- G. Temporary Partitions: Provide floor-to-ceiling dustproof partitions to limit dust and dirt migration and to separate areas occupied by tenants from fumes and noise.
 - 1. Construct dustproof partitions with two (2) layers of 6-mil polyethylene sheet on each side. Overlap and tape full length of joints.
 - 2. Where fire-resistance-rated temporary partitions are indicated or are required by authorities having jurisdiction, construct partitions according to the rated assemblies.
 - 3. Seal joints and perimeter.
 - 4. Protect air-handling equipment.
 - 5. Provide walk-off mats at each entrance through temporary partition.
 - 6. Dust Control/Air handlers
- H. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241.
 - 1. Prohibit smoking on Owner's property.
 - 2. Supervise welding operations, combustion-type temporary heating units and similar sources of fire ignition according to requirements of authorities having jurisdiction.

3.5 OPERATION, TERMINATION AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
 - 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation and similar facilities on a twenty-four (24) hour basis where required to achieve indicated results and to avoid possibility of damage.
- C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.
- D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces and replace construction that cannot be satisfactorily repaired.
 - 1. Materials and facilities that constitute temporary facilities are property of Contractor. Owner reserves right to take possession of Project identification signs.

2. At Substantial Completion, clean and renovate permanent facilities used during construction period. Comply with final cleaning requirements specified in Division 01 77 00 Section "Closeout Procedures."

END OF SECTION 01 5000

SECTION 01 6000 PRODUCT REQUIREMENTS

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage and handling; manufacturers' standard warranties on products; special warranties; product substitutions; and comparable products.
- B. See Division 01 77 00 Section "Closeout Procedures" for submitting warranties for Contract closeout.
- C. See Divisions 02 through 33 Sections for specific requirements for warranties on products and installations specified to be warranted. Not all Sections will be used.

1.3 DEFINITIONS

- A. Products: Items purchased for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
 - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
 - 2. New Products: Items that have not previously been incorporated into another project or facility, except that products consisting of recycled-content materials are allowed, unless explicitly stated otherwise. Products salvaged or recycled from other projects are not considered new products.
 - 3. Comparable Product: Product that is demonstrated and approved through submittal process, or where indicated as a product substitution, to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance and other characteristics that equal or exceed those of specified product.
- B. Substitutions: Changes in products, materials, equipment and methods of construction from those required by the Contract Documents and proposed by Contractor.
- C. Basis-of-Design Product Specification: Where a specific manufacturer's product is named and accompanied by the words "basis of design," including make or model number or other designation, to establish the significant qualities related to type, function, dimension, in-service performance, physical properties, appearance and other characteristics for purposes of evaluating comparable products of other named manufacturers.

1.4 SUBMITTALS

- A. Substitution Requests: Electronically submit three (3) copies of each request for consideration to the Owner. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
 - 1. Documentation: Show compliance with requirements for substitutions and the following, as applicable:
 - Statement indicating why specified material or product cannot be provided.
 - b. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Owner and separate contractors, which will be necessary to accommodate proposed substitution.
 - c. Detailed comparison of significant qualities of proposed substitution with those of the Work specified. Significant qualities may include attributes such as performance, weight, size, durability, visual effect and specific features and requirements indicated.
 - d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
 - e. Samples, where applicable or requested.
 - f. List of similar installations for completed projects with project names and addresses and the names and addresses of Architects and Owners.
 - g. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
 - h. Research/evaluation reports evidencing compliance with building code in effect for Project, from a model code organization acceptable to authorities having jurisdiction.
 - i. Detailed comparison of Contractor's Final Project Schedule using proposed substitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating lack of availability or delays in delivery.
 - j. Cost information, including a proposal of change, if any, in the Contract Sum.
 - k. Contractor's certification that proposed substitution complies with requirements in the Contract Documents and is appropriate for applications indicated.
 - 1. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
 - 2. Owner's Action: If necessary, the Owner will request additional information or documentation for evaluation within five (5) calendar days of receipt of a request for substitution. Owner will notify Contractor of acceptance or rejection of proposed substitution within ten (10) calendar days of receipt of request, or five (5) calendar days of receipt of additional information or documentation, whichever is later.
 - a. Form of Acceptance: Signed and Approved Substitution Request Form.

B. Basis-of-Design Product Specification Submittal: Comply with requirements in Division 01 Section "Submittal Procedures." Show compliance with requirements.

1.5 QUALITY ASSURANCE

A. Compatibility of Options: If Contractor is given option of selecting between two (2) or more products for use on Project, product selected shall be compatible with products previously selected, even if previously selected products were also options.

1.6 PRODUCT DELIVERY, STORAGE, AND HANDLING

A. Deliver, store and handle products using means and methods that will prevent damage, deterioration and loss, including theft. Comply with manufacturer's written instructions.

B. Delivery and Handling:

- 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
- 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged or sensitive to deterioration, theft and other losses.
- 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting and installing.
- 4. Inspect products on delivery to ensure compliance with the Contract Documents and to ensure that products are undamaged and properly protected.

C. Storage:

- 1. Store products to allow for inspection and measurement of quantity or counting of units.
- 2. Store materials in a manner that will not endanger Project structure.
- 3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
- 4. Store cementitious products and materials on elevated platforms.
- 5. Store foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
- 6. Comply with product manufacturer's written instructions for temperature, humidity, ventilation and weather-protection requirements for storage.
- 7. Protect stored products from damage and liquids from freezing.

1.7 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
 - 1. Manufacturer's Warranty: Pre-printed written warranty published by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.

- 2. Special Warranty: Written warranty required by or incorporated into the Contract Documents, either to extend time limit provided by manufacturer's warranty or to provide more rights for Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution. Electronically submit a draft for approval before final execution to the Owner.
 - 1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
 - 2. Specified Form: When specified forms are included with the Specifications, prepare a written document using appropriate form properly executed.
 - 3. Refer to Divisions 2 through 33 Sections for specific content requirements and particular requirements for submitting special warranties. Not all Sections will be used.
- C. Submittal Time: Comply with requirements in Division 01 Section "Closeout Procedures."

PART 2 PRODUCTS

2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, that are undamaged and, unless otherwise indicated, that are new at time of installation.
 - 1. Provide products complete with accessories, trim, finish, fasteners and other items needed for a complete installation and indicated use and effect.
 - 2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
 - 3. Owner reserves the right to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
 - 4. Where products are accompanied by the term "as selected," Owner will make selection.
 - 5. Where products are accompanied by the term "match sample," sample to be matched is Owner's.
 - 6. Descriptive, performance and reference standard requirements in the Specifications establish "salient characteristics" of products.

B. Product Selection Procedures:

- 1. Products: Where Specifications include a list of names of both products and manufacturers, provide one (1) of the products listed or equal product that complies with requirements.
- 2. Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one (1) of the manufacturers listed or equal manufacturer that complies with requirements.
- 3. Available Products: Where Specifications include a list of names of both products and manufacturers, provide one (1) of the products listed, or a equal product. Comply with provisions in Part 2 "Product Substitutions" Article for consideration of an unnamed "or Equal" product.

- 4. Available Manufacturers: Where Specifications include a list of manufacturers, provide a product by one (1) of the manufacturers listed, or an unnamed manufacturer, that complies with requirements. Comply with provisions in Part 2 "Product Substitutions" Article for consideration of an unnamed manufacturer.
- 5. Product Options: Where Specifications indicate that sizes, profiles and dimensional requirements on Drawings are based on a specific product or system, provide the specified product or system. Comply with provisions in Part 2 "Product Substitutions" Article for consideration of an unnamed product or system.
- 6. Basis-of-Design Product: Where Specifications name a product and include a list of manufacturers, provide the specified product or a comparable product by one (1) of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions and other characteristics that are based on the product named. Comply with provisions in Part 2 "Product Substitutions" Article for consideration of an unnamed product by the other named manufacturers.
- 7. Visual Matching Specification: Where Specifications require matching an established Sample, select a product that complies with requirements and matches Owner's sample. Owner's decision will be final on whether a proposed product matches.
 - a. If no product available within specified category matches and complies with other specified requirements, comply with provisions in Part 2 "Product Substitutions" Article for proposal of product.
- 8. Visual Selection Specification: Where Specifications include the phrase "as selected from manufacturer's colors, patterns and textures" or a similar phrase, select a product that complies with other specified requirements.
 - a. Standard Range: Where Specifications include the phrase "standard range of colors, patterns, textures" or similar phrase, Owner will select color, pattern, density or texture from manufacturer's product line that does not include premium items.
 - b. Full Range: Where Specifications include the phrase "full range of colors, patterns, textures" or similar phrase, Owner will select color, pattern, density or texture from manufacturer's product line that includes both standard and premium items.

2.2 PRODUCT SUBSTITUTIONS

- A. Timing: Owner will consider requests for substitutions if received within sixty (60) days after the Notice to Proceed. Requests received after that time may be considered or rejected at discretion of Owner.
- B. Conditions: Owner will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Owner will return requests without action, except to record noncompliance with these requirements:
 - 1. Requested substitution offers Owner a substantial advantage in cost, time, energy conservation, or other considerations, after deducting additional responsibilities Owner must assume. Owner's additional responsibilities may include compensation to Architect for redesign and evaluation services, increased cost of other construction by Owner and similar considerations.
 - 2. Requested substitution does not require extensive revisions to the Contract Documents.

- 3. Requested substitution is consistent with the Contract Documents and will produce indicated results.
- 4. Substitution request is fully documented and properly submitted.
- 5. Requested substitution will not adversely affect Contractor's Final Project Schedule.
- 6. Requested substitution has received necessary approvals of authorities having jurisdiction.
- 7. Requested substitution is compatible with other portions of the Work.
- 8. Requested substitution has been coordinated with other portions of the Work.
- 9. Requested substitution provides specified warranty.

PART 3 EXECUTION (Not Used)

END OF SECTION 01 6000

SECTION 01 7300 EXECUTION

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes general procedural requirements governing execution of the Work including, but not limited to, the following:
 - 1. Construction layout.
 - 2. General installation of products.
 - 3. Progress cleaning.
 - 4. Starting and adjusting.
 - 5. Protection of installed construction.
 - 6. Correction of the Work.
- B. See Division 01 Section "Closeout Procedures" for submitting final property survey with Project Record Documents, recording of Owner-accepted deviations from indicated lines and levels, and final cleaning.

1.3 SUBMITTALS

A. Not Applicable

PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION

3.1 EXAMINATION

- A. Existing Conditions: The existence and location of site improvements, utilities and other construction indicated as existing are not guaranteed. Before beginning work, investigate and verify the existence and location of mechanical and electrical systems and other construction affecting the Work. Notify Owner of any discrepancies between plans and actual conditions on site.
 - 1. Before construction, verify the location and points of connection of utility services.
- B. Acceptance of Conditions: Examine substrates, areas and conditions, with Installer or Applicator and Owner present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
 - 1. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.

- 2. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
- 3. Examine walls, floors and roofs for suitable conditions where products and systems are to be installed.
- 4. Proceed with installation only after unsatisfactory conditions have been corrected and approved by Owner. Proceeding with the Work indicates acceptance of surfaces and conditions.

3.2 PREPARATION

- A. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- B. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- C. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents, submit a request for information to Owner, per Section 01 3100.
 - 1. It is the Contractor's responsibility to coordinate between the various Contract Documents, including the Drawings and Specifications, with neither superseding the other. In the event of conflicts or discrepancies among the Contract Documents, it is the Contractor's responsibility to seek clarification.
 - 2. Where conflicts and/or omissions have not been brought to the attention of the Owner, it is understood that the Contractor has made provisions in the bid for the most costly material or methods.

3.3 CONSTRUCTION LAYOUT

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Owner promptly.
- B. General: Engage experienced layout engineers to lay out the Work using accepted surveying practices.
 - 1. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
 - 2. Inform installers of lines and levels to which they must comply.
 - 3. Check the location, level and plumb, of every major element as the Work progresses.
 - 4. Notify Owner when deviations from required lines and levels exceed allowable tolerances.

3.4 FIELD ENGINEERING

A. Reference Points: Locate existing permanent benchmarks, control points and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.

3.5 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
 - 1. Make vertical work plumb and make horizontal work level.
 - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
 - 3. Conceal pipes, ducts and wiring in finished areas unless otherwise indicated.
 - 4. Install materials in lengths that produce the minimum amount of joints.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Tools and Equipment: Do not use tools or equipment that produces harmful noise and dust levels. Refer to Dust Control in the Scope of Work.
- F. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- G. Attachments: Provide blocking and attachment plates, anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions.
 - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Owner.
 - 2. Allow for building movement, including thermal expansion and contraction.
 - 3. Coordinate installation of anchorages. Furnish setting drawings, templates and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- H. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, submit proposed joint layout, for Owner's approval. Fit exposed connections together to form hairline joints.
 - 1. Use weather cuts, miters, back caulk as needed. Use lengths that minimize joints.
- I. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.
 - 1. If required, Contractor must submit MSDS for all products to be used onsite to Owner for approval. Owner shall have seven (7) calendar days to review and approve/disapprove of the product.

2. If required, Contractor must submit a weekly schedule detailing when and where approved products will be used on an hour-by-hour basis. This schedule must be submitted by 9:00 AM on Wednesday of the week prior to the scheduled work week

3.6 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Coordinate progress cleaning for joint-use areas where more than one installer has worked. Enforce requirements strictly. Dispose of materials lawfully in compliance with Section 01 7419 "Construction Waste Management and Disposal."
 - 1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
 - 2. Do not hold materials more than seven (7) calendar days during normal weather or three (3) calendar days if the temperature is expected to rise above 80 degrees F
 - 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
 - 4. Do not use Owner receptacles.
 - 5. Recycle as outlined in Waste Management Plan in Section 01 7419.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
 - 1. Remove liquid spills promptly.
 - 2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Waste Disposal: Burying or burning waste materials on-site will not be permitted. Washing waste materials down sewers or into waterways will not be permitted.
- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.

J. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging or otherwise deleterious exposure during the construction period.

3.7 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units and re-test.
- B. Adjust operating components for proper operation without binding. Adjust equipment for proper operation.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Manufacturer's Field Service: If a factory-authorized service representative is required to inspect field-assembled components and equipment installation, comply with qualification requirements in Division 01 04 00 Section "Quality Requirements."

3.8 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.

3.9 CORRECTION OF THE WORK

- A. Repair or remove and replace defective construction. Restore damaged substrates and finishes. Comply with requirements in Division 01 73 29 Section "Cutting and Patching."
 - 1. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials and properly adjusting operating equipment.
- B. Restore permanent facilities used during construction as well as landscapes and hardscapes to their original condition.
- C. Remove and replace damaged surfaces that are exposed to view if surfaces cannot be repaired without visible evidence of repair.
- D. Repair components that do not operate properly. Remove and replace operating components that cannot be repaired.
- E. Remove and replace chipped, scratched and broken glass or reflective surfaces.

END OF SECTION 01 7300

SECTION 01 7329 CUTTING AND PATCHING

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes procedural requirements for cutting and patching.
- B. See Divisions 02 through 33 Sections for specific requirements and limitations applicable to cutting and patching individual parts of the Work. Not all Sections will be used.

1.3 QUALITY ASSURANCE

- A. Structural Elements: Do not cut and patch structural elements in a manner that could change their load-carrying capacity or load-deflection ratio.
- B. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety.
- C. Miscellaneous Elements: Do not cut and patch miscellaneous elements or related components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety.

D. Visual Requirements:

- 1. Unless indicated otherwise, patching, extending or matching shall be performed as necessary to make the Work complete, with all components matching and consistent.
- 2. Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch construction exposed on the exterior or in occupied spaces in a manner that would, in Owner's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
- 3. Patching materials shall meet the requirements of the jurisdictional code authorities.
- 4. All patching procedures shall be reviewed with the Owner prior to proceeding.

1.4 WARRANTY

A. Existing Warranties: Remove, replace, patch and repair materials and surfaces cut or damaged during cutting and patching operations, by methods and with materials so as not to void existing warranties.

PART 2 PRODUCTS

2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
- B. In-Place Materials: Use materials identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
 - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will match the visual and functional performance of in-place materials.

PART 3 EXECUTION

3.1 EXAMINATION

- A. Examine surfaces to be cut and patched and conditions under which cutting and patching are to be performed.
 - 1. Compatibility: Before patching, verify compatibility with and suitability of substrates, including compatibility with in-place finishes or primers.
 - 2. Proceed with installation only after unsafe or unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Temporary Support: Provide temporary support of Work to be cut.
- B. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- C. Adjoining Areas: Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas.
- D. Cut, move or remove items as necessary for access to alterations and renovations work; replace and restore at completion.
- E. Contact the Owner when unsuitable materials not marked for removal such as rotted wood, rusted metals and deteriorated concrete and masonry are discovered.
- F. Remove debris and abandoned items from area and from concealed spaces.
- G. Prepare surfaces and remove surface finishes to provide for proper installation of new work and new finishes.

3.3 PERFORMANCE

A. General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time and complete without delay.

- B. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. Provide appropriate dust control while cutting through surfaces. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
 - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots as small as possible, neatly to size required and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
 - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
 - 3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
 - 4. Excavating and Backfilling: Comply with requirements in applicable Division 31 and 33 Sections (Sections may not be used) where required by cutting and patching operations.
 - 5. Proceed with patching after construction operations requiring cutting are complete.
- C. Patching: Patch construction by filling, repairing, refinishing, closing up and similar operations following performance of other Work. Patch with durable seams that are as invisible as possible. Provide materials and comply with installation requirements specified in other Sections.
 - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate integrity of installation.
 - 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.
 - 3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
 - 4. Ceilings: Patch, repair or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
 - 5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition.
- D. Trim existing doors as necessary to clear new floor finishes; refinish trimmed areas.
- E. Where existing items are indicated as cut or reconfigured, cap and finish all exposed edges to match the existing construction to remain. Provide new or relocated supports spaced to be consistent with the installation.
- F. Cleaning: Clean areas and spaces where cutting and patching are performed. Completely remove paint, mortar, oils, putty and similar materials.

END OF SECTION 01 7329

SECTION 01 7419 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions, Project Documents, and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for the following:
 - 1. Salvaging of non-hazardous demolition and construction waste.
 - 2. Recycling of non-hazardous demolition and construction waste.
 - 3. Disposing of non-hazardous demolition and construction waste.

1.3 DEFINITIONS

- A. Construction Waste: All non-hazardous building and site materials or other non-hazardous solid waste resulting from construction, remodeling, renovation, repair or land-clearing operations. Construction waste includes packaging and material that is recycled, reused, salvaged or disposed as garbage.
- B. Demolition Waste: All non-hazardous building and site materials or other non-hazardous solid waste resulting from demolition or selective demolition operations.
- C. Disposal: Removal off-site of demolition and construction waste and subsequent sale, recycling, reuse or deposit in landfill or incinerator acceptable to authorities having jurisdiction.
- D. Recycle: Recovery of demolition or construction waste for subsequent processing for the purpose of using the material in the manufacture of a new product.
 - 1. Source-Separated Recycling: The process of separating recyclable materials in separate containers as they are generated on the job-site. The separated materials are hauled directly to a recycling facility or transfer station.
 - 2. Co-mingled Recycling: The process of collecting mixed recyclable materials in one container on-site. The container is taken to a material recovery facility where materials are separated for recycling.
- E. Re-Use: Making use of a material without altering its form. Materials can be reused onsite or reused on other project off-site. Examples include, but are not limited to the following:
 - 1. Grinding of concrete for use as sub-base material.
 - 2. Chipping of land-clearing debris for use as mulch.

- F. Salvage: Recovery of demolition or construction waste and subsequent sale or re-use in another facility.
- G. Salvage and Re-use: Recovery of demolition or construction waste and subsequent incorporation into the Work.

1.4 PERFORMANCE GOALS

- A. General: Material from demolition projects shall be recycled or reused whenever practicable (RCW 39.04.135). Contractor to develop a waste management plan that results in end-of-Project rates for salvage/recycling of fifty (50%) percent by weight of total waste generated by the Work by one or a combination of the following:
 - 1. Salvage.
 - 2. Reuse.
 - 3. Source-separated Recycling.
 - 4. Co-mingled Recycling.
- B. Salvage/Recycle Goals: Owner's goal is to salvage and recycle as much non-hazardous demolition and construction waste as possible including the following materials:
 - 1. Cardboard.
 - 2. Clean dimensional wood.
 - 3. Metals: Material banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized sheet steel, stainless steel, aluminum, copper, zinc, lead, brass, and bronze.
 - 4. Gypsum board.

1.5 SUBMITTALS

- A. Waste Management Plan: Submit three (3) copies of plan within seven (7) calendar days of date established for the Notice of Proceed.
- B. Waste Reduction Progress Reports: Electronically submit, concurrent with Final Application for Payment, the report to the Owner. Include separate reports for demolition and construction waste. Include the following information:
 - 1. Material category.
 - 2. Generation point of waste.
 - 3. Destination of waste.
 - 4. Total quantity of waste in tons.
 - 5. Quantity of waste salvaged, both estimated and actual in tons.
 - 6. Quantity of waste recycled, both estimated and actual in tons.
 - 7. Total quantity of waste recovered (salvaged plus recycled) in tons.
 - 8. Total quantity of waste recovered (salvaged plus recycled) as a percentage of total waste.
- C. Waste Reduction Calculations: Before request for Substantial Completion, electronically submit a copy of calculated end-of-Project rates for salvage, recycling, and disposal as a percentage of total waste generated by the Work to the Owner.
- D. Records of Donations: Indicate receipt and acceptance of salvageable waste donated to individuals and organizations. Indicate whether organization is tax exempt.

- E. Records of Sales: Indicate receipt and acceptance of salvageable waste sold to individuals and organizations. Indicate whether organization is tax exempt.
- F. Recycling and Processing Facility Records: Indicate receipt and acceptance of recyclable waste by recycling and processing facilities licensed to accept them. Include manifests, weight tickets, receipts and invoices. If waste is taken to a facility that landfills and recycles, include facility record of recycling rate for the period of construction.
- G. Landfill and Incinerator Disposal Records: Indicate receipt and acceptance of waste by landfills and incinerator facilities licensed to accept them. Include manifests, weight tickets, receipts and invoices.

1.6 QUALITY ASSURANCE

- A. Regulatory Requirements: Conduct construction waste management activities in accordance with State of Washington RCW 70.95.240, Seattle Municipal Code Chapter 21.36 and all other applicable laws and ordinances.
- B. Review of the following publications and programs (request copies by calling King County Solid Waste Division at 206-477-4466)
 - 1. Construction Recycling Directory for Seattle/ King County.
 - 2. Contractors Guide: Save money and resources through job-site recycling and waste prevention.
 - 3. King County Solid Waste Division Report of Co-mingled Recycling Facilities (available at www.metrokc.gov/dnrp/swd/construction-recycling/comingled.asp)

1.7 WASTE MANAGEMENT PLAN

- A. General: Develop a plan consisting of waste identification, waste reduction work plan and cost/revenue analysis. Include separate sections in plan for demolition and construction waste. Indicate quantities by weight or volume, but use same units of measure throughout waste management plan.
- B. Waste Identification: Indicate anticipated types and quantities of demolition and construction waste generated by the Work. Include estimated quantities and assumptions for estimates.
- C. Waste Reduction Work Plan: List each type of waste and whether it will be salvaged, recycled or disposed of in landfill or incinerator. Include points of waste generation, total quantity of each type of waste, quantity for each means of recovery and handling and transportation procedures.
 - 1. Salvaged Materials for Reuse: For materials that will be salvaged and reused in this Project, describe methods for preparing salvaged materials before incorporation into the Work.
 - 2. Salvaged Materials for Sale: For materials that will be sold to individuals and organizations, include list of their names, addresses and telephone numbers.
 - 3. Salvaged Materials for Donation: For materials that will be donated to individuals and organizations, include list of their names, addresses and telephone numbers.

- 4. Recycled Materials: Include list of local receivers and processors and type of recycled materials each will accept. Include names, addresses and telephone numbers
- 5. Disposed Materials: Indicate how and where materials will be disposed of. Include name, address and telephone number of each landfill and incinerator facility.
- 6. Handling and Transportation Procedures: Include method that will be used for separating recyclable waste including sizes of containers, container labeling and designated location on Project site where materials separation will be located.
- D. Cost/Revenue Analysis: Indicate total cost of waste disposal as if there was no waste management plan and net additional cost or net savings resulting from implementing waste management plan. Include the following:
 - 1. Total quantity of waste.
 - 2. Estimated cost of disposal (cost per unit). Include hauling and tipping fees and cost of collection containers for each type of waste.
 - 3. Total cost of disposal (with no waste management).
 - 4. Savings in hauling and tipping fees that are avoided.
 - 5. Handling and transportation costs. Include cost of collection containers for each type of waste.
 - 6. Net additional cost or net savings from waste management plan.

PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION

3.1 PLAN IMPLEMENTATION

- A. General: Implement waste management plan as approved by Owner. Provide handling, containers, storage, signage, transportation and other items as required to implement waste management plan during the entire duration of the Contract.
- B. Waste Management Coordinator: Contractor shall be responsible for implementing, monitoring, and reporting status of waste management work plan.
- C. Training: Train workers, subcontractors and suppliers on proper waste management procedures, as appropriate for the Work occurring at Project site.
 - 1. Distribute waste management plan to everyone concerned within one (1) day of submittal return. A hard copy should remain on site. Send the plan electronically to the Owner.
 - 2. Distribute waste management plan to entities when they first begin work on-site. Review plan procedures and locations established for salvage, recycling and disposal.
 - 3. The General Contractor will ensure that the waste plan is communicated to the crews and subcontractors on site. They will be informed of:
 - a. How materials should be separated, and why.
 - b. Where materials should go.
 - c. How often the materials will be collected and delivered to the appropriate facilities.
 - d. The importance of recycling, and KCHA's recycling goals for the project.

- D. Site Access and Temporary Controls: Conduct waste management operations to ensure minimum interference with roads, streets, walks, walkways and other adjacent occupied and used facilities.
 - 1. Designate and label specific areas on Project site necessary for separating materials that are to be salvaged, recycled, reused, donated and sold.
 - 2. Comply with Division 01 50 00 Section "Temporary Facilities and Controls" for controlling dust and dirt, environmental protection and noise control.
 - 3. Clearly label the recycling bins and waste containers on site.
 - 4. Post lists of recyclable and non-recyclable materials in many locations, in different languages.
 - 5. The General Contractor will provide feedback to the crew and subcontractors on the results of their efforts
 - E. To the greatest extent possible, include in material purchasing agreements a waste reduction provision requesting that materials and equipment be delivered in packaging made of recyclable material, that they reduce the amount of packaging, that packaging be taken back for reuse or recycling, and to take back all unused product. Ensure that subcontractors require the same provisions in their purchase agreements.

3.2 SALVAGING DEMOLITION WASTE

- A. Salvaged Items for Reuse in the Work:
 - 1. Clean salvaged items.
 - 2. Pack or crate items after cleaning. Identify contents of containers.
 - 3. Store items in a secure area until installation.
 - 4. Protect items from damage during transport and storage.
 - 5. Install salvaged items to comply with installation requirements for new materials and equipment. Provide connections, supports and miscellaneous materials necessary to make items functional for use indicated.
- B. Salvaged Items for Sale and Donation: Not permitted on Project site.
- C. Salvaged Items for Owner's Use:
 - 1. Clean salvaged items.
 - 2. Pack or crate items after cleaning. Identify contents of containers.
 - 3. Store items in a secure area until delivery to Owner.
 - 4. Transport items to Owner's designated off-site storage area.
 - 5. Protect items from damage during transport and storage.

3.3 RECYCLING DEMOLITION AND CONSTRUCTION WASTE, GENERAL

- A. General: Recycle paper and beverage containers used by on-site workers.
- B. Recycling Receivers and Processors: The list below is provided for information only; available recycling receivers and processors include, but are not limited to, the following:
 - 1. Washington State Department of Ecology, Recycling, Northwest Region 425-649-7000.
 - 2. Industrial Materials Exchange (IMEX), Hazardous Waste Management Program, King County, Washington.

- 3. The "Recycling Plus Program Manual" published by the Washington State Clean Washington Center can be used to develop a job site reduction program. The manual includes a job-site recycling worksheet and form, tips on waste reduction, and other technical assistance. The manual also includes sample language for waste reduction requirements for subcontractors' agreements, as well as sample provision for a full-service recycling agreement.
- 4. LEED Reference Guide, Construction Waste Management section.
- 5. Recovery 1 is a resource recovery, recycling and research facility dedicated to developing sustainable waste management systems. www.recovery1.com or by phone at 800-949-5852.
- 6. Total Reclaim offers a wide variety of innovative environmental services for management of electronics and other hard to handle materials, including fluorescent lamps, refrigerant gases and appliances. www.totalreclaim.com or by phone 206-343-7443.
- 7. "Contractors' Guide for Preventing Waste and Recycling"

 https://kingcounty.gov/~/media/depts/dnrp/solid-waste/construction-recycling/documents/ConGuide.ashx?la=en
- 8. "Seattle/King County Construction Recycling Directory." https://kingcounty.gov/depts/dnrp/solid-waste/programs/green-building/county-green-building.aspx
- C. Recycling Incentives: Revenues, savings, rebates, tax credits and other incentives received for recycling waste materials shall accrue to Owner.
- D. Procedures: Separate recyclable waste from other waste materials, trash and debris. Separate recyclable waste by type at Project site to the maximum extent practical.
 - 1. Provide appropriately marked containers or bins for controlling recyclable waste until they are removed from Project site. Include list of acceptable and unacceptable materials at each container and bin.
 - a. Inspect containers and bins for contamination and remove contaminated materials if found.
 - 2. Stockpile processed materials on-site without intermixing with other materials. Place, grade and shape stockpiles to drain surface water. Cover to prevent windblown dust.
 - 3. Stockpile materials away from construction area. Do not store within drip line of remaining trees.
 - 4. Store components off the ground and protect from the weather.
 - 5. Remove recyclable waste off Owner's property and transport to recycling receiver or processor.

3.4 RECYCLING DEMOLITION WASTE

- A. Wood Materials: Sort and stack members according to size, type and length. Separate lumber, engineered wood products, panel products and treated wood materials.
- B. Metals: Separate metals by type.
 - 1. Structural Steel: Stack members according to size, type of member and length.
 - 2. Remove and dispose of bolts, nuts, washers and other rough hardware.
- C. Gypsum Board: Stack large clean pieces on wood pallets and store in a dry location. Remove edge trim and sort with other metals. Remove and dispose of fasteners.

- D. Equipment: Drain tanks, piping, and fixtures. Seal openings with caps or plugs. Protect equipment from exposure to weather.
- E. Plumbing Fixtures: Separate by type and size.
- F. Piping: Reduce piping to straight lengths and store by type and size. Separate supports, hangers, valves, sprinklers and other components by type and size.
- G. Lighting Fixtures: Separate lamps by type and protect from breakage.
- H. Electrical Devices: Separate switches, receptacles, switchgear, transformers, meters, panelboards, circuit breakers and other devices by type.
- I. Conduit: Reduce conduit to straight lengths and store by type and size.

3.5 RECYCLING CONSTRUCTION WASTE

A. Packaging:

- 1. Cardboard and Boxes: Break down packaging into flat sheets. Bundle and store in a dry location.
- 2. Polystyrene Packaging: Separate and bag materials.
- 3. Pallets: As much as possible, require deliveries using pallets to remove pallets from Project site. For pallets that remain on-site, break down pallets into component wood pieces and comply with requirements for recycling wood.
- 4. Crates: Break down crates into component wood pieces and comply with requirements for recycling wood.

B. Wood Materials:

- 1. Clean Cut-Offs of Lumber: Grind or chip into small pieces.
- 2. Clean Sawdust: Bag sawdust that does not contain painted or treated wood.
- C. Gypsum Board: Stack large clean pieces on wood pallets and store in a dry location.
 - 1. Clean Gypsum Board: Grind scraps of clean gypsum board using small mobile chipper or hammer mill. Screen out paper after grinding.

3.6 DISPOSAL OF WASTE

- A. General: Except for items or materials to be salvaged, recycled or otherwise reused, remove waste materials from Project site and legally dispose of them in a landfill or incinerator acceptable to authorities having jurisdiction.
 - 1. Except as otherwise specified, do not allow waste materials that are to be disposed of accumulate on-site.
 - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
- B. Burning: Do not burn waste materials.
- C. Disposal: Transport waste materials off Owner's property and legally dispose of them.

END OF SECTION 01 7419





The resource conservation program at KCHA tracks the disposal and recycling data for all KCHA activities. These includes all of the waste and recycling generated by residents, food composting, yard waste composting, unit-improvement waste, illegal dumping waste, and all waste created during the construction and demolition process.

Our goals for all of these areas are:

- 1. Track the diversion of our waste and improve when possible
- 2. Meet KCHA recycling goals.

Please provide estimates, to the best of your ability, about the projected waste being generated on this project as well as how much of that waste is being recycled vs disposed. If estimates aren't possible, then we will need this information at project close-out.

Project Name:	MARDI GRAS WASTE LINES		
Project Address:	24009 104th AVE. S.E., KENT, WA 98030		
Work Order No.:	1286	Job No.:	450.5

DESCRIPTION	WEIGHT	QUANTITY (Circle One)		
Total Waste Generated**		Lbs.	CY	Tons
Waste Disposed		Lbs.	CY	Tons
Waste Recycled		Lbs.	CY	Tons
**Waste Disposed plus Waste Recycled should equal Total Waste Generated				
What % of the total waste do you estimate you will recycle?				

The following tables identify materials expected on this project, the quantities generated, whether they will be disposed or recycled, and what facility they will be disposed or recycled at.

DEMOLITION PHASE				
MATERIAL	QUANTITY Lbs./CY/Tons	DISPOSAL / RECYCLE? (CIRCLE ONE)		DISPOSAL OR RECYCLING FACILITY
		Disposal	Recycle	

Example: Roofing, 3 tons, Recycle, DTG Recycle

CONSTRUCTION PHASE				
MATERIAL	QUANTITY Lbs./CY/Tons	DISPOSAL / RECYCLE? (CIRCLE ONE)		DISPOSAL OR RECYCLING FACILITY
		Disposal	Recycle	

Example: Misc. Con. Mat., 30 cy, Recycle, Waste Management

SECTION 01 7700 CLOSEOUT PROCEDURES

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
 - 1. Inspection procedures.
 - 2. Warranties.
 - 3. Final cleaning.
- B. See the Owner-Contractor Contract for requirements for Applications for Payment for Substantial and Final Completion.
- C. See Division 01 7839 Section "Project Record Documents" for submitting Record Drawings, Record Specifications and Record Product Data.
- D. See Division 01 7823 Section "Operation and Maintenance Data" for operation and maintenance manual requirements.
- E. See Divisions 02 through 33 Sections for specific closeout and special cleaning requirements for the Work in those Sections. Not all Sections will be used.

1.3 SUBSTANTIAL COMPLETION (Refer to Article 9.8 AIA A201-2017)

- A. Preliminary Procedures: Before requesting inspection for determining date of Substantial Completion, complete the following. List items below that are incomplete in request.
 - 1. Prepare a list of items to be completed and corrected (punch list), the value of items on the list, and reasons why the Work is not complete.
 - 2. Advise Owner of pending insurance changeover requirements.
 - 3. Submit specific warranties, workmanship bonds, maintenance service agreements, final certifications and similar documents (to be included in O&M Manuals)
 - 4. Obtain and submit to Owner, the releases permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
 - 5. Prepare and submit Project Record Documents, operation and maintenance manuals, damage or settlement surveys, property surveys and similar final record information to the Owner.
 - 6. Deliver tools, spare parts, extra materials and similar items to location designated by Owner. Label with manufacturer's name and model number where applicable.
 - 7. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.

- 8. Complete startup testing of systems.
- 9. Submit test/adjust/balance records to the Owner.
- 10. Terminate and remove temporary facilities from Project site, along with mockups, construction tools and similar elements.
- 11. Advise Owner of changeover in heat and other utilities.
- 12. Submit changeover information related to Owner's occupancy, use, operation and maintenance.
- 13. Complete final cleaning requirements, including touchup painting.
- 14. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.
- 15. Provide training on all newly installed systems by qualified personnel. Training will be presented to those that use the equipment, i.e. tenants site staff, facility users.
- B. Inspection: Submit a written request for inspection for Substantial Completion to the Owner. On receipt of request, Owner will either proceed with inspection or notify Contractor of unfulfilled requirements. Owner will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Owner, that must be completed or corrected before certificate will be issued.
 - 1. Re-inspection: Request re-inspection when the Work identified in previous inspections as incomplete is completed or corrected.
 - 2. Results of completed inspection will form the basis of requirements for Final Completion.

1.4 CONTRACT COMPLETION (Refer to Article 9.10 in AIA A201-2017)

- A. Preliminary Procedures: Before requesting final inspection for determining date of Contract Completion, complete the following:
 - 1. Submit a final Application for Payment according to the Owner-Contractor Contract provisions to the Owner.
 - 2. Submit to the Owner, a certified copy of Owner's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Owner. The certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
 - 3. Submit evidence of final, continuing insurance coverage complying with insurance requirements to the Owner.
 - 4. Instruct Owner's personnel in operation, adjustment and maintenance of products, equipment and systems.
- B. Inspection: Submit a written request for final inspection for acceptance to the Owner. On receipt of request, Owner will either proceed with inspection or notify Contractor of unfulfilled requirements. Owner will notify Contractor of construction that must be completed or corrected before certificate will be issued.
 - 1. Re-inspection: Request re-inspection when the Work identified in previous inspections as incomplete is completed or corrected.

1.5 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

A. Preparation: After Contractor has performed own Quality Control of the Work, Contractor will notify and schedule punch list inspection with Owner and other team

members. Owner will document items needing correction on Owner provided form listing area inspected and deficient item needing correction. Owner will provide Contractor with copy of punch list after inspection is completed. Owner has right to stop inspection due to quantity of repetitious items identified by Owner, or if Contractor has not performed own Ouality Control of the Work

- 1. Organize list of spaces in sequential order.
- 2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment and building systems.

1.6 WARRANTIES (Refer to Article 3.5 in AIA A201-2017)

- A. Submittal Time: Submit written warranties on request of Owner for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated.
- B. Organize warranty documents into an orderly sequence based on the table of contents of the Project Manual.
 - 1. Bind warranties and bonds in heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
 - 2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address and telephone number of Installer.
 - 3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name and name of Contractor.
- C. Provide additional copies of each warranty to include in operation and maintenance manuals.

PART 2 PRODUCTS

2.1 MATERIALS

A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

PART 3 EXECUTION

3.1 FINAL CLEANING

- A. General: Provide final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.

- 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a portion of Project:
 - a. Clean Project site, yard and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter and other foreign substances.
 - b. Sweep paved areas broom clean. Remove petrochemical spills, stains and other foreign deposits.
 - c. Remove tools, construction equipment, machinery and surplus material from Project site.
 - d. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
 - e. Sweep concrete floors broom clean in unoccupied spaces.
 - f. Vacuum carpet and similar soft surfaces, removing debris and excess nap; shampoo if visible soil or stains remain.
 - g. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials. Polish mirrors and glass, taking care not to scratch surfaces.
 - h. Remove labels that are not permanent.
 - Touch up and otherwise repair and restore marred, exposed finishes and surfaces. Replace finishes and surfaces that cannot be satisfactorily repaired or restored or that already show evidence of repair or restoration.
 - 1) Do not paint over "UL" and similar labels, including mechanical and electrical nameplates.
 - j. Wipe surfaces of mechanical and electrical equipment and similar equipment. Remove excess lubrication, paint and mortar droppings and other foreign substances.
 - k. Replace parts subject to unusual operating conditions.
 - 1. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
 - m. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers and grills.
 - n. Clean light fixtures, lamps, globes and reflectors to function with full efficiency. Replace burned-out bulbs and those noticeably dimmed by hours of use, and defective or noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.
 - o. Leave Project clean and ready for occupancy.
- C. Comply with safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on Owner's property. Do not discharge volatile, harmful or dangerous materials into drainage systems. Remove waste materials from Project site and dispose of lawfully.

END OF SECTION 01 7700

SECTION 01 7823 OPERATION AND MAINTENANCE DATA

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
 - 1. Operation manuals for systems, subsystems, and equipment Maintenance manuals for the care and maintenance of products, materials, finishes, systems, and equipment.
- B. See Divisions 02 through 33 Sections for specific operation and maintenance manual requirements for the Work in those Sections. Every Division may not be used.

1.3 SUBMITTALS

- A. Manual: Submit one (1) electronic copy of each manual in final form at least fifteen (15) calendar days before final inspection. Owner will return copy with comments within fifteen (15) calendar days after final inspection.
 - 1. Correct or modify each manual to comply with Owner's comments. Submit two (2) hard copies and one (1) electronic copy on Compact Disk of each corrected manual within fifteen (15) calendar days of receipt of Owner's comments.

PART 2 - PRODUCTS

2.1 MANUALS- GENERAL

- A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain a title page, table of contents and manual contents.
- B. Title Page: Enclose title page in transparent plastic sleeve. Include the following information:
 - 1. Subject matter included in manual.
 - 2. Name and address of Project.
 - 3. Name and address of Owner.
 - 4. Date of submittal.
 - 5. Name, address and telephone number of Contractor.
 - 6. Name and address of Architect.
 - 7. Cross-reference to related systems in other operation and maintenance manuals.

- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem and equipment. If possible, assemble instructions for subsystems, equipment and components of one (1) system into a single binder.
 - 1. Binders: Heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
 - a. Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, and subject matter of contents. Indicate volume number for multiple-volume sets.
 - 2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
 - 3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software diskettes for computerized electronic equipment.
 - 4. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
 - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
 - b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents and drawing locations.

2.2 OPERATION MANUALS

- A. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and equipment descriptions, operating standards, operating procedures, operating logs, wiring and control diagrams, and license requirements.
- B. Descriptions: Include the following:
 - 1. Product name and model number.
 - 2. Manufacturer's name.
 - 3. Equipment identification with serial number of each component.
 - 4. Equipment function.
 - 5. Operating characteristics.
 - 6. Limiting conditions.
 - 7. Performance curves.
 - 8. Engineering data and tests.
 - 9. Complete nomenclature and number of replacement parts.
- C. Operating Procedures: Include start-up, break-in and control procedures; stopping and normal shutdown instructions; routine, normal, seasonal and weekend operating instructions; and required sequences for electric or electronic systems.

- D. Systems and Equipment Controls: Describe the sequence of operation and diagram controls as installed.
- E. Piped Systems: Diagram piping as installed and identify color-coding where required for identification.

2.3 PRODUCT MAINTENANCE MANUAL

- A. Content: Organize manual into a separate section for each product, material and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- B. Source Information: List each product included in manual; identify by product name and arrange to match manual's table of contents. For each product, list name, address and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual.
- C. Product Information: Include the following, as applicable:
 - 1. Product name and model number.
 - 2. Manufacturer's name.
 - 3. Color, pattern and texture.
 - 4. Material and chemical composition.
 - 5. Re-ordering information for specially manufactured products.
- D. Maintenance Procedures: Include manufacturer's written recommendations and inspection procedures, types of cleaning agents, methods of cleaning, schedule for cleaning and maintenance, and repair instructions.
- E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.

2.4 SYSTEMS AND EQUIPMENT MAINTENANCE MANUAL

- A. Content: For each system, subsystem and piece of equipment not part of a system, include source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranty and bond information, as described below.
- B. Source Information: List each system, subsystem, and piece of equipment included in manual; identify by product name and arrange to match manual's table of contents. For each product, list name, address and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual.
- C. Manufacturers' Maintenance Documentation: Manufacturers' maintenance documentation including maintenance instructions, drawings and diagrams for maintenance, nomenclature of parts and components, and recommended spare parts for each component part or piece of equipment.

- D. Maintenance Procedures: Include test and inspection instructions, troubleshooting guide, disassembly instructions, and adjusting instructions that detail essential maintenance procedures.
- E. Maintenance and Service Schedules: Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
- F. Spare Parts List and Source Information: Include lists of replacement and repair parts, with parts identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.
- G. Maintenance Service Contracts: Include copies of maintenance agreements with name and telephone number of service agent.
- H. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.

PART 3 EXECUTION

3.1 MANUAL PREPARATION

- A. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material and finish incorporated into the Work.
- B. Operation and Maintenance Manuals: Assemble a complete set of operation and maintenance data indicating operation and maintenance of each system, subsystem and piece of equipment not part of a system.
- C. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data includes more than one (1) item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
- D. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in Record Drawings to ensure correct illustration of completed installation.
 - 1. Do not use original Project Record Documents as part of operation and maintenance manuals.
- E. Comply with Division 01 7700 Section "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

END OF SECTION 01 7823

SECTION 01 7839 PROJECT RECORD DOCUMENTS

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for Project Record Documents, including the following:
 - 1. Record Drawings.
 - 2. Record Specifications.
 - 3. Record Product Data.
- B. See Division 01 7823 Section "Operation and Maintenance Data" for operation and maintenance manual requirements.
- C. See Divisions 02 through 33 Sections for specific requirements for Project Record Documents of the Work in those Sections. Every Division may not be used.

1.3 CLOSEOUT SUBMITTALS

- A. Record Drawings: Submit to Owner PDF **and CAD** files of scanned record prints and three (3) sets of prints.
- B. Record Specifications: Submit annotated PDF electronic files of Project's Specifications, including addenda and contract modifications to the Owner.
- C. Record Product Data: Submit to the Owner, annotated PDF electronic files and directories of each submittal.
 - 1. Where record Product Data are required as part of operation and maintenance manuals, submit duplicate marked-up Product Data as a component of manual.
- D. Miscellaneous Record Submittals: See other Specification Sections for miscellaneous recordkeeping requirements and submittals in connection with various construction activities.
- E. Submit annotated PDF electronic files and directories of each submittal.

PART 2 PRODUCTS

2.1 RECORD DRAWINGS

A. Record Prints: Maintain one (1) set of black-line white prints of the Contract Drawings and Shop Drawings.

- 1. Preparation: Mark Record Prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor or similar entity, to prepare the marked-up Record Prints.
 - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
 - b. Record data as soon as possible after obtaining it. Record and check the markup before enclosing concealed installations.
- 2. Mark the Contract Drawings or Shop Drawings, whichever is most capable of showing actual physical conditions, completely and accurately. If Shop Drawings are marked, show cross-reference on the Contract Drawings.
- 3. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
- 4. Note Field Authorization numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.
- B. Format: Identify and date each Record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
 - 1. Record Prints: Organize Record Prints and newly prepared Record Drawings into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets.
 - 2. Identification: As follows:
 - a. Project name.
 - b. Date.
 - c. Designation "PROJECT RECORD DRAWINGS."
 - d. Name of Architect.
 - e. Name of Contractor.

2.2 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda and contract modifications.
 - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
 - 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
 - 3. Record the name of manufacturer, supplier, Installer and other information necessary to provide a record of selections made.
 - 4. Note related Change Orders, Record Product Data and Record Drawings where applicable.

2.3 RECORD PRODUCT DATA

- A. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
 - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
 - 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.

3. Note related Change Orders, Record Specifications and Record Drawings where applicable.

2.4 MISCELLANEOUS RECORD SUBMITTALS

A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.

PART 3 EXECUTION

3.1 RECORDING AND MAINTENANCE

- A. Recording: Maintain one (1) copy of each submittal during the construction period for Project Record Document purposes. Post changes and modifications to Project Record Documents as they occur; do not wait until the end of Project.
- B. Maintenance of Record Documents and Samples: Store Record Documents and Samples in the field office apart from the Contract Documents used for construction. Do not use Project Record Documents for construction purposes. Maintain Record Documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to Project Record Documents for Owner's reference during normal working hours.

END OF SECTION 01 7839

G703 - Continuation Sheet

SITE NAME - PROJECT NAME; Contract No. CCxxxxx65

 APPLICATION NO:
 06 FINAL

 APPLICATION DATE:
 04.19.21

 PERIOD FROM:
 04.01.21

PERIOD TO: 04.19.21

A	В	С	D E		F	G		Н	I
			WORK COMPLETED		MATERIALS	TOTAL		BALANCE TO	RETAINAGE
ITEM	DESCRIPTION OF WORK	SCHEDULED	FROM PREVIOUS		PRESENTLY	COMPLETED &	%	FINISH	(AGGREGATE
NO.	DESCRIPTION OF WORK	VALUE	APPLICATION(S)	THIS PERIOD	STORED	STORED TO DATE	$(G \div C)$	(C - G)	TO DATE)
			(G)		(NOT IN D OR E)	(D+E+F)		(0 - 0)	10 DATE)
	Allowance & Contingencies	29,000.00	18,851.74	10,148.26	0.00	29,000.00	100.00%	0.00	1,450.00
	Close out	13,523.33	6,761.00	6,762.33	0.00	13,523.33	100.00%	0.00	676.17
	Bond & Insurance	24,050.00	24,050.00	0.00	0.00	24,050.00	100.00%	0.00	1,202.50
	Mobilization	17,500.00	17,500.00	0.00	0.00	17,500.00	100.00%	0.00	875.00
	Demo Siding and Windows	30,000.00	30,000.00	0.00	0.00	30,000.00	100.00%	0.00	1,500.00
	Frame & GWB F/P, Door, Storage	19,000.00	19,000.00	0.00	0.00	19,000.00	100.00%	0.00	950.00
	Deck Coatings	15,500.00	15,500.00	0.00	0.00	15,500.00	100.00%	0.00	775.00
	Deck Railings	23,500.00	21,150.00	2,350.00	0.00	23,500.00	100.00%	0.00	1,175.00
	Roofing incl Ladders and Hatches	57,300.00	28,650.00	28,650.00	0.00	57,300.00	100.00%	0.00	2,865.00
	Roof Framing/Backing/Blocking	11,600.00	10,600.00	1,000.00	0.00	11,600.00	100.00%	0.00	580.00
	Siding and Flashing Materials	43,000.00	43,000.00	0.00	0.00	43,000.00	100.00%	0.00	2,150.00
	Siding Labor	47,000.00	45,000.00	2,000.00	0.00	47,000.00	100.00%	0.00	2,350.00
	Window Materials	16,000.00	16,000.00	0.00	0.00	16,000.00	100.00%	0.00	800.00
	Window Labor	15,000.00	15,000.00	0.00	0.00	15,000.00	100.00%	0.00	750.00
	Patio Door Materials	9,600.00	9,600.00	0.00	0.00	9,600.00	100.00%	0.00	480.00
	Patio Door Labor	9,800.00	9,800.00	0.00	0.00	9,800.00	100.00%	0.00	490.00
	Exterior Doors & Hardware Materials	13,500.00	13,500.00	0.00	0.00	13,500.00	100.00%	0.00	675.00
	Exterior Door Labor	10,625.00	10,625.00	0.00	0.00	10,625.00	100.00%	0.00	531.25
	Door Hardware Materials	2,200.00	2,200.00	0.00	0.00	2,200.00	100.00%	0.00	110.00
	Gutters	4,200.00	0.00	4,200.00	0.00	4,200.00	100.00%	0.00	210.00
	Flameblock Labor	15,000.00	15,000.00	0.00	0.00	15,000.00	100.00%	0.00	750.00
	Flameblock Materials	14,000.00	14,000.00	0.00	0.00	14,000.00	100.00%	0.00	700.00
	Blinds	8,500.00	8,500.00	0.00	0.00	8,500.00	100.00%	0.00	425.00
	Electrical & Lighting Fixtures	12,800.00	12,800.00	0.00	0.00	12,800.00	100.00%	0.00	640.00
	Exterior Painting	18,000.00	17,000.00	1,000.00	0.00	18,000.00	100.00%	0.00	900.00
	Indirect Costs/Overhead/Profit	103,569.63	90,210.00	13,359.63	0.00	103,569.63	100.00%	0.00	5,178.48
	CO 1 - Contingency	(8,218.97)	0.00	(8,218.97)	0.00	(8,218.97)	100.00%	0.00	(410.95)
	TOTALS	575,548.99	9 514,297.74 61,251.25 5 25,714.89 3,062.56		0.00	575,548.99	100.00%	0.00	28,777.45
	5% RETAINAGE	28,777.45			0.00	28,777.45			
	TOTALS LESS RETAINAGE	546,771.54			0.00	546,771.54		0.00	28,777.45
	TOTAL BALANCE TO FINISH (H+I)								28,777.45
	NET CHANGE ORDERS THIS PERIOD:	(8,218.97)	CHANGE ORDERS A	PPROVED THIS PE	RIOD (LIST C/O #s)	1			<u>.</u>
	NET O/O ADDITIONS (TIME DEDICE)	0.00	LIET A/A DEDUCTION	IO (TILLIO DEDIAD)	/0.040.07\				

(8,218.97)

0.00 NET C/O DEDUCTIONS (THIS PERIOD):

TOTAL CHANGE ORDERS TO DATE: (8,218.97)

NET C/O ADDITIONS (THIS PERIOD):

Form G702

NET CHANGES by Change Order

	.on and Certificat					06 777 74 7	51 / 11 / 1	
TO OWNER:	King County Housing Authorit	•	SITE NAME		APPLICATION NO:	06 FINAL	Distribution to:	
	Attn: Capital Construction Dept.	NAME / SCOPE	DD C	ALCOT MANGE	PERIOD TO:	04.19.21	OWNER: X	
	700 Andover Park W. Suite C	OF WORK:	PRC	JECT NAME	CONTRACT NO:	CCxxxxx65 11/16/2020	ARCHITECT:CONTRACTOR:	
FROM	Tukwila, WA 98188 CONTRACTOR NAME	VIA	ARC		CONTRACT DATE: NTP DATE:	11/16/2020	FIELD:	
CONTRACTOR:	CONTRACTOR NAME CONTRACTOR ADDRESS	ARCHITECT:	119 S. MAIN ST	SHITE 200	PROJECT NO:	215.1B	1 1660.	
CONTRACTOR.	CITY, STATE, ZIP	ARCHITECT.	SEATTLE, WA		WORK ORDER NO:	1243		
	,		,					
CONTRACTO	R'S APPLICATION FOR PA	AYMENT		The undersigned Contractor belief the Work covered by				
Application is made	for payment, as shown below, in conne	ction with the Contra	ıct.	Contract Documents, that al				
	Form G703, is attached.			Certificates for Payment wer				
1. ORIGINAL CONTR	ACT SUM		\$583,767.96	shown herein is now due.				
2. NET CHANGE BY	CHANGE ORDERS	·····	(\$8,218.97)	CONTRACTOR:				
3. CONTRACT SUM 1	TO DATE (Line 1 +/- 2)	······	\$575,548.99	By:		Date:		
4. TOTAL COMPLETI	ED & STORED TO DATE (Column G on G	G703)	\$575,548.99	$\overline{}$				
5. RETAINAGE:		-		State of:				
a. 5 % of 0	Completed Work			County of:				
(Column D + F	E on G703: \$575,548.99)=	\$28,777.45		Subscribed and sworn to bef	fore			
	Stored Material			me this	day of			
(Column F on				Notary Public:				
Total Retainage (Lin	es 5a + 5b or Total in Column I of G70	3)	\$28,777.45	My Commission expires:				
6. TOTAL EARNED L	ESS RETAINAGE		\$546,771.54	OWNER'S REPRES	SENTATIVE CER	RTIFICATE FOR PA	YMENT	
(Line 4 Less L	ine 5 Total)			In accordance with the Contract Documents, based on on-site observations and the data comprising				
7. LESS PREVIOUS (CERTIFICATES FOR PAYMENT		\$488,582.85	this application, the Owner's Representative certifies to the Owner that to the best of the				
(Line 6 from	prior Certificate)	\		Representative's knowledge, information and belief the Work has progressed as indicated, the quality				
8. CURRENT PAYME	NT DUE	······	\$58,188.69	of the Work is in accordance		cuments, and the Contract	is entitled to payment of	
9. BALANCE TO FINI	SH, INCLUDING RETAINAGE		_	the AMOUNT CERTIFIED.	•			
(Line 3 Less L	ine 6)	\$28,777.45		AMOUNT CERTIFIED			\$58,188.69	
				(Attach explanation if amou Application and on the Cont				
CHANGE ORDER S	UMMARY	ADDITIONS	DEDUCTIONS	OWNER'S REPRESENTATIVE	 :			
Total changes appro	ved in previous months by Owner	\$0.00	\$0.00	By:		Date:		
Total approved this r	nonth. (CO numbers listed below)	\$0.00	(\$8,218.97)	This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named				
#s:	1 TOTALS	\$0.00	(\$8,218.97)	(\$8,218.97) herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner				

or Contractor under this Contract.

GENERAL CONTRACTOR CERTIFICATION UPON APPLICATION FOR PAYMENT

GENERAL CONTRACTOR: CONTRACTOR: SITE NAME		King County Housing Authority			06	
			DATE: PERIOD F		04.01.21	
		SITE NAME	THROUG		04.19.21	
		PROJECT NAME	CONTRACT NUM		CCxxxxx65	
		ΔCT ΔΜΟΙΙΝΤ:		\$	583,767.96	
2.	APPROVED CHAN			\$	(8,218.97)	
3.	CURRENT CONTR	• •		\$ —	575,548.99	
4.		VIOUS CERTIFICATES FOR PAYME	NT:	\$	488,582.85 58,188.69	
5.	AMOUNT OF CUR	RENT CERTIFICATE FOR PAYME	NT REQUEST:	\$		
By su	ws:	panying Application for Payment				
1.	whose charges w	as made full payment to all lab ere included in any prior Applic natters set forth below or on an	ation for Payment, sub			
2.		nows of no one making a claim for will be paid when the current A ent hereto.			• •	
3.	demands against for Payment, sub	of payments made by Owner, the Owner and the Project for all perfect only to (a) receipt of payment below or on an attachment here	riods up to and includint of the current Application	ng the period	covered by this Application	
	EXCEPTION(S) - DESCRII	PTION:			AMOUNT:	
GENE	RAL CONTRACTOR NA	ME:	CONTRACT	OR NAME		
BY AL	ITHORIZED SIGNER:	PRINT NAM	E	TITLE	DATE	
	of Washington ty of King					
and s		satisfactory evidence that ged that (he/she) signed this instrumed and in the instrument.	nent and acknowledged it		on who appeared before me, free and voluntary act for the	
Signe	d before me on this _	Day of			SEAL	
	Residing at:	d for the State of Washington		_		
	My Commission Exp	oires:				

SUBSTITUTION REQUEST Project: Sub. Request #: From: To: Date: A/E Project #: Re: Contract For: Specification Title: Description: Page: Article / Paragraph: Proposed Substitution: Manufacturer: Address: Trade Name: Model No .: Installer: Address: Phone: 2 - 5 years old 5 - 10 years old History: New Product More than 10 years old Differences between proposed substitution and specified product Point-by-point comparative data attached - REQUIR D BY A/E Reason for not providing specified item: Similar Installation: Project: Architect: Address: Owner: Date Installed: Proposed substitution affects other parts of Work: ☐ No Yes; explain: Savings to Owner for accepting substitution: (\$ Proposed substitution changes Contract Time: □ No Yes (If Yes): Add ☐ Deduct days. * If Contract time is to be extended, a Change Order must be prepared. Supporting Data Attached: Drawings Product Data Samples Tests Reports

SUBSTITUTION REQUEST

The Undersigned certifies:

- Proposed substitution has been fully investigated and determined to be equal or superior in all respects to specified product.
- Same warranty will be furnished for proposed substitution as for specified product.
- Same maintenance service and source of replacement parts, as applicable, is available.
- Proposed substitution will have no adverse effect on other trades and will not affect or delay progress schedule.
- Cost data as stated above is complete. Claims for additional costs related to accepted substitution which may subsequently become apparent
 are to be waived.
- Proposed substitution does not affect dimensions and functional clearances.
- Payment will be made for changes to building design, included A/E design, detailing, and construction costs caused by the substitution.
- Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitted by:						
Signed by:						
Firm:						
Address:						
Telephone:						
Attachments:						
				<u> </u>		
A/E's REVIEW AND AC	TION					
Substitution approve	ed as noted - Make I - Use specified ma	1 1 1 1 1 1 1 1 1 1 1 1 1	nce with Specific	ction 01 3300.	0.	
Signed by:					Date:	
Additional Comments:	Contractor	Subcontractor	Supplier	☐ Manufacturer	□ A/E □	

PART 1 GENERAL

1.1 SUMMARY

- A. Section includes selective demolition, removal, and salvage of building elements as indicated on drawings including but not limited to:
 - 1. Removal of concrete stem wall in select locations,
 - 2. Removal of existing cast iron waste line in crawlspace,
 - 3. Salvage and reinstall of existing toilets at first floor,
 - Protect existing building and site elements scheduled to remain during demolition activities and while new work is being installed.

1.2 REFERENCES

- A. 29 CFR 1926- U.S. Occupational Safety and Health Standards; current edition.
- B. NFPA 241- Standard for Safeguarding Construction, Alteration, and Demolition Operations; 2009.

1.3 SUBMITTALS

- Schedule: Submit for approval selective demolition schedule, including schedule for any interruption of utility service to affected units.
- H. Site Plan: Showing:
 - 1. Vegetation to be protected.
 - 2. Restore landscaping at areas disturbed by construction.
 - 3. Areas for temporary construction and field offices.
 - 4. Areas for temporary and permanent placement of removed materials.
- (Demolition Plan: Submit demolition plan as specified by OSHA and local authorities.
 - Indicate extent of demolition, removal sequence, bracing and shoring, and location and construction of barricades and fences.
 - 2. Identify demolition firm and submit qualifications.
 - 3. Include a summary of safety procedures.
 - 4. Indicate protection and separation of occupied premises.
 - 5. Continuity of site utilities: Underground utilities, including water, telephone, data, cable television, gas must remain in full operation during the work.
- 1). Project Record Documents: Accurately record actual locations of capped and active utilities and subsurface construction.
- Schedule of demolition activities to be updated at each scheduled construction meeting.
 - Indicate detailed sequence of demolition and removal work with starting and ending dates for each activity.
 - 2. Indicate any interruption of services.
 - 3. Indicate locations of temporary protection from the work and means of egress from the building.

1.4 QUALITY ASSURANCE

A. Codes and Regulations: Comply with governing codes and regulations. Use experienced workers. Maintain watertight integrity as needed to protect construction to remain from structural and environmental damage.

1.5 PROJECT CONDITIONS

A. Building will remain fully occupied during work of this project. Isolate work areas to limit dust, dirt, noise, and debris from impacting occupied space. Contractor is responsible for site control and dust control in accordance with Section 01 50 00 Temporary Facilities and Controls.

B. Protection of Existing Improvements: Provide, erect and maintain barricades, coverings, or other types of protection necessary to prevent damage to existing improvements. Restore any site improvements, including but not limited to landscaping, pavement, walks, structures, fences and planters, damaged by this work to their original condition, as acceptable to Owner and in accordance with Section 01 50 00 Temporary Facilities and Controls.

PART 2 PRODUCTS

NOT USED

PART 3 EXECUTION

3.1 SCOPE

- A. Restore landscaping to 'like-new' condition at areas disturbed by construction.
- H. Do not damage building elements and improvements indicated to remain.
- C. Occupied Spaces: The site and buildings will remain occupied during the work of this project. Do not close or obstruct streets, walks, drives or other occupied or used spaces or facilities without the written permission of the Owner and the authorities having jurisdiction. Do not interrupt utilities serving occupied or used facilities without the written permission of the Owner; Owner requires minimum seven (7) days' notice. Email notice to Owner's Project Manager is acceptable as official "written notice".

3.2 GENERAL PROCEDURES AND PROJECT CONDITIONS

- Comply with applicable codes and regulations for demolition operations and safety of adjacent structures and the public.
 - 1. Refer to Section 01 74 19 Construction Waste Management and Disposal for additional requirements.
 - 2. Obtain required permits.
 - 3. Comply with applicable requirements of NFPA 241.
 - 4. Take precautions to prevent catastrophic or uncontrolled collapse of structures to be removed; do not allow worker or public access within range of potential collapse of unstable structures.
 - 5. Provide, erect and maintain temporary barriers and security devices.
 - 6. Use physical barriers to prevent access to areas that could be hazardous to workers or the public.
 - Conduct operations to minimize effects on and interference with adjacent structures and occupants.
 - N. Do not close or obstruct roadways or sidewalks without permit.
 - 9. Conduct operations to minimize obstruction of public and private entrances and exits; do not obstruct required exits at any time; protect persons using entrances and exits from removal operations.
 - 10. Obtain written permission from owners of adjacent properties when demolition equipment will traverse, infringe upon or limit access to their property.
- B. Do not begin removal until receipt of notification to proceed from Owner.
- C. Protect existing structures and other elements that are not to be removed.
 - 1. Prevent movement of structure; provide shoring and bracing necessary to execution of work.
 - 2. Stop work immediately if adjacent structures appear to be in danger.
 - 3. Perform cutting to accomplish removals as specified in Section 01 73 29 Cutting and Patching.
 - 4. Repair adjacent construction and finishes damaged during removal work to like new condition as specified in Section 01 73 29 Cutting and Patching.
- 1). Minimize production of dust due to demolition operations; do not use water if that will result in ice, flooding, sedimentation of public waterways or storm sewers, or other pollution.
- F. If hazardous materials are discovered during removal operations, stop work and notify the Owner; hazardous materials include regulated asbestos containing materials, lead, PCB's, and mercury.

F. Perform demolition in a manner that maximizes salvage and recycling of materials.

3.3 TEMPORARY PROTECTION

A. Existing building envelope shall remain watertight at all times throughout the construction process.

3.4 EXISTING UTILITIES

- A. Coordinate work with utility companies; notify before starting work and comply with their requirements; obtain required permits.
- H. Protect existing utilities to remain from damage.
- C. Do not disrupt public utilities without permit from authority having jurisdiction.
- Do not close, shut off, or disrupt existing life safety systems that are in use without at least seven (7) days' prior written notification to Owner.
- F. Do not close, shut off, or disrupt existing utility branches or take-offs that are in use without at least seven (7) days' prior written notification to Owner.
- F. Locate and mark utilities to remain; mark using highly visible tags or flags, with identification of utility type; protect from damage due to subsequent construction, using substantial barricades if necessary.
- Remove exposed piping, valves, meters, equipment, supports and foundations of disconnected and abandoned utilities.

3.5 SELECTIVE DEMOLITION FOR ALTERATIONS

- Drawings showing existing construction and utilities are based on casual field observation and existing record documents only.
 - 1. Verify that construction and utility arrangements are as shown.
 - 2. Report discrepancies to Owner before disturbing existing installation.
 - 3. Beginning of demolition work constitutes acceptance of existing conditions.
- B. Separate areas in which demolition is being conducted from other areas that are still occupied
 - Provide, erect and maintain temporary dustproof partitions of construction indicated on drawings in locations of work.
- (Maintain weatherproof exterior building enclosure except for interruptions required for replacement or modifications; take care to prevent water and humidity damage.
- 1). Remove existing work as indicated and as required to accomplish new work.
 - 1. Remove items indicated on drawings.
 - Remove rotted wood, corroded metals, and deteriorated masonry and concrete; replace with new construction specified.
- F.. Services (including but not limited to Plumbing): Remove existing systems and equipment as indicated.
 - 1. Maintain existing active systems that are to remain in operation; maintain access to equipment and operational components.
 - 2. Where existing active systems serve occupied facilities but are to be replaced with new services, maintain existing systems in service until new systems are complete and ready for service.
 - Verify that abandoned services serve only abandoned facilities before removal.
 - 4. Remove abandoned pipe, ducts, conduits and equipment, including those above accessible ceilings; remove back to source of supply where possible, otherwise cap stub and tag with identification.

3.6 DEBRIS AND WASTE REMOVAL

- A. Remove debris, junk and trash from site. Do not allow demolished materials to accumulate on site. See Division 01 Project Administration for compliance with Waste Management requirements and procedures.
- Remove from site all materials not to be reused on site.
- Leave site in clean condition, ready for subsequent work.
- 1). Clean up spillage and wind-blown debris from public and private lands.

3.7 SCHEDULE

- A. Items for Protection during Demolition and Construction:
 - 1. Common spaces and exterior walkways, including entryways.
 - 2. Adjacent construction.

END OF SECTION

PART 1 GENERAL

1.1 SUMMARY

- A. Section includes miscellaneous masonry work incidental to the work of this contract including but not limited to:
 - 1. Clay masonry units where existing are damaged during construction,
 - 2. Mortar for flashing inserted at existing masonry.

1.2 REFERENCES

- A. American Concrete Institute (ACI):
 - 1. ACI 530 Building Code Requirements for Masonry Structures.
 - 2. ACI 530.1 Specifications for Masonry Structures.
- B. ASTM International (ASTM):
 - 1. ASTM A82 Standard Specification for Steel Wire, Plain, for Concrete Reinforcement.
 - 2. ASTM C33 Standard Specification for Concrete Aggregates.
 - 3. ASTM C67 Method of Sampling and Testing Brick and Structural Clay Tile.
 - 4. ASTM C90 Standard Specification for Load Bearing Concrete Masonry Unit.

1.3 SUBMITTALS

- A. Product Data: Submit manufacturer's product data and installation instructions for each material and product used.
- B. Samples: Submit two representative samples of each material specified indicating visual characteristics and finish. Include range samples if variation of finish is anticipated.

1.4 QUALITY ASSURANCE

- A. Fire Performance for Fire-Rated Brick and Concrete Block Assemblies: ASTM E 119.
- B. Testing: Independent Testing Laboratory.
- C. Comply with governing codes and regulations. Provide products of acceptable manufacturers which have been in satisfactory use in similar service for three years. Use experienced installers. Deliver, handle, and store materials in accordance with manufacturer's instructions.

PART 2 PRODUCTS

2.1 MATERIALS

- A. Clay Masonry Units:
 - 1. Manufacturers: Basalite Concrete Products LLC; Belden Brick; Endicott Clay Products; Glen-Gery Corp.; Richtex; or approved equal.
 - 2. Application: Repair of existing masonry construction.
 - 3. Size: Match existing.
 - 4. Grade: ASTM C 216, Grade MW, moderate weathering type.
 - 5. Type: Match existing.
 - 6. Bond Pattern: Match existing.

B. Mortar and Grout for Brick Assemblies:

- 1. Mortar Mix: ASTM C 270, Type N, for above-grade loadbearing and nonloadbearing walls and parapet walls and for interior loadbearing and nonloadbearing partitions.
- 2. Mortar Materials: Portland cement, ASTM C 150, Type I or II.
- 3. Mortar Materials: Masonry cement, ASTM C 91.

- 4. Mortar Materials: Ready mixed, ASTM C 207, Type S.
- 5. Mortar Aggregate: Match existing, ASTM C 144.
- 6. Grout Aggregate: ASTM C 404.
- 7. Hydrated Lime: ASTM C 207, Type S.
- 8. Color: Match existing color.

C. Reinforcing Steel:

- 1. Reinforcing Bars: ASTM A 615, Grade 60.
- 2. Deformed Reinforcing Wire: ASTM A 496.
- 3. Welded Wire Fabric: ASTM A 185, plain.
- 4. Welded Wire Fabric: ASTM A 497, deformed.

D. Ties and Anchors:

- 1. Bent Wire Ties: Galvanized steel.
- 2. Rigid Anchors: Galvanized steel straps.
- 3. Masonry to Concrete Frame: Two-piece galvanized steel anchor.
- 4. Masonry to Steel Frame: Anchor with crimped wire anchor section for welding to steel.
- Adjustable Masonry Veneer Anchors: Screw-attached two-piece galvanized triangular or rectangular wire tie and metal anchor.
- 6. Screws for Steel Studs: ASTM C 954 stainless steel.
- 7. Unit Type Masonry Inserts in Concrete: Malleable iron.
- 8. Dovetail Slots: Galvanized sheet metal.
- 9. Anchor Bolts: ASTM A 307, Grade A, galvanized.
- 10. Post-installed Anchors: Chemical or expansion anchors.

E. Masonry Accessories:

- 1. Cavity Drainage Material.
- 2. Flashing: Rubberized-Asphalt or EPDM Flashing with stainless steel drip edge.
- 3. Loose-Granular Fill Insulation.
- 4. Nonmetallic expansion joint strips.
- 5. Preformed control joint gaskets.
- 6. Bond breaker strips.
- 7. Weeps: Open head-joint.
- 8. Cavity vents.

PART 3 EXECUTION

3.1 INSTALLATION

A. Installation of Masonry Assemblies:

- Comply with PCA Recommended Practices for Laying Concrete Block, Brick Institute of America BIA Tech Notes, and NCMA TEK Bulletins.
- 2. Comply with cold weather and warm weather protection procedures as recommended in BIA Tech Notes.
- 3. Provide fire-rated assemblies complying with ASTM E 119.
- 4. Saw cut units when required. Maintain uniform joint width. Provide full bed, head and collar joints except at weepholes.
- 5. Install lintels and accessories in masonry construction.
- 6. Coordinate installation of flashings.
- 7. Comply with applicable codes and regulations for spacing of ties and horizontal reinforcing.
- 8. Provide expansion and control joints in accordance with BIA and NCMA recommendations.
- 9. Remove and replace damaged units.
- 10. Clean brick using bucket and brush method, BIA Tech Note 20.
- 11. Clean concrete masonry by dry brushing, NCMA TEK No. 28.

PART 1 - GENERAL

1.1 SUMMARY

A. Section includes shop-applied coatings including but not limited to metal bar grate cover.

1.2 REFERENCES

A. ASTM International:

- 1. ASTM B 117 Practice for Operating Salt Spray (Fog) Apparatus.
- 2. ASTM D 1654 Standard Test Method for Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments.
- ASTM D 2244 Test Method for Calculation of Color Differences from Instrumentally Measured Color Coordinates.
- 4. ASTM D 2247 Standard Practice for Testing Water Resistance of Coatings in 100% Relative Humidity.
- 5. ASTM D 3363 Standard Test Method for Film Hardness by Pencil Test.
- 6. ASTM D 4214 Test Methods for Evaluating Degree of Chalking of Exterior Paint Films.

1.3 SUBMITTALS

- A. Submit under provisions of Section 013300.
- H. Product Data: Provide in the same order as scheduled by this Section:
 - 1. Manufacturer's Product Data Sheets for each product.
 - a. Performance features, substrate recommendations, primer recommendations (where required), and product limitations.
 - b. Recommended dry film thickness.
 - c. Solids by weight.
 - d. Solids by volume.
 - 2. Product/Label Analysis for paint products:
 - a. Pigment and vehicle percentages by weight.
 - b. Pigment composition analysis, including fillers in percent by weight.
 - c. Vehicle Composition analysis, including resins, solvents, and additives by weight.
 - 3. Material Data Safety Sheets (MSDS):
 - a. Solvents, driers, additives, and fillers.
 - b. Volatile organic compounds (VOC) emissions in grams per liter.
 - 4. Finish Coat System Product Testing Results:
 - a. Abrasion resistance.
 - b. Color retention.
 - c. Gloss retention.
 - d. Salt Fog Spray Test.
- C. Samples for Selection: For each color, gloss specified.
- 1). Samples for Verification: For each coating product, for each color, gloss, and texture specified, on specified substrate.
- E. Product test reports.
- F. Qualifications: For shop-applied coatings Applicator.
- (i. Maintenance data.
- H. Warranty: Sample of special warranty.

1.4 QUALITY ASSURANCE

- A. Manufacturer: Employ locally available technical field representative, testing equipment, and services as necessary to perform inspections, observations, and to determine compliance with manufacturer's instructions and provisions of Contract Documents.
- **B.** Applicator Qualifications:
 - 1. Company with employees specializing in work of this Section.
 - 2. Able to list minimum 3 projects within last 3 years documented experience showing satisfactory performance for projects of equivalent size and scope.
 - 3. Employ qualified journeymen painters, apprentices under direction of qualified journeymen, in accordance with trade regulations.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Conform to provisions of Section 016000.
- B. Deliver, unload, and store shop-coated items so that they remain free of damage or deformation. Package and protect items during shipping and handling. Protect stored items from water; stack to facilitate drainage. Keep shop-coated items out of contact with materials that may adversely affect the coating.
- (Protect shop-coated items with protective covering until installed.

1.6 WARRANTY

- A. Conform to Warranty provisions specified Section 017700.
- B. Coating Warranty: Coating Applicator's warranty in which Applicator agrees to repair finish or replace coated items that demonstrate deterioration of shop-applied finishes within warranty period indicated.
 - 1. Exposed Coating: Deterioration includes but is not limited to:
 - a. Color fading in excess of 5 Delta E Hunter units per ASTM D 2244.
 - b. Peeling, checking, or cracking of coating adhesion to metal.
 - c. Chalking in excess of a No. 8 per ASTM D 4214, when tested per Method D 659.
 - d. Corrosion of substrate in excess of a No. 6 on cut edges and a No. 8 on field surfaces, when measured per ASTM D 1654.
 - 2. Warranty Period: 15 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Basis-of-Design Product: Provide shop-applied coatings manufactured by PPG Industries, Inc., Pittsburgh, PA, (888) 774-4332, Email: ideascapes@ppg.com; Website: www.ppgideascapes.com
 - 1. PPG Ultradurable formulation.
 - 2. PPG Ultradurable clear coat.
- B. Substitution Requests: Conform to provisions of Section 016000 for products specified by this Section.

2.2 REGULATORY REQUIREMENTS

- A. Conform to requirements of Section 014000.
- B. Shop Applied Coatings: Conform to State of Washington and US Environmental Protection Agency for maximum volatile organic compound (VOC) emissions.

2.3 SHOP GALVANIZED FINISHES

Λ. Where galvanized finishes are specified, conform to the following:

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- 1. Galvanized Sheet Steel: As specified by applicable Sections. Where galvanized sheet steel is shown on Drawings but not otherwise specified, conform to ASTM A653 G90 at exterior exposed steel, G60 for exterior steel protected from weather, and G40 for interior steel.
- 2. Bolts and Threaded Fasteners: Hot-dip galvanize, ASTM A153, Class C or D.
- 3. Touch-up for damaged galvanized surfaces to remain unfinished: 95 percent zinc cold galvanizing compound.
 - a. ZRC Worldwide, Galvilite. http://www.zrcworldwide.com
 - b. ITW Devcon, Devcon Z. http://www.devcon.com
 - c. ITW LPS Cold Galvanize Corrosion Inhibitor.

2.4 SHOP-APPLIED COATINGS SCHEDULE

- A. Powder-Coat Finish for Steel Items fabricated from Shapes and Plates: fluoropolymer finish: AAMA 2605. Basis of design: PPG Industries, Inc., Ultradurable Powder Coating
 - 1. Coated Items: Metal bar grate covers.
 - Color: Black
 Gloss: Medium
 Surface: Fine Texture

2.5 SOURCE QUALITY CONTROL

A. Shop Prepared steel to receive finish coatings under Work of Other Sections: Free of drips and other imperfections causing telegraphing through finished coating.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Verify conditions ready to receive work of this Section.

3.2 PREPARATION

A. Prepare surfaces as recommended by manufacturer, as specified by this section and Section 055000.

3.3 APPLICATION

- A. Apply in accordance with manufacturer's instructions and provisions of Contract Documents.
- H. Touch up damaged surfaces prior to special coating application as specified by this Section and Section 055000.
- (). Apply number of coats to achieve specified dry film thickness (DFT) and as instructed by manufacturer.
- 1). Apply under-coatings in 3/4 tone color difference from final coat.
- E. Apply additional coats as required for full coverage with no holidays, color variations, or other surface imperfections.

3.4 PATCHING

A. At completion of work, repair surfaces damaged by other trades and requiring touch-up or refinishing by recoating entire surface, as necessary for uniform appearance.

3.5 FIELD QUALITY CONTROL

- A. Environmental Conditions: During coating application, make hourly record, maintain record in job shack, and submit upon request by Owner.
- H. Film Thickness Tests:
 - 1. Verify mil thickness with wet film gauge, in selected locations.
 - 2. Test surfaces with Tooke or approved dry film gauge, for total dry film thicknesses.

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3.6 CLEANING

- A. As Work proceeds, promptly remove spilled, splashed, or splattered products so as not to damage surfaces. Keep premises free from unnecessary accumulation of tools, equipment, surplus materials, and debris.
- B. At conclusion of Project, thoroughly clean paint and splatters from surfaces including adjacent surfaces.
 - 1. Take care not to scratch or otherwise damage surfaces.
 - 2. Verify chemical compatibility of cleaners to be applied to materials to be cleaned.
- (Leave premises neat and clean; free from debris and residue from work of this Section.

END OF SECTION

PART 1 GENERAL

1.1 SUMMARY

- A. Section includes the fabrication, preparation, and priming of manufactured and shop fabricated metal items including but not limited to:
 - 1. Metal lintel,
 - 2. Metal window well,
 - 3. Metal bar grate cover,
 - 4. Rough Hardware.

1.2 REFERENCES

Λ.

ASTM International:		
1. ASTM A36	_	Standard Specification for Carbon Structural Steel.
2. ASTM A53		Standard Specification for Pipe, Steel, Black and Hot-Dipped,
		Zinc-Coated Welded and Seamless.
3. ASTM A153		Standard Specification for Zinc Coating (Hot-Dip) on Iron and
		Steel Hardware.
4. ASTM A276		Standard Specification for Stainless Steel Bars and Shapes.
ASTM A307		Standard Specification for Carbon Steel Externally threaded
		Standard Fasteners.
6. ASTM A500		Standard Specifications for Cold-Formed Welded and
		Seamless Carbon Steel Structural Tubing in Rounds and
		Shapes.
7. ASTM A501		Standard Specification for Hot-Formed Welded and Seamless
		Carbon Steel Structural Tubing.
N. ASTM F880	_	Standard Specification for Stainless Steel Socket, Square
		Head, and Slotted Headless-Set Screws.
9 . ASTM C827	_	Test Method for Early Volume Change of Cementitious
		Mixtures.

- H. Master Painters Institute (MPI): Web Site http://www.paintinfo.com
- (`. National Ornamental & Miscellaneous Metal Association (NOMMA) (Web Site http://www.nomma.org : NOMMA Guideline 1: Joint Finishes.
- 1). The Society for Protective Coatings (SSPC) Volume 1, Good Painting Practice, and Volume 2, Systems and Specifications.
 - 1. SSPC-SP-1: Surface Preparation Specification No.1, Solvent Cleaning.
 - 2. SSPC-SP-2: Surface Preparation Specification No. 2, Hand Tool Cleaning.
 - 3. SSPC-SP-3: Surface Preparation Specification No. 3, Power Tool Cleaning
 - 4. SSPC-SP-6: Surface Preparation Specification No. 6, Commercial Blast Cleaning

1.3 ADMINISTRATIVE REQUIREMENTS

A. Coordinate requirements for primed and unprimed steel conforming to provisions of this Section and provisions required by applicable treatments and coating systems.

1.4 SUBMITTALS

- A. Product Data: Include manufacturer's publications, specifications, instructions, performance criteria, and limitations. Show conformance with specifications.
- B. Shop Drawings: Indicate profiles, sizes, connection attachments, reinforcing, anchorage, size and type of fasteners, and accessories. Include metal post placement plan; where posts are not centered on stall, indicate in writing proximity to adjacent walls, guardrails, striping, and equipment.

1.5 QUALITY ASSURANCE

- A. Fabricator Qualifications: Company specializing in work of this Section with minimum 5 years documented experience.
- H. Welders Qualifications: AWS qualified, WABO Certified within last 12.

PART 2 PRODUCTS

2.1 REGULATORY REQUIREMENTS

- A. Welding: Conform to AWS standards and IBC Section 1704.
- B. Shop Applied Coatings: Conform to State of Washington and US Environmental Protection Agency for maximum volatile organic compound (VOC) emissions.

2.2 MANUFACTURERS

- A. Metal Window Well
 - 1. Basis-of Design: St Paul Corrugating Lux Right Steel Square Egress Window Well
 - a. Dimensions: 42" W x x 36" Projection, height varies
 - b. 18-gauge, corrugated, galvanized steel
 - c. G-90 zinc plating
 - d. Roll-formed double bead at top and bottom edges
 - e. Pre-punched flanges at 12" on center
 - 2. Or Approved Equal

2.3 MATERIALS

- A. Steel Plate, Shapes, and Bars: ASTM A36
- H. Tubular Steel: Cold formed hollow structural steel (HSS), ASTM A500 Grade B or hot formed ASTM A501, electric resistance welded (ERW), seamless.
- C. Steel Pipe: ASTM A53, Type S, Grade B, standard weight, Schedule 40 seamless.
- 1). Stainless steel bolts, washers, and screws: ASTM F593.
- E. Welding Electrodes: Conform to AWS Standards.
- F. Miscellaneous Metal: Provide rolled shapes, bars, sheets, strips, accessories, and as detailed or required for complete installations.
- (i. Tolerances: Fabrication tolerance 1/8 inch in 10 feet; erection tolerance, 1/16 inch.

2.4 MISCELLANEOUS FABRICATIONS

- A. Provide welded assemblies of standard shapes and plate for supports, support angles and brackets, closures, frames, and as required to complete the Work.
- B. Form steel to accurate sizes and shapes, with clean lines and angles.
- Bolt, drill, tap, punch, and shear to leave clean surfaces.
- 1). Joints and Fastenings:
 - 1. Detail for ample strength and stiffness.
 - 2. Where exposed to weather, form to exclude water.
- E. Drill, tap, punch, and shear to leave clean surfaces.
- F. Do not use screws or bolts, where avoidable. When used, countersink heads and draw up tight.
- (i. Provide holes and connections for work of other trades.
- Custom steel in conformance with ASTM 500 & 501. Sizes as indicated on Drawings. Painted finish per Section 050513 Shop Applied Coatings.

- Fastenings, connectors, flanges, and accessories as specified, detailed or required for complete installation.
- J. End Caps and Cover Caps: As detailed and to suit conditions of installation.
- K. Connectors: Stainless Steel, types as detailed and to suit conditions of installation.
- 1. Other components to be as manufactured or fabricated to suit installation.

2.5 SURFACE PREPARATION FOR SHOP PRIMED STEEL

- A. Surface Preparation for Ungalvanized Ferrous Metal to be Shop Primed.
- H. Exterior: Conform to SSPC-SP-6, Commercial Blast Cleaning.
- Dimension as indicated on Drawings.
- 1). Paint in accordance with Section 050513 Shop Applied Coatings.

2.6 SHOP PRIMERS FOR PAINT COATED STEEL

- A. Shop Primer for Exterior, Ungalvanized Ferrous Metal Receiving Finish Coating Systems:
 - 1. Single component, moisture cured, micaceous iron oxide and zinc filled polyurethane (MIO-Zinc) on all surfaces.
 - 2. Color: Green/Gray.
 - 3. Tnemec Co, Series 394, PerimePrime.
 - 4. Wasser, MC Miozinc 100.
- H. Where not specified, assume Tnemec, Uni-Bond, Self-Crosslinking Acrylic, Corrosion-Resistant Primer Series 115 and verify with Owner.

2.7 SOURCE QUALITY CONTROL

A. Shop Primed Metal to Receive Finish Coatings under Work Other Sections: Free of drips and other imperfections causing telegraphing through finished coating.

PART 3 EXECUTION

3.1 EXAMINATION

A. Verify installation conditions ready to receive work of this Section.

3.2 INSTALLATION

- A. Conform to manufacturer's instructions and provisions of Contract Documents.
- Rotect against galvanic action from uncoated dissimilar metals. Except as otherwise indicated, embed (uncoated portion) of steel items into concrete and steel inserts with specified non-shrink grout.
- (i. Install fasteners accurately and securely to detail.
- 1). Fabricated Items: Install plumb and true to line. Anchor securely in place.
- F.. Miscellaneous: Install metal items and fabrications as required to fully complete the Work whether specifically indicated or not.

3.3 TOUCH-UP AFTER INSTALLATION

- A. After installation and erection, remove weld spatter, grind smooth, and clean steel of oil and grease in accordance with SSPC-SP-1. Clean abraded, bolted, and welded areas.
- B. Damaged Shop Primed Ferrous Metal Surfaces: Reapply specified shop primer to make free of scratches and stains.

3.4 CLEAN UP

A. Leave premises clean and free from residue of Work of this Section ready for coating.

END OF SECTION

PART 1 GENERAL

1.1 SUMMARY

- A. Section includes miscellaneous rough carpentry including but not limited to;
 - 1. Headers at crawlspace openings,
 - 2. Pressure treated bucks and blocking at access panel,
 - 3. Other miscellaneous rough carpentry incidental to the work of this contract.
 - 4. Hardware, fasteners and other accessories.

1.2 REFERENCES

- A. Western Wood Product Association (WWPA): Western Lumber Product Use Manual Standard Grading Rules for Western Lumber.
- H. West Coast Lumber Inspection Bureau (WCLIB): No. 16 Standard Grading and Dressing Rules for West Coast Lumber.
- (. APA The Engineered Wood Products Association (APA and APA EWS).
- 1). APA Product Guide Grades and Specifications.
- E. APA Product Guide Performance Rated Panels.
- F. APA PS 1 Construction and Industrial Plywood (With Typical APA Trademarks).
- (i. APA PS 2 Performance Standard for Wood-Based Structural-Use Panels.
- H. ASTM A123 Specifications for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products.
- I. ASTM A153 Specifications for Zinc Coating (Hot-Dip) on Iron and Steel Hardware.
- J. ASTM D1761 Standard Test Methods for Mechanical Fasteners in Wood.
- K. ASTM D5456 Specification for Evaluation of Structural Composite Lumber Products.
- L. ASTM F1667 Specifications for Driven Fasteners: Nails, Spikes, and Staples.

1.3 COORDINATION

- A. Coordinate rough carpentry with other Sections to make Work fit together.
- H. Coordinate with other Sections for backing support of wall-supported items at stud-framed walls.

1.4 QUALITY ASSURANCE

- A. Evidence of Grade: Conform to IBC 2303 and DOC PS 20.
 - 1. Rough Lumber: Stamp each piece of lumber and plywood with grademark and trademark of Association having jurisdiction.
 - 2. In Lieu of Grade Stamping Exposed to View Lumber: Certify by manufacturer that products meet or exceed specified requirements.

1.5 DELIVERY, STORAGE, AND HANDLING

A. Store wood materials minimum six (6") inches above ground on framework or blocking away from dirt and moisture, well ventilated and covered with waterproof covering.

PART 2 PRODUCTS

2.1 LUMBER MATERIALS

A. Framing Lumber:

- 1. Species: Douglas Fir-Larch, or Hem-Fir.
- 2. Grade: WWPA No.1 and better.
- 3. Moisture Content: S-DRY, 15 percent maximum moisture content.

2.2 FASTENERS

- A. Fastener Types, Sizes, Spacing, and Quantities: Provide fasteners and connectors including nails, spikes, screws, clips, bolts and anchors required for installation of carpentry and millwork, conforming to IBC Table 2304.9.1, and APA recommendations.
- H. Nails, Spikes, Staples and Other Driven Fasteners: Conform to ASTM F1667 and IBC Section 2303.6.
- C. Bolts and Lag Bolts: Provide steel plate washers.

2.3 ACCESSORIES

- A. Construction Adhesives: Solvent based, conforming to APA Specification AFG-01.
- B. Pressure Treated Items: Preservative pressure treat wood members contacting concrete, masonry and at exterior conditions. Treat cut ends per manufacturer's recommendations.

PART 3 EXECUTION

3.1 EXAMINATION

A. Verify conditions ready to receive work of this Section before beginning.

3.2 FRAMING

- A. Field coordinate and layout work prior to beginning installation. Cut, fit and install rough carpentry construction at locations indicated in drawings and as required to complete work of contract. Set materials level, plumb, aligned and in correct position in accordance with best practices of trade.
- H. Temporary Bracing: Make provisions for erection loads, sufficient to maintain structure safe, plumb and in true alignment until completion of erection and installation of permanent bracing and framing.
- (. Blocking: Install at locations required by work of this contract and as indicated in drawings.

3.3 FASTENERS

- A. Provide nails, spikes, screws and bolts as necessary for secure and rigid permanent connections. Conform to IBC Table 2304.9.1-Fastening Schedule, APA recommendations, and provisions of Contract Documents.
- H. Drive nails perpendicular to grain of wood in lieu of toe-nailing, where feasible.

3.4 FIELD QUALITY CONTROL

A. Conform to testing requirements in the General Requirements and Owner requirements for testing moisture content of lumber and for shear wall nailing.

END OF SECTION

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes miscellaneous exterior flashing including but not limited to:
 - 1 Flashings, counter-flashings, drip edges and the like as required for a weather-tight installation.
 - 2 Miscellaneous flexible flashing and sealants as required for weather-tight performance.

1.2 REFERENCES

- A. Applicable provisions of the following standards shall apply to the work of this Section, except as modified herein, and are hereby made a part of these Contract Specifications to the extent required:
 - 1. ASTM A240-05 Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications
 - 2. ASTM B32-04 Standard Specification for Solder Metal
 - 3. ASTM B370-03 Standard Specification for Copper Sheet and Strip for Building Construction
 - 4. FS SS-C-153C Cement, Bituminous, Plastic
 - 5. SMACNA Architectural Sheet Metal Manual, 6th edition 2003

1..3 SUBMITTALS

- Λ. Submit the following:
 - 1. Product Data: Manufacturers' product data for each product supplied.
 - 2. Shop Drawings: Describe general construction, configurations, material profile, jointing pattern, jointing details, fastening methods and installation details.

1.4 QUALITY ASSURANCE

- A. Able to document minimum ten (10) years continuous experience in commercial quality projects of similar type and scope.
- **B.** Employ qualified journeymen painters with apprentices under direction of qualified journeymen, conforming to trade regulations.
- C. Testing:
 - 1. Demonstrate for the Owner, by hose or standing water, that the scuppers are completely watertight.
 - 2. Prove flow in conductors.

1.5 DELIVERY, STORAGE AND HANDLING

- A. Comply with the following:
 - 1. Acceptance at site:
 - a. Verify undamaged condition.
 - b. Protect from damage at all times.
 - c. Stack preformed material to prevent twisting, bending or abrasion, and to provide ventilation. Slope metal sheets to ensure drainage.

1.6 WARRANTY

- Λ. Comply with the following:
 - 1. Contractor Warranty: Warranty work of this Section to be waterproof and weather-tight against ordinary wear and usage for two (2) years from date of Substantial Completion, including material and labor. This is an extension of the standard one (1)-year warranty.

PART 2 - PRODUCTS

2.1 GENERAL

- A. Sheet metal not otherwise called for to be minimum gauges per SMACNA for comparable construction. Use heavier gauges where required by conditions of installation.
- B. Materials shall be best quality, thickness not less than that noted below.

2.2 MATERIALS

A. Flashing Material: Fabricate from prefinished G90 galvanized sheet steel, 24 gauge, Kynar 500 (PVDF) finish. Color: As selected by Owner from manufacturer's standard colors.

B. Fastenings:

- 1. Fasteners: Nails shall be hot-dip galvanized or stainless steel. Bolts, and nuts, power driven fasteners, screws, washers, etc., shall be hot-dip galvanized or stainless steel. Screws shall be a high-dome, neoprene gasketed, hex head type, or incorporate a washer with a laminated neoprene gasket. Masonry anchors shall be ½" shank and long enough to penetrate 1" into concrete or masonry (install neoprene gaskets where exposed to weather).
- Silicone rubber washers.
- (). Miscellaneous Materials: Provide other incidental and accessory materials, methods, tools and equipment. Include materials of sheet metal, flashing and trim required.
- 1). Electrolytic Protection to separate dissimilar materials: Cold-applied asphalt-mastic complying with SSPC-Paint 12 requirements, containing no asbestos, formulated for 30-mil thickness per coat.
- F. Solder (as applicable): Conform to ASTM B32, commercial quality, type suited to material to be soldered.
- F. Sealant: Specified 07 92 00.
- (i. Stainless Steel Sheet: Scuppers, saddle flashings, sill pans, and other fabrications requiring soldered joints.
 - ASTM A240 or ASTM A666, Type 304, soft temper ("dead soft") unless required to be harder for proper forging and performance in application indicated; fully annealed; smooth surface; 2D finish, unless otherwise indicated.
 - 2. Exterior Exposed Stainless Steel: 2B mill finish.

2.2 FABRICATION

- A. Conform to SMACNA and as detailed. Conform to following general requirements:
 - 1. Form sections true to shape, accurate in size, square and free from distortion or defects.
 - Fabricate cleats and starter strips same material as sheet, in widths required by SMACNA, interlockable with sheet.
 - 3. Form pieces in longest practical lengths.
 - 4. Provide expansion joints at minimum forty (40') foot intervals or as required by SMACNA or as indicated.
 - 5. Hem exposed edges on underside one-half (1/2") inch; fabricate separate corner pieces.
 - 6. Form material with cover plate type seam; butt adjacent sections to within one-eighth (1/8") inch, set cover plate under seam, minimum length of plate twelve (12") inches unless noted otherwise.
 - 7. Fabricate vertical faces with bottom edge formed outward one-quarter (1/4") inch and hemmed to form drip.
 - X. Cap neat ends as required or indicated.
- B. Solder and seal metal joints.
 - 1. After soldering, remove flux.
 - 2. Wipe and wash solder joints clean.

C. Flashing:

- 1. As detailed and in accordance with SMACNA. Self-supporting flashing 24 gage minimum.
- 2. Provide folded end dams at ends of horizontal flashing.
- 3. Seal laps. Provide a minimum six (6") inch end lap and seal with two (2) continuous beads of approved sealant.
- 1). Accessories: Furnish and install as indicated required by conditions of installation. Items of same materials as items to which applied.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Verify installation conditions as satisfactory to receive work of this Section. Do not install until unsatisfactory conditions are corrected. Beginning work constitutes acceptance of conditions as satisfactory.

3.2 INSTALLATION

- A. Follow manufacturer's directions and SMACNA.
- H. Execute by skilled mechanics according to best methods known to trade.
- (Line, moldings and edges to be sharp and true. Reinforce as required for stiffness.
- 1). Allow for expansion and contraction.
- E. Neatly form and finish joints and seams:
 - 1. Surfaces to be free from waves and buckles.
 - 2. Laps, where allowed, six (6") inches weather-wise or flow-wise minimum.
- F. Corners shop formed and soldered, extending at least one (1') foot each side of corner.
- (i. Use concealed fastenings wherever possible.
- H. Make Exterior Work Weather-tight: Follow standard SMACNA construction manual, whether item indicated or not. Block ends of flashings at openings to prevent water migration.
- 1. Sealant: Install where indicated and where required to make weather-tight.
- Electrolytic Protection: Where materials dissimilar in galvanic range contact, paint contacting surfaces two coats specified bituminous paint.
- K. General flashings, counter-flashings and the like: Install to detail in accordance with SMACNA, and as required for watertight installations.
- L. Sweat Soldering:
 - 1. Pre-tin edges of sheet metal to a width of 1-1/2" inches before beginning soldering.
 - 2. Fully sweat solder joints to a minimum depth of ½" by applying heat from iron to upper sheet, drawing solder fully into joint below.

END OF SECTION

PART 1 GENERAL

1.1 SUMMARY

- A. Work includes but is not limited to general sealant work required for weather-tight installation of work of this Contract at locations including but not limited to:
 - 1. Access panels,
 - 2. Masonry wall reglet,
 - 3. Laps between flashing.

1.2 REFERENCES

- A. Applicable provisions of the following standards shall apply to the work of this Section, except as modified herein, and are hereby made a part of these Contract Specifications to the extent required:
 - ASTM C661 Test Method for Indentation hardness of Elastomeric –Type Sealants by Means of a Durometer
 - 2. ASTM C719 Standard Test Method for Adhesion and Cohesion of Elastomeric Joint Sealants Under Cyclic Movement (Hockman Cycle)
 - 3. ASTM C834 Specification for Latex Sealing Compounds
 - 4. ASTM C920 Specification for Elastomeric Joint Sealants
 - 5. ASTM C1193 Standard Guide for Use of Joint Sealants
 - ASTM C1330 Standard Specification for Cylindrical Sealant Backing for Use with Cold Liquid Applied Sealants
 - 7. ASTM D2240 Standard Test Method for Rubber Property—Durometer Hardness
 - 8. ASTM D5893 Standard Specification for Cold Applied, Single Component, Chemically Curing Silicone Joint Sealant for Portland Cement Pavements
- B. Sealant, Waterproofing & Restoration Institute (SWRI), Tel. (816) 472-7979. Fax: (816) 472-7765, Email info@swrionline.org / Web Site http://www.swrionline.org

1.3 SYSTEM DESCRIPTION

A. Definition:

- 1. Sealant systems installed with pressure gun.
- 2. Include sealing of vertical and horizontal joints as required to make air and water tight.
- 3. Regardless of terminology used on Drawings, where "caulking" or "sealant" called for, use specified sealant continuously, entire area and assembly.

1.4 SUBMITTALS

- A. Submit in accordance with the following:
 - 1. Product Data:
 - a. Indicate sealant chemical characteristics, performance criteria, limitations and color availability.
 - b. Include printed statement of VOC content for applicable products.
 - 2. Samples: Color and type of sealant proposed on work. Obtain acceptance from Owner before proceeding.
 - a. Include sealant installation in mock-ups.
 - 3. Provide certification from sealant manufacturers that their products are suitable for the project use intended and comply with specification requirements.

1.5 QUALITY ASSURANCE

- A. Use adequate numbers of skilled workmen thoroughly trained and experienced in the necessary crafts and completely familiar with the specified requirements and methods needed for proper performance of the work of this Section.
- B. Installer Qualifications:
 - 1. Applicator shall have at least three (3) years' experience in installing materials of types specified and shall have successfully completed at least three (3) projects of similar scope and complexity.
 - 2. Applicator shall designate a single individual as project foreman who shall be on site at all times during installation.

- C. Single Source Responsibility for Joint Sealant Materials:
 - 1. Obtain joint sealants from a single manufacturer for each different product required to ensure compatibility.
 - 2. Manufacturer shall instruct applicator in procedures for intersecting sealants.

1.6 ENVIRONMENTAL REQUIREMENTS

- A. Do not apply materials when temperature or weather conditions deviate from manufacturer's recommendations. Comply with manufacturer's recommended requirements for temperatures, relative humidity and substrate moisture content during application and curing of materials.
- B. Ensure proper ventilation in areas to receive solvent and moisture cured materials, and in enclosed spaces when installing two-component foam sealant.
- C. Comply with manufacturer's MSDS Sheets for use and handling of products.

1.7 SEQUENCING AND SCHEDULING

- A. Do not install work of this Section until work of other trades having an effect on this Section of work has been completed.
- B. Schedule applications of waterproofing, water repellents and preservative finishes after sealant installation unless sealant manufacturer approves otherwise in writing. Ensure that installed sealant is allowed to cure sufficiently prior to subsequent applications.

1.8 WARRANTY

- A. Deliver to the Owner signed copies of the following written warranties against adhesive and cohesive failure of the sealant and against infiltration of water and air through the sealed joint for a period of five (5) years from date of completion.
 - 1. Manufacturer's standard warranty covering sealant materials.
 - 2. Applicator's standard warranty covering workmanship.

PART 2 PRODUCTS

2.1 MATERIALS - GENERAL

A. General:

- 1. Color: White, or as selected by Owner from samples of manufacturer's standard color range.
- 2. Sealant must be compatible with back-up material.
- 3. Compatibility:
 - a. Provide joint sealants, joint fillers and accessory joint materials that are compatible with one another and with joint substrates under project conditions.
 - Install joint sealants, joint fillers and related joint materials that are non-staining to visible joint surfaces and surrounding substrate surfaces.
- 4. Pre-compressed foam joint sealant backup sealant at masonry and other rainscreen weather joints.
- 5. Sealing of vertical and horizontal construction joints, making air and watertight.
- Primers: As required and recommended by the sealant manufacturer for surface conditions encountered.

2.2 SILICONE JOINT SEALANTS

A. As manufactured by: Dow Corning Corp, Sika Corporation, Tremco Incorporated or approved equal.

B. Silicone Joint Sealants:

1. Single-Component, Non-sag, Neutral-Curing Silicone Joint Sealant: ASTM C920, Type S, Grade NS, Class 100/50, for Use NT.

2.3 JOINT SEALANT BACKING

A. Joint-Sealant Backing: ASTM C1330, polyethylene foam rod, closed or open cell to suit job conditions and approved in writing by joint sealant manufacturer; non-staining; compatible with joint substrates, sealants, primers and other joint fillers; and accepted for applications indicated by sealant manufacturer based on field experience and laboratory testing.

- 1. Diameter twenty-five (25%) percent greater than width of joint where it is to be installed.
- 2. Polystyrene foam not acceptable.
- B. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint-filler materials or joint surfaces at back of joint where such adhesion would result in sealant failure. Provide self-adhesive tape where applicable.

2.4 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealant-substrate tests and field tests.
- B. Cleaners for Nonporous Surfaces: chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Non-staining, non-absorbent material compatible with joint sealants and surfaces adjacent to joints.
- D. Other Materials: Provide other materials, not specifically described but required for a complete and proper installation, as selected by the Contractor and approved by the sealant manufacturer as compatible, subject to review by the Owner.

PART 3 EXECUTION

3.1 EXAMINATION

- A. Coordinate as required with other trades to assure proper and adequate provision in the work of those trades for interface with the work of this Section.
- B. Applicator shall examine the areas and conditions under which work of this Section will be performed.
 - 1. Verify conformance with manufacturer's requirements.
 - 2. Report unsatisfactory conditions in writing to the Owner.
- C. Do not proceed until unsatisfactory conditions are corrected.

3.2 PREPARATION

- A. Clean and prime joints in accordance with manufacturer's instructions.
- B. Remove loose materials and foreign matter which might impair adhesion of sealant.
- C. Verify that joint backing and release tapes are compatible with sealant.
- D. Protection: Completely protect surfaces adjacent to joints. Apply masking around joints to protect adjacent surfaces from defacement and staining during sealing operations.

3.3 INSTALLATION, APPLICATION, PERFORMANCE

- A. Install in accordance with manufacturer's directions for conditions of installation.
- B. Apply sealants before application of water repellents or other coatings at surfaces to ensure sealant adhesion.
- C. Keep sealants back from adjacent faces of surfaces.
- D. Backing for surfaces:
 - 1. Apply foam rod back-up material allowing proper space for sealant per the sealant manufacturer's data guide.
 - a. Where more than 3/4" wide pack with foam backer rod material to within 1/2" of surface.

- b. Where less than 1/2" wide install foam rod backer rod material to within 1/4" of surface.
- 2. Where less than 1/2" deep, apply bond breaker tape to bottom of joints to prevent adhesion of sealant to bottom of joint.
- 3. Provide backing materials in as long lengths as practicable; install with proper tool. Force backing into joint to proper depth for sealant.
- E. Sealing: Recess joints as indicated, minimum recess equal to joint width.
 - 1. Apply sealant in accordance with manufacturer's directions and the following:
 - a. Use sealant dispensing equipment to push sealant bead into opening. Fill joint opening to full and proper configuration. Apply in continuous operation, ensure sealant fills entire joint and firmly contacts all surfaces.
 - b. Install sealant vertically or horizontally as necessary to allow moisture to drain.
 - 2. Examine installation carefully. Repair any areas where sealant is not properly adhered due to bubbles, foreign matter or other defects.
 - 3. Seal joints before final coat of finish is applied to adjacent surfaces.

3.4 CURING

- A. General: Prior to painting or coating, allow sealant joints to cure as directed by sealant manufacturer, minimum seven (7) days for a single component and three (3) days for a multi-component.
- B. Environmental Conditions: Ambient temperatures and humidity affect the cure rate and time required for joint to be "tack-free". Notify Owner if cure times exceed the minimums listed.

3.5 FIELD QUALITY CONTROL

- A. Field-Adhesion Testing: Field test joint-sealant adhesion to joint substrates as follows:
 - 1. Extent of Testing: Test completed and cured joints as follows:
 - a. Perform ten (10) tests for the first one thousand (1,000') feet of joint length for each type of elastomeric sealant and joint substrate.
 - b. Perform one (1) test for each one thousand (1,000') feet of joint length thereafter or one (1) test per each floor per elevation.
 - 2. Test Method: Test joint sealants according to Method A, Field-Applied Sealant Joint Hand Pull Tab, in Appendix X1 in ASTM C1193 or Method A, Tail Procedure, in ASTM C1521.
 - For joints with dissimilar substrates, verify adhesion to each substrate separately; do this by extending cut along one side, verifying adhesion to opposite side. Repeat procedure for opposite side.
 - 3. Inspect joints for complete fill, for absence of voids and for joint configuration complying with specified requirements. Record results in a field-adhesion-test log.
 - 4. Inspect tested joints and report on whether the sealants:
 - a. Filled joint cavities and are free of voids.
 - b. Dimensions and configurations comply with specified requirements.
 - c. In joints connected to pulled-out portion failed to adhere to joint substrates or tore cohesively. Include data on pull distance used to test each type of product and joint substrate. Compare these results to determine if adhesion passes sealant manufacturer's field-adhesion hand-pull test criteria.
 - 5. Field-Adhesion-Test Log: Record test results. Include dates when sealants were installed, names of persons who installed sealants, test dates, test locations, whether joints were primed, adhesion results and percent elongations, sealant fill, sealant configuration and sealant dimensions.
 - 6. Repair sealants pulled from test area by applying new sealants following same procedures used originally to seal joints. Ensure that original sealant surfaces are clean and that new sealant contacts original sealant.
- B. Evaluation of Field Test Results: Sealants not evidencing adhesive failure from testing or noncompliance with other indicated requirements will be considered satisfactory. Remove sealants that fail to adhere to joint substrates during testing or to comply with other requirements. Retest failed applications until test results prove sealants comply with indicated requirements.

- 3.6 CLEANING, INSPECTION AND PROTECTION
 - A. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials accepted in writing by manufacturers of joint sealants and of products in which joints occur.
 - B. Protect joint sealants during and after curing period from contact with contaminating substances and from damage resulting from construction operations or other causes so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out and remove damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original work.

1.1 SUMMARY

A. Section includes crawlspace access doors.

1.2 SUBMITTALS

A. Product Data: Submit manufacturer's product data and installation instructions for each material and product used.

1.3 QUALITY ASSURANCE

A. Comply with governing codes and regulations. Provide products of acceptable manufacturers which have been in satisfactory use in similar service for three years. Use experienced installers. Deliver, handle, and store materials in accordance with manufacturer's instructions.

PART 2 PRODUCTS

2.1 MATERIALS

- A. Crawlspace Access Door
 - 1. Basis-of-Design: Williams Brothers Corporation of America WB EXT 1350 Ultra Series Insulated Exterior Access Door with Interior Release.
 - a. Application: Exterior, weather resistive
 - b. Door: 16 ga. Steel
 - c. Trim: 16 ga. Steel
 - d. Finish: Primed white baked grey enamel
 - e. Latches: Paddle latch lock and slam catch and interior latch release
 - f. Hinges: Continuous piano hinge
 - g. Insulation: Double layer of 1" Greenguard Extruded Polystrene insulation board
 - h. Gasket: Open celled microcellular polyurethane on inside of door and closed cell neoprene sponge SC42 w/ PSA stripping gasket on the back of the door
 - 2. Or approved equal.

PART 3 EXECUTION

3.1 INSTALLATION

- A. Install materials and systems in accordance with manufacturer's instructions and approved submittals. Install materials and systems in proper relation with adjacent construction and with uniform appearance. Coordinate with work of other sections. Install assemblies complete with all hardware, anchors, inserts, supports and accessories. Test and adjust operation.
- B. Restore damaged finishes and test for proper operation. Clean and protect work from damage.

1.1 SUMMARY

- A. Provide all materials, equipment, labor, supervision, tools and items necessary for the construction, installation, connection, start-up, testing and operation of all plumbing work for this project as defined by Division 22 and the Contract Documents.
- B. Complete as-built drawings for all Division 22 work.

1.2 DEFINITIONS AND ABBREVIATIONS

- Λ. The word "provide", as used in these specifications, means "furnish and install".
- H. The word "accepted", as used in these specifications, means the acceptance of the Owner, Engineer and/or Architect.

(Abbreviations:

ANSI American National Standards Institute

ASHRAE American Society of Heating, Refrigerating & Air Conditioning Engineers

ASME American Society of Mechanical Engineers
ASTM American Society for Testing and Materials

AWS American Welding Society
CISPI Cast Iron Soil Pipe Institute

F Fahrenheit

FM Factory Mutual Engineering Corporation

HI Hydraulic Institute

IBC International Building CodeIMC International Mechanical Code

MSS Manufacturers' Standardization Society of the Valve and Fittings Industry, Inc.

NEC National Electric Code

NEMA National Electrical Manufacturer's Association

NFPA National Fire Protection Association
NSF National Sanitation Foundation

OSHA Occupational Safety and Health Administration

psi Pounds per square inch

psig Pounds per square inch gauge pressure

SMACNA Sheet Metal and Air-Conditioning Contractors' National Association

UL Underwriters' Laboratories, Inc.

V Volts

UPC Uniform Plumbing Code

WAC Washington Administrative Code
WSEC Washington State Energy Code

WISHA Washington Industrial Safety & Health Act

1). Refer also to SHEET A0.1 - GENERAL REQUIREMENTS for additional acronyms and for additional definitions and explanations of terms.

1.3 PLANS AND SPECIFICATIONS

- A. The drawings and specifications are intended to describe all plumbing work, unless otherwise shown. Provide all materials which are necessary for the proper completion of the installation or operation of the equipment. Where no basis of design is noted, refer to the equipment schedules; in the absence of schedules provide a product during submittals that meets the specified criteria.
- B. The drawings are diagrammatic and do not show exact or complete piping configurations or the necessary number and types of fittings. Provide all labor and materials required to complete the work indicated.
- C. Scope of work listed, noted, or otherwise shown on the plans for which no product is supplied in the specification is still the responsibility of the Contractor as part of the base bid work for this section. Such items shall be submitted along with other product data.
- 1). Any questions occurring during bidding or construction shall be resolved by direction in writing from the Owner. Any issues not so resolved or any conflicts shall result with the contractor bidding, furnishing and installing the most stringent condition. No exceptions.

1.4 LAW AND ORDINANCES

General

- 1. All plumbing work specified under this contract shall be in strict accordance with the latest rules and regulations of all applicable codes.
- Contractor is not relieved from furnishing and installing work shown or specified which may be beyond requirements of ordinances, laws, regulations, and codes. This work shall be included within the construction contract.
- 3. Contractor is not relieved from furnishing and installing work required by the local Authority Having Jurisdiction (AHJ) which may be beyond requirements of ordinances, laws, regulations, and codes. Review by AHJ of systems should be sequenced to accommodate time in the construction schedule for revisions/correction and second review by AHJ.
- H. Approval: File necessary plans, prepare documents and obtain necessary approval of governmental departments having jurisdiction and required certificates of inspection for work and deliver same to Owner before requesting acceptance and final payment for work.
- (`. Permits, Certificates and Taxes: Procure and pay for all the necessary permits, certificates, and taxes for all work as required in the General and Supplementary Conditions. In addition, perform all ordinance and performance tests in the presence of the Owner, and be responsible for advance notification. Submit copies of signed and accepted permits to the Owner.

1.5 MATERIAL REVIEW, SUBMITTALS AND SHOP DRAWINGS

A. General

- 1. Deliver material, submittal and shop drawing data to Owner in accordance with the requirements of the General Conditions, Supplemental Conditions, this section and the Drawings.
- 2. Do not place orders for materials, fixtures, or equipment until approval is obtained from Owner in writing. Verbal approval shall not be contractually binding and will not be considered.
- 3. Make every attempt to respond to the reviewer's comments in a timely manner.
- 4. The Contractor's schedule shall recognize and accommodate the review intervals specified herein. The schedule shall identify and accommodate the specified submittal and re-submittal review and response period. The Contractor shall not anticipate or base the construction schedule on expedited reviews or reviews of partial submittals. Submittals shall be organized and delivered as specified. No exceptions.

- **B.** Standards Compliance and Certification
 - Where equipment or materials are specified to conform with requirements of standards of recognized technical or industrial organizations such as American National Standards Institute (ANSI), American Society for Mechanical Engineers (ASME), Underwriters Laboratories Refrigeration Institute (ARI), or National Electrical Manufacturer's Association (NEMA), that use a label or published listing as a method of indicating compliance, proof of such conformance shall be submitted.
 - 2. Submit certification for the product submitted and not pre-printed certifications. Do not make statements in the certifications that could be interpreted to imply the product does not meet all requirements specified, such as "as good as"; "achieve the same end use and results as materials formulated in accordance with the referenced publications"; "equal or exceed the service and performance of the specified material." Simply state that the product conforms to the requirements specified.
- C. Substitution of Materials: Substitutions of materials will only be considered where specified materials cannot be obtained or where prior approval has been provided. All work and equipment required incidental to the substitution is the responsibility of the Contractor

1.6 SHOP DRAWINGS

- A. Shop drawings required for submission shall include but are not limited to:
 - 1. Plan location of all Division 22 equipment.
 - 2. Domestic Water Piping
 - 3. Domestic Waste and Vent Piping
 - 4. Trap Primer Locations and Piping
 - 5. Access Doors for all Division 22 equipment as required.
- H. All shop drawings shall bear the Contractor's stamp, certifying that the contractor has:
 - 1. Verified all field dimensions and quantities as shown on the shop drawings.
 - 2. Verified all field construction criteria, materials, catalog numbers and similar data.
 - Reviewed and coordinated submittal data with requirements of the work and the Contract Documents with the field conditions.
 - 4. Coordinated all equipment clearances and manufacturers' written installation requirements.
 - 5. Coordinated with all other trades' routing, access, space and clearance requirements.
- (`. Shop drawings shall be drawn on the Architect's final backgrounds; at a minimum, all walls, room numbers, and plan name indicating floor are to be included on the shop drawings. Drawings should be performed by CAD software (preferred) or drawn neatly by hand in permanent ink. Any field verified dimensions should be shown, as well as each piece of equipment related to the shop drawing. Do not resubmit the mechanical or architectural sheets marked-up with notes.
- 1). Shop drawings to be submitted as PDFelectronic files but must be full size or half-size documents.
- F. Acceptance does not extend to products not represented by or included on the shop drawings, nor does it extend to verification of quantity or dimension surveys. Review is limited to checking for conformance with the design concept and to verify that the Contractor has taken care in coordination between trades. No changes from the provisions of the Contract Documents are intended and the Contractor remains responsible for compliance with the provisions therein.
- F. Shop drawings that do not meet the above requirements will be returned without review.

1.7 CONSULTANT REVIEW

- A. Review in general and does not:
 - 1. Permit departure from Contract Documents.
 - 2. Relieve Contractor from responsibility for error in detail, quantities, dimensions or related items.
 - 3. Accepted departure from previous instructions or detail.
 - 4. Relieve Contractor of responsibility to provide all components, wiring, etc., required to make item operational or usable.

- Relieve Contractor of the responsibility to coordinate all power and clearance requirements with other trades.
- 6. Imply acceptance of items for which no data is submitted.
- B. Work which requires submittals shall not be started without Owner's review.
- (Allow five (5) working days for Owner review. See Section 01 33 00 for exceptions.
- 1). Acceptance will be indicated by a signed stamp affixed to the submittal, or a letter over the Owner's signature. No exceptions.

E. Re-submittals

- Items of materials, fixtures and equipment not accepted by Owner shall be resubmitted within 15
 working days after Owner review. If Contractor fails to submit items listed below for approval or
 resubmit in the event of disapproval within specified time, Contractor shall provide materials,
 fixtures and equipment as scheduled on drawings. Where equipment is not scheduled the
 Contractor shall provide materials, fixtures and equipment as identified in the specifications.
- 2. Decision of Owner shall be final and binding and items shall be provided without change in contract price or time of completion.

1.8 PUNCH LIST & WARRANTEE

- A. Inform Owner of progress and schedule a site visit by the Owner prior to covering pipe, duct, equipment that will, once construction is completed, be otherwise hidden from view. A substantial completion site visit will be completed at a later date.
- H. Punch list items shall be provided to the Contractor in writing. A written response indicating the corrective action taken or explanation of the situation must be returned to the Owner.

PART 2 PRODUCTS

2.1 EXPANSION SHELLS AND BOLTS

- A. Expansion Shells for Rod Hangers
 - 1. Phillips, Gregory, Omark, or Fastite in holes drilled in concrete.
- **B.** Expansion Bolts for Equipment
 - 1. USM or McCullough in holes drilled in concrete.
 - 2. No screwed adapters underground.

2.2 FORMED STEEL CHANNELS AT SLAB

A. Provide for all equipment; number and size per manufacturer's recommendations or as indicated.

2.3 ANCHOR BOLTS

A. Provide for all equipment; number and size per manufacturers' recommendations or as indicated.

2.4 SUPPLEMENTARY STEEL FRAMING

A. Standard structural steel shapes or Schedule 40 steel pipe, galvanized with extra-heavy finish.

2.5 SLEEVES

- A. Materials, General Schedule: 40 galvanized steel pipe with unthreaded ends, or standard structural steel shapes.
- H. Firestopping: Three-hour rated penetration sealing system per UL 1479 and ASTM E-814. 3M Fire Barrier, Dow Chemical RTV, Manville Cerafiber, or accepted.
- (Seal: Seal annulus with bolted compression type seal. Link Seal or accepted equal.

2.6 WELDING TO BUILDING STRUCTURAL MEMBERS

A. Not allowed except as indicated.

2.7 NAMEPLATES

A. Laminated black plastic with lettering cut through to white background. Plastic strips with raised letters made by a marking device are not acceptable.

2.H VALVE TAGS

- A. Shall be 0.030" thick brass, 1" diameter size; state the service and destination of the line controlled. Provide tag inscriptions made with a lettering device with 5/16" high cut lettering. Laminated plastic tags, construction similar to nameplates will also be acceptable.
- H. Provide a valve tag list that assigns valve tag numbers to functions.

2.9 PIPING IDENTIFICATION

- A. Self-adhesive, pre-printed identification labels indicating direction of flow and pipe contents, using common industry abbreviations. Identify pipe at every change of direction.
- H. Comply with the latest ANSI Pipe Marking Standards for letter height and label placement.

2.10 PAINTING

A. Paint all exposed fixtures and equipment in conformance with Contract Documents. Coordinate color with Owner. Refer to Architectural documents for paint and application requirements.

2.11 EQUIPMENT LISTING REQUIREMENTS

A. Whenever UL Standards exist for equipment provide UL-accepted equipment bearing the UL label.

PART 3 EXECUTION

3.1 INSPECTION

A. Verify installation conditions as satisfactory to receive work of this Division. Do not install until any unsatisfactory conditions are corrected. Beginning work constitutes acceptance of conditions as satisfactory.

3.2 PREPARATION

- Field Measurements: Field-verify locations of new and existing work prior to commencing work of this Division.
- H. Protect surrounding areas and surfaces to preclude damage from work of this Division.

3.3 INSTALLATION, ERECTION, AND PERFORMANCE

A. Install, apply, erect, and perform the work in accordance with "Quality Assurance" provisions, Specifications, and manufacturers' installation instructions and directions. Where these may be in conflict, the more-stringent requirements govern.

3.4 CLEANING

A. Promptly remove waste material and rubbish caused by mechanical construction work. At completion of the project, clean all equipment, piping and fixtures installed or provided under this Contract.

3.5 CUTTING AND PATCHING

 Cut all openings and holes required for mechanical work. Carefully examine existing conditions prior to commencing work.

3.6 ACCESSIBILITY

- Λ. Locate valves, dampers, controls, etc., to be easily accessible.
- H. Install all equipment that requires periodic servicing or repairs to be readily accessible. Otherwise, obtain Owner's approval of location. Where valve and equipment is concealed behind access panels or by ceiling tiles, label panel or tile appropriately.
- C. Provide access panels as indicated or required for piping, valve or equipment access. Refer to Architectural Documents to determine fire-rating requirements. The access panel size shall be in proportion to the equipment, piping, or valve requiring access. Minimum access panel size shall be 12" x 12". Due to the diagrammatic nature of the drawings, not all access panels are shown. Access panels are to be included as part of the base bid work.

3.7 SPECIAL PROTECTION

- A. Exercise maximum precaution to provide positive protection for the existing building and equipment from damage of any kind, and in particular prevent any water and dust seepage into the existing building.
- B. Storage of materials: Make all necessary provisions to prevent damage or corrosion of materials.

3.8 EQUIPMENT INSTALLATION

- A. General: Provide supports for all equipment and appurtenances as required, including braces as required for seismic restraint; these include frames or supports for pumps and air handlers and all mechanical equipment. Bracing shall conform with the requirements of IBC and IMC. Include the design, engineering and installation of these members is the responsibility of the Contractor.
- B. Suspended Equipment: Provide hangers from structure as required; span between structural members with additional structural steel as required to mount equipment in locations shown. Do not fasten hangers to metal deck. Do not use powder-actuated fasteners.

C. Floor-Mounted Equipment - General

- Provide machine and floor or foundation fastenings; set equipment on concrete pads. Provide
 equipment base drawings, bolt-setting information, and anchors for all floor-mounted equipment.
 Provide concrete expansion anchors through concrete equipment pads, installed into existing
 structural concrete slabs.
- Install all equipment at the locations, and to the dimensions indicated. Set equipment accurately
 with principal centerlines and level, using manufacturers' leveling screws, blocks, shims, or
 wedges. Do not distort equipment or base plates.
- 3. Install all equipment, piping and ducting such as to provide adequate access for service. This includes access to equipment covered in other divisions or sections of this specification.

3.9 PIPE SUPPORTS

- A. Attach hangers and support rigidly to the building structure; provide supplementary steel framing and bracing at all changes in pipe direction to resist thrust of flowing water. Provide seismic bracing as required by codes. Do not fasten hangers to metal deck. Do not use power actuated fasteners.
- H. Provide additional steel support for piping runs through tight confinements. Provide trapeze system with vibration isolation and seismic restraint for piping through joists and as applicable, due to accessibility of ductwork and mechanical equipment.

3.10 EXPANSION SHELLS AND BOLTS

A. Use only where necessary to support piping or equipment from existing concrete slabs or walls.

3.11 SLEEVES AND SEALING OF SLEEVES

A. Provide all sleeving and sealing of sleeves for pipes and ducts.

- H. Provide annular clear space of approximately 1/4" to 1/2"; size to accommodate insulation passing through sleeve where applicable.
- (`. Wherever piping passes through any floor slab above occupied space or equipment, provide pipe sleeves extending 1" above floor.
- 1). Set sleeves in place prior to pouring of concrete in new construction; core drill and grout sleeves in place for unit masonry construction and existing construction.
- F. Sealing of sleeves through floor slabs and firewalls: Provide firestop system by 3M or accepted equal.
- F. Sealing of sleeves for below grade floors and walls: Provide Link Seal.

3.12 PAINTING

A. General Paint exposed equipment, ducts, piping, sheet metal work and mechanical system appurtenances unless noted otherwise. Coordinate color with Owner.

B. Application

- 1. Thoroughly clean surfaces to be painted to remove dirt, grease and scale. Wash galvanized surfaces with mild solution of acid prior to painting to effectively clean oils from surface and to etch zinc.
- 2. Paint insulated surfaces and covered piping with one primer coat and two finish coats.
- 3. Paint exposed equipment, pipes and supports with one primer coat and two finish coats. Paint factory painted equipment to match colors selected by the Owner: touch up damaged areas with paint to match factory color.
- Paint the supporting devices for mechanical devices or systems specified to be painted.

3.13 MISCELLANEOUS EQUIPMENT AND FIXTURE CONNECTIONS

- A. Provide piping, ductwork, and make all final mechanical connections in accordance with manufacturers' recommendations for Owner-furnished equipment and fixtures, and equipment and fixtures specified.
- H. Perform on-site review and refer to manufacturers' shop drawings for details of connections. Provide rough-in at locations to conveniently serve items.

3.14 WIRING

- A. Wiring shall conform to applicable sections of these specifications. Provide wiring from branch circuit over current device to motor controller to motor terminals, including installation of starter and all connections. Provide raceway and conductors as shown for remote control, or interlock connections. Provide overload elements in controllers sized to match motor nameplate full load amperes. Space within controllers shall not be used as a junction box.
- B. Where power for a piece of equipment is not shown on electrical drawings, provide resources to install appropriate conductors and conduit from piece of equipment to nearest electrical panel; coordinate power requirements with electrical engineer and wire as directed.

1.1 SUMMARY

A. Section includes selective demolition of existing mechanical work as indicated by plans.

1.2 QUALITY ASSURANCE

- Λ. Regulatory Requirements:
 - 1. Comply with applicable city, county, and state codes and ordinances.

PART 2 PRODUCTS

NOT USED

PART 3 EXECUTION

3.1 GENERAL

- A. Provide protective measures as required to minimize the transfer of noise, dust, dirt, and refuse to adjacent areas of the project. Such measures may include, dust tight barriers, temporary walls, portable exhaust fans, vacuum systems, and segmental partitioning.
- R. Areas of demolition shall be kept as clean and orderly as physically possible. Do not allow demolition debris to accumulate. Gather debris and dispose daily.
- (. Protect existing, furnishing, and systems with protective coverings. Protect finished surfaces including floors, ceilings, and walls.

3.2 DAMAGES

A. Promptly repair any damage to existing surfaces, equipment, finishes, or adjacent facilities at no cost to the Owner and to the satisfaction of the Owner.

3.3 UTILITY SERVICES

- A. Maintain existing services and systems as shown on the plans, or unless otherwise authorized. Do not interrupt existing utilities serving occupied or used facilities.
- B. Coordinate all utility shut downs with Owner.

3.4 DEMOLITION

- A. Provide demolition work required in the existing building for the removal of existing piping and the installation of new equipment or piping. Relocate or modify the existing equipment and piping as required by general construction alterations or by the installation of new equipment or piping in the existing building.
- H. Remove and dispose of existing materials indicated to be removed.
- (`. Where existing piping is removed, cut back to the stack or riser and cap the piping behind the wall or below the floor. Remove unused branch piping and piping in walls to be demolished and cap the remaining piping. Perform cutting and patching.
- 1). Where existing insulation is damaged due to cutting and connection to new systems, replace damaged sections as indicated for new systems.
- E. Do not reuse existing products unless specifically indicated.
- F. Specific demolition work and operating conditions to be encountered shall be verified from onsite review and coordination with the Owner. Maintain service to existing equipment and devices during new construction work as required by Construction Sequencing/Scheduling provisions; in areas

- adjacent to the new construction work, provide temporary services as necessary to meet these conditions.
- (i. Repair of Damages to Underground Utilities: The exact location of existing underground utilities is not known. Should any underground utilities be damaged in excavations, restore such utilities, both temporarily and permanently, as required, without additional cost to the Owner. Correct resulting damage.

3.5 SALVAGE

A. Coordinate with Owner on salvage. Locate equipment neatly in a location maintained for salvaged materials. Maintain adequate security to prevent loss due to theft or vandalism. Salvaged items lost due to theft, vandalism, or breakage during salvage shall be replaced at no cost to the Owner.

3.6 DISPOSAL OF DEMOLISHED MATERIALS

A. Remove debris, rubbish, and other materials resulting from demolition operations from building site. Transport and legally dispose of material off site.

3.7 CLEAN-UP AND REPAIR

- A. Upon completion of demolition work, remove tools, equipment and demolished materials from site. Remove protection and leave interior areas clean.
- B. Repair demolition performed in excess of that required at no additional cost to the Owner. Return structures and surfaces to conditions existing prior to commencement of demolition work or as directed by Owner.

1.1 GENERAL

- A. This specification includes the minimum requirements for the rehabilitation of sanitary sewer pipelines by the installation of Cured-In-Place Pipe (CIPP) within the existing, deteriorated pipe as shown on the plans included as part of these contract documents.
- B. The rehabilitation of pipelines shall be done by the installation of a resin-impregnated flexible tube which, when cured, shall be continuous and tight-fitting throughout the entire length of the original pipe. The CIPP shall extend the full length of the original pipe and provide a structurally sound, jointless and water-tight new pipe-within-a-pipe. The Contractor is responsible for proper, accurate and complete installation of the CIPP using the system selected by the Contractor meeting the Owner's requirements.
- C. Neither the CIPP product, system, nor its installation, shall cause adverse effects to any of the Owner's processes or facilities. The installation pressure for the product shall not damage the system in any way, and the use of the product shall not result in the formation or production of any detrimental compounds or by-products at the wastewater treatment plant. The Contractor shall notify the Owner and identify any by-products produced as a result of the installation operations, test and monitor the levels, and comply with any and all local waste discharge requirements. The Contractor shall cleanup, restore existing surface conditions and structures, and repair any of the CIPP system determined to be defective. The Contractor shall conduct installation operations and schedule cleanup in a manner to cause the least possible obstruction and inconvenience to traffic, pedestrians, businesses and property owners or tenants.
- D. The prices submitted by the Contractor, shall include all costs of permits, labor, equipment, and materials for the various bid items necessary for furnishing and installing, complete in place, CIPP in accordance with these specifications. All items of work not specifically mentioned herein which are required, by the Contractor, to make the product perform as intended and deliver the final product as specified herein shall be included in the respective lump sum and unit prices bid.

1.2 DESCRIPTION OF WORK AND PRODUCT DELIVERY

- A. This specification covers all work necessary to furnish and install the CIPP. The Contractor shall provide all materials, labor, equipment, and services necessary for traffic control, bypass pumping and/or diversion of flows, cleaning and television inspection of sewers to be rehabilitated, liner installation, reconnection of service connections, all quality controls, provide samples for performance of required material tests, final television inspection, testing of the rehabilitated pipe system, warranty work and other work, all as specified herein.
- B. The product furnished shall be a complete CIPP system including specific materials, applicable equipment and installation procedures. The CIPP system manufacturer must submit, a minimum of 7 calendar days in advance of the bid date, required information to the Owner to obtain preapproval status. Those CIPP systems that have been pre-approved will not be required to furnish information as required in the submittal section of these specifications unless specifically requested to do so by the Owner or if any of the CIPP system components have changed from those pre-approved by the Owner. All other CIPP systems or multi-component products will be required to meet the submittal requirements as contained herein.
- C. The CIPP shall be continuous and jointless from access point to access point and shall be free of

all defects that will affect the long-term life and operation of the pipe.

- D. The CIPP shall fit sufficiently tight within the existing pipe so as to not leak at the manholes, at the service connections or through the wall of the installed pipe. If leakage occurs at the manholes or the service connections, the Contractor shall seal these areas to stop all leakage using a material compatible with the CIPP as directed by the Owner at the price bid in the Proposal. If leakage occurs through the wall of the pipe, the CIPP shall be repaired or removed as recommended by the CIPP manufacturer. Final approval of the CIPP will be based on a leak tight pipe.
- E. The CIPP shall be designed for a life of 50 years or greater and an equal service life unless specifically specified otherwise by the Owner.
- F. The CIPP may be designed to resist external groundwater pressures only or as a fully structural stand-alone pipe-within-a-pipe. If the design is for groundwater, only the design groundwater level is required for external loads. If specified in the contract documents, the installed CIPP shall be a structurally designed pipe-within-a-pipe, meet or exceed all contract specified physical properties, fitting tightly within the existing pipe all within the tolerances specified. The installed CIPP shall withstand all applicable surcharge loads (soil overburden, live loads, etc.) and external hydrostatic (groundwater) pressure, if present, for each specific installation location.
- G. The installed CIPP shall have a long term (50 year) corrosion resistance to the typical chemicals found in domestic sewage and defined in the referenced and applicable ASTM standards
- H. All existing and confirmed active service connections and any other service laterals to be reinstated, as directed by the Owner, shall be re-opened robotically or by hand in the case of manentry size piping, to their original shape and to 90% 95% of their original area. All over-cut or under-cut service connections will be properly repaired to meet the requirements of these specifications.
- I. All materials furnished, as part of this contract shall be marked with detailed product information, stored in a manner specified by the manufacturer and tested to the requirement of this contract.
- J. Testing and warranty inspections shall be executed by the Owner. Any defects found shall be repaired or replaced by the Contractor.
- K. The Contractor shall furnish, from the project installation, all samples for product testing at the request of the Owner. The Owner shall take possession of the samples for testing and shall maintain the chain of custody, deliver the samples to an approved laboratory and pay for all material and product testing performed under this contract.

1.3 REFERENCES

- A. The following documents form a part of this specification to the extent stated herein and shall be the latest editions thereof. Where differences exist between codes and standards, the requirements of these specifications shall apply. All references to codes and standards shall be to the latest revised version.
 - 1. ASTM F1216 Standard Practice for Rehabilitation of Existing Pipelines and Conduits by the Inversion and Curing of a Resin-Impregnated Tube
 - 2. ASTM F1743 Standard Practice for Rehabilitation of Existing Pipelines and Conduits by the Pull in and Inflate and Curing of a Resin-Impregnated Tube
 - 3. ASTM D543 Standard and Practice for Evaluating the Resistance of Plastics to Chemical

Reagents

- 4. ASTM D638 Standard Test Method for Tensile Properties of Plastics
- 5. ASTM D790 Standard Test Methods for Flexural Properties of Unreinforced and Reinforced Plastics and Electrical Insulating Materials
- 6. ASTM D792 Standard Test Methods for Density and Specific Gravity of Plastics by Displacement
- 7. ASTM F2019 Standard Practice for Rehabilitation of Existing Pipelines and Conduits by the Pulled in Place Installation of Glass Reinforced Plastic (GRP) Cured-in-Place Thermosetting Resin Pipe (CIPP)
- 8. ASTM D2122 Standard Test Method for Determining Dimensions of Thermoplastic Pipe and Fittings
- 9. ASTM F2561 Standard Practice for Rehabilitation of a Sewer Service Lateral and Its Connection to the Main Using a One Piece Main and Lateral Cured-in-Place Liner
- ASTM D2990 Standard Test Methods for Tensile, Compressive, and Flexural Creep and Creep- Rupture of Plastics
- 11. ASTM D3567 Standard Practice for Determining Dimensions of Fiberglass (Glass-Fiber-Reinforced Thermosetting Resin) Pipe and Fittings
- 12. ASTM D3681 Standard Test Method for Chemical Resistance of "Fiberglass (Glass Fiber Reinforced Thermosetting Resin) Pipe in a Deflected Condition
- 13. ASTM D5813 Standard Specification for Cured-in Place Thermosetting Resin Sewer Pipe

1.4 PERFORMANCE WORK STATEMENT (PWS) SUBMITTAL

- A. The Contractor shall submit, to the Owner a Performance Work Statement (PWS) which clearly defines the CIPP product delivery in conformance with the requirements of these contract documents. Unless otherwise directed by the Owner, the PWS shall at a minimum contain the following:
 - 1. Clearly indicate that the CIPP will conform to the project requirements as outlined in the Description of Work and as delineated in these specifications.
 - 2. Where the scope of work is specifically delineated in the contract documents, a detailed installation plan describing all preparation work, cleaning operations, pre-CCTV inspections, bypass pumping, traffic control, installation procedure, method of curing, service reconnection, quality control, testing to be performed, final CCTV inspection, warrantees furnished and all else necessary and appropriate for a complete liner installation. A detailed installation schedule shall be prepared, submitted and conform to the requirements of this contract.
 - 3. Contractor's description of the proposed CIPP technology, including a detailed plan for identifying all active service connections maintaining service, during mainline installation, to each home connected to the section of pipe being lined, including temporary service for commercial, industrial and apartment complexes, if required by the contract.
 - 4. A description of the CIPP materials to be furnished for the project. Materials shall be fully detailed in the submittals and conform to these specifications and/or shall conform to the preapproved product submission.
 - 5. A statement of the Contractors experience. The Contractor shall have a minimum of three (3) years of continuous experience installing CIPP in pipe of a similar size, length and configuration as contained in this contract. The lead personnel including the superintendent, the foreman and the lead crew personnel for the CCTV inspection, resin wet-out, the liner installation, liner curing and the robotic service reconnections each must have a minimum of three (3) years of total experience with the CIPP technology proposed for this contract and

must have demonstrated competency and experience to perform the scope of work contained in this contract. The name and experience of each lead individual performing work on this contract shall be submitted with the PWS. Personnel replaced by the Contractor, on this contract, shall have similar, verifiable experience as the personnel originally submitted for the project.

- 6. Engineering design calculations, in accordance with the Appendix of ASTM F1216, for each length of liner to be installed including the thickness of each proposed CIPP. It will be acceptable for the Contractor to submit a design for the most severe line condition and apply that design to all of the line sections. These calculations shall be performed and certified by a qualified Professional Engineer. All calculations shall include data that conforms to the requirements of these specifications or has been pre-approved by the Owner.
- 7. Proposed manufacturer's technology data shall be submitted for all CIPP products and all associated technologies to be furnished.
- 8. Submittals shall include information on the cured-in-place pipe intended for installation and all tools and equipment required for a complete installation. The PWS shall identify which tools and equipment will be redundant on the job site in the event of equipment breakdown. All equipment to be furnished for the project, including proposed back-up equipment, shall be clearly described. The Contractor shall outline the mitigation procedure to be implemented in the event of key equipment failure during the installation process.
- 9. A detailed description of the Contractor's proposed procedures for removal of any existing blockages in the pipeline that may be encountered during the cleaning process.
- 10. A detailed public notification plan shall be prepared and submitted including detailed staged notification to residences affected by the CIPP installation.
- 11. An odor control plan shall be submitted, by the Contractor, that will ensure that project specific odors will be minimized at the project site and surrounding area.
- 12. Compensation for all work required for the submittal of the PWS shall be included in the various pipelining items contained in the Proposal.

1.5 PRODUCT SUBMITTALS

- A. Fabric Tube including the manufacturer and description of product components such as felts and reinforcing materials.
- B. Flexible membrane (coating) material including materials specific to the proposed curing method and recommended repair (patching) procedure if applicable.
- C. Raw Resin Data including the manufacturer and description of product components including the Spectroscopic Wavelength diagram for the resin being furnished
- D. Manufacturers' shipping, storage and handling recommendations for all components of the CIPP system.
- E. All Safety Data Sheets (SDS) for all materials to be furnished for the project.
- F. Tube wet-out & cure method including:
 - 1. A complete description of the proposed wet-out procedure for the proposed technology.
 - 2. The Manufacturer's recommended cure method for each diameter and thickness of liner to be installed. The PWS shall contain a detailed curing procedure outlining the curing medium, the method of application and how the curing temperatures will be monitored.
- G. Compensation for all work required for the submittal of product data shall be included in the Lump Sum price contained in the Proposal for Mobilization.

1.6 SAFETY

- A. The Contractor shall conform to all work safety requirements of pertinent regulatory agencies, and shall secure the site for the working conditions in compliance with the same. The Contractor shall erect such signs and other devices as are necessary for the safety of the work site.
- B. The Contractor shall perform all of the Work in accordance with applicable OSHA standards. Emphasis shall be placed upon the requirements for entering confined spaces and with the equipment being utilized for pipe renewal.
- C. The Contractor shall submit a proposed Safety Plan to the Owner, prior to beginning any work, identifying all competent persons. The plan shall include a description of a daily safety program for the job site and all emergency procedures to be implemented in the event of a safety incident. All work shall be conducted in accordance with the Contractor's submitted Safety Plan.
- D. Compensation for all work required for the submittal of the Safety Plan shall be included in the various pipelining items contained in the Proposal.

1.7 QUALITY CONTROL PLAN (QCP)

- A. A detailed Quality Control Plan (QCP) shall be submitted to the Owner that fully represents and conforms to the requirements of these specifications. At a minimum the QCP shall include the following:
 - 1. A detailed discussion of the proposed quality controls to be performed by the Contractor.
 - 2. Defined responsibilities, of the Contractor's personnel, for assuring that all quality requirements for this contract are met. These shall be assigned, by the Contractor, to specific personnel.
 - 3. Proposed procedures for quality control, product sampling and testing shall be defined and submitted as part of the plan.
 - 4. Proposed methods for product performance controls, including method of and frequency of product sampling and testing both in raw material form and cured product form.
 - 5. Scheduled performance and product test result reviews between the Contractor and the Owner at a regularly scheduled job meeting.
 - 6. Inspection forms and guidelines for quality control inspections shall be prepared in accordance with the standards specified in this contract and submitted with the QCP.
- B. Two (2) days of inspector training, by the CIPP system manufacturer, for the Owner's inspectors shall be provided. This training shall be prior to liner installation, include both technical and field training and include all key aspects of visual inspection and sampling procedures for testing requirements. On smaller projects having an estimated duration of less than two (2) weeks of installation work, the system manufacturer shall furnish a check list containing key elements of the CIPP installation criteria that are important for the Owner's inspector to ensure that quality control and testing requirements are performed in accordance with the contract documents.
- C. Compensation for all work required for the submittal of the QCP shall be included in the various pipelining items contained in the Proposal. Compensation for inspector training shall be included in the price bid in the Proposal.

1.8 CIPP REPAIR/REPLACEMENT

A. Occasionally installations will result in the need to repair or replace a defective CIPP. The Contractor shall outline specific repair or replacement procedures for potential defects that may occur in the installed CIPP. Repair/replacement procedures shall be as recommended by the CIPP

system manufacturer and shall be submitted as part of the PWS.

- B. Defects in the installed CIPP that will not affect the operation and long-term life of the product shall be identified and defined.
- C. Repairable defects that may occur in the installed CIPP shall be specifically defined by the Contractor based on manufacturer's recommendations, including a detailed step-by-step repair procedure, resulting in a finished product meeting the requirements of these contract specifications.
- D. Unrepairable defects that may occur to the CIPP shall be clearly defined by the Contractor based on the manufacturer's recommendations, including a recommended procedure for the removal and replacement of the CIPP.

1.9 AS-BUILT DRAWINGS/RECORDS

- A. As-Built drawings/records, pre-& post inspection videotapes, CDs or other electronic media shall be submitted to the Owner, by the Contractor, within 14 calendar days of final acceptance of said work or as specified by the Owner. As-Built drawings/records will include the identification of the work completed by the Contractor and shall be prepared on one set of Contract Drawings/Records provided to the Contractor at the onset of the project.
- B. As-Built drawings/records shall be kept on the project site at all times, shall include all necessary information as outlined in the PWS or as agreed to by the Owner and the Contractor at the start of the Contract, shall be updated as the work is being completed and shall be clearly legible.
- C. Compensation for all work required for the submittal and approval of As-Built drawings/records shall be included in the various pipelining items contained in the Proposal.

1.10 WARRANTY

- A. The materials used for the project shall be certified by the manufacturer for the specified purpose. The Contractor shall warrant the CIPP material and installation for a period of one (1) year. During the Contractor warranty period, any defect which may materially affect the integrity, strength, function and/or operation of the pipe, shall be repaired at the Contractor's expense in accordance with procedures included in Section 1.7 CIPP Repair/Replacement and as recommended by the manufacturer.
- B. On any work completed by the Contractor that is defective and/or has been repaired, the Contractor shall warrant this work for (1) year in addition to the warrantee required by the contract.
- C. After a pipe section has been rehabilitated and for a period of time up to one (1) year following completion of the project, the Owner may inspect all or portions of the rehabilitated system. The specific locations will be selected at random by the Owner's inspector and should include all sizes of CIPP from this project. If it is found that any of the CIPP has developed abnormalities since the time of "Post Construction Television Inspection," the abnormalities shall be repaired and/or replaced as defined in Section 1.7 CIPP Repair/Replacement and as recommended by the manufacturer. If, after inspection of a portion of the rehabilitated system under the contract, problems are found, the Owner may televise all the CIPP installed on the contract. All verified defects shall be repaired and/or replaced by the Contractor and shall be performed in accordance with Section 1.7 CIPP Repair/Replacement and per the original specifications, all at no additional cost to the Owner.

PART 2 PRODUCTS

2.1 MATERIALS

- A. The CIPP System must meet the chemical resistance requirements of these contract documents.
- B. All materials shipped to the project site shall be accompanied by test reports certifying that the material conforms to the ASTM standards listed herein. Materials shall be shipped, stored, and handled in a manner consistent with written recommendations of the CIPP system manufacturer to avoid damage. Damage includes, but is not limited to, gouging, abrasion, flattening, cutting, puncturing or ultra-violet (UV) degradation. On site storage locations shall be approved by the Owner. All damaged materials shall be promptly removed from the project site at the Contractor's expense and disposed of in accordance with all current applicable agency regulations.

2.2 FABRIC TUBE

- A. The fabric tube shall consist of one or more layers of absorbent non-woven felt fabric, felt/fiberglass, felt/carbon fiber, carbon fiber or fiberglass and meet the requirements of ASTM F 1216, ASTM F1743, or ASTM F2019 and ASTM D5813. The fabric tube shall be capable of absorbing and carrying resins, constructed to withstand installation pressures and curing temperatures and have sufficient strength to bridge missing pipe segments and stretch to fit irregular pipe sections. The Contractor shall submit certified information from the felt manufacturer on the nominal void volume in the felt fabric that will be filled with resin.
- B. The wet-out fabric tube shall have a uniform thickness and excess resin distribution that when compressed at installation pressures will meet or exceed the design thickness after cure
- C. The fabric tube shall be manufactured to a size and length that when installed will tightly fit the internal circumference, meeting applicable ASTM standards or better, of the original pipe. Allowance shall be made for circumferential stretching during installation. The tube shall be properly sized to the diameter of the existing pipe and the length to be rehabilitated and be able to stretch to fit irregular pipe sections and negotiate bends. The Contractor shall determine the minimum tube length necessary to effectively span the designated run between manholes. The Contractor shall verify the lengths in the field prior to ordering and prior to impregnation of the tube with resin to ensure that the tube will have sufficient length to extend the entire length of the run. The Contractor shall also measure the inside diameter of the existing pipelines in the field prior to ordering liner so that the liner can be installed in a tight-fitted condition.
- D. The outside and/or inside layer of the fabric tube (before inversion/pull-in, as applicable) shall be coated with an impermeable, flexible membrane that will contain the resin and facilitate, if applicable, vacuum impregnation and monitoring of the resin saturation during the resin impregnation (wet-out) procedure.
- E. No material shall be included in the fabric tube that may cause delamination in the cured CIPP. No dry or unsaturated layers shall be acceptable upon visual inspection as evident by color contrast between the tube fabric and the activated resin containing a colorant.
- F. The wall color of the interior pipe surface of CIPP after installation shall be a light reflective color so that a clear detailed examination with closed circuit television inspection equipment may be made. The hue of the color shall be dark enough to distinguish a contrast between the fully

resin saturated felt fabric and dry or resin lean areas.

- G. Seams in the fabric tube, if applicable, shall meet the requirements of ASTM D5813.
- H. The outside of the fabric tube shall be marked a maximum of every 5 feet with the name of the manufacturer or CIPP system, manufacturing lot and production footage.
- I. The minimum length of the fabric tube shall be that deemed necessary by the installer to effectively span the distance from the starting manhole to the terminating manhole or access point, plus that amount required to run-in and run-out for the installation process.
- J. The nominal fabric tube wall thickness shall be constructed, as a minimum, to the nearest 0.5 mm increment, rounded up from the design thickness for that section of installed CIPP. Wall thickness transitions, in 0.5 mm increments or greater as appropriate, may be fabricated into the fabric tube between installation entrance and exit access points. The quantity of resin used in the impregnation shall be sufficient to fill all of the felt voids for the nominal felt thickness.

2.3 RESIN

- A. The resin shall be a corrosion resistant polyester or vinyl ester resin and catalyst system or epoxy and hardener system that, when properly cured within the tube composite, meets the requirements of ASTM F1216, ASTM F1743 or F2019 and ASTM D5813, the physical properties herein, and those which are to be utilized in the design of the CIPP for this project. The resin, specified for the specific application defined in the contract documents, shall produce CIPP which will comply with or exceed the structural and chemical resistance requirements of this specification.
- B. The resin to tube ratio, by volume, shall be furnished as recommended by the manufacturer.

2.4 STRUCTURAL REQUIREMENTS

- A. The physical properties and characteristics of the finished CIPP will vary considerably, depending on the types and mixing proportions of the materials used and the degree of cure executed. It shall be the responsibility of the Contractor to control these variables and to provide a CIPP system which meets or exceeds the minimum properties specified herein.
- B. The CIPP shall be designed as per ASTM F1216 Appendixes. The CIPP design shall assume no bonding to the original pipe wall.
- C. The long-term (50 year extrapolated) Creep Retention Factor shall be 50% of the initial design flexural modulus as determined by ASTM D790 test method. This value shall be used unless the Contractor submits long-term test data (ASTM D2990) to substantiate a higher retention factor.
- D. The cured pipe material (CIPP) shall, at a minimum, meet or exceed the structural properties, as listed below.

PROPERTY	TEST METHOD	CURED COMPOSITE PER ASTM F1216	CURED COMPOSITE PER DESIGN
FLEXURAL MODULUS OF ELASTICITY (SHORT-TERM) FELT TUBES. FELT/FIBERGLASS, FIBERGLASS AS RECOMMENDED BY THE MANUFACTURER	ASTM D790	250,000 PSI	CONTRACTOR VALUE
FLEXURAL STRENGTH (SHORT- TERM) FELT TUBES. FELT/FIBERGLASS, FIBERGLASS AS RECOMMENDED BY THE MANUFACTURER	ASTM D790	4,500 PSI	CONTRACTOR VALUE

2.5 MINIMUM PHYSICAL PROPERTIES

A. The required structural CIPP wall thickness shall be based, as a minimum, on the physical properties of the cured composite and per the design of the Professional Engineer (see section 1.3.G) and in accordance with the Design Equations contained in the appendix of ASTM F1216, and the following design parameters:

Design Safety Factor	2.0 (1.5 for pipes 36" or larger, if applicable)
Creep Retention Factor	50%
Ovality	2% or as measured by field inspection
Constrained Soil Modulus	Per AASHTO LRFD Section 12 and AWWA
	Manual M45
Groundwater Depth	As specified or indicated on the Plans
Soil Depth (above the crown)	As specified or indicated on the Plans
Live Load	Highway, railroad or airport as applicable
Soil Load (assumed)	120 lb./cu. ft.
Minimum Service Life	50 years

- B. The Contractor shall submit, prior to installation of the lining materials, certification of compliance with these specifications and/or the requirements of the pre-approved CIPP system. Certified material test results shall be included that confirm that all materials conform to this specification and/or the pre-approved system. Materials not complying with these requirements will be rejected.
- C. The design soil modulus may be adjusted based on data, determined from detailed project soil testing results, as provided by the Owner in the contract documents.

PART 3 INSTALLATION

3.1 CONSTRUCTION REQUIREMENTS

A. Preparation, cleaning, inspection, flow bypassing and public notification. The Contractor shall clean the interior of the existing host pipe prior to installation of the liner. All debris and obstructions that will affect the installation and the final CIPP product delivery to the Owner shall be removed and disposed of.

- B. The liner shall be constructed of materials and methods that, when installed, shall provide a jointless and continuous structurally sound CIPP able to withstand all imposed static, and dynamic loads on a long- term basis.
- C. The Contractor may, under the direction of the Owner, utilize any of the existing manholes in the project area as installation access points. If a street must be closed to traffic because of the location of the sewer, the Contractor shall furnish a detailed traffic control plan and all labor and equipment necessary. The plan shall be in conformance with the requirements of the local agency having jurisdiction over traffic control.
- D. Cleaning of Pipe Lines Before ordering liner materials for the project, the Contractor shall remove all internal debris from the pipe line that will interfere with the installation and the final product delivery of the CIPP, as required in these specifications, and accurately measure and document the exact size of the existing pipeline to be rehabilitated. Solid debris and deposits shall be removed from the system and disposed of properly by the Contractor. Moving material from access point to access point shall not be allowed. As applicable, the Contractor shall either plug or install a flow bypass pumping system to properly clean the pipe lines. Precaution shall be taken by the Contractor in the use of cleaning equipment to avoid damage to the existing pipe. The repair of any damage, caused by the cleaning equipment, shall be the responsibility of the Contractor. The Owner will designate a site for the disposal of all debris removed from the Owner's sewer system as a direct result of the cleaning operation. Unless otherwise specified by the Owner, the Contractor shall dispose of all debris at no charge. Should any dumping fees apply, the Contractor shall be compensated at the respective unit price bid in the Proposal for cleaning.
- E. Contractor shall perform post-cleaning video inspections of the pipelines. Only PACP certified personnel trained in locating breaks, obstacles and service connections by closed circuit television shall perform the inspection. The Contractor shall provide the Owner a copy of the pre-cleaning and post-cleaning video and suitable log, and/or in digital format, for review prior to installation of the CIPP and for later reference by the Owner.
- F. Line Obstructions It shall be the responsibility of the Contractor to clear the line of obstructions that will interfere with the installation and long-term performance of the CIPP. If pre-installation inspection reveals an obstruction, misalignment, broken or collapsed section or sag that was not identified as part of the original scope of work and will prohibit proper installation of the CIPP, the Contractor may be directed by the Owner to correct the problem(s) prior to installation by utilizing open cut repair methods. The Contractor shall be compensated for this work under a contingency pay item designated for open cut point repairs. Removal of any previously unknown obstructions shall be considered as a changed condition. The cost of removal of obstructions that appeared on pre-bid video documentation and made available to the Contractor, prior to the bid opening, shall be compensated for on a unit price basis in accordance with the contract documents.
- G. The Contractor shall be allowed use water from an owner-approved hydrant in the project vicinity. Use of an approved double check backflow assembly shall be required. Contractor shall provide his own approved assembly. Contractor shall pay current market price for all water usage.

3.2 INSTALLATION OF LINER

- A. The liner shall be installed and cured in the host pipe per the manufacturer's specifications as described and submitted in the PWS.
- B. CIPP installation shall be in accordance with the applicable ASTM standards as modified in this

section 3.2.

- C. If significant groundwater infiltration is present in the existing sewer such as heavy runners and gushers, the Contractor shall install a pre-liner tube or perform chemical grouting to control resin loss and contamination, maintain CIPP thickness, prevent physical property reduction and prevent inadequate curing of the liner resulting from water or other contamination of the resin during installation. The pre-liner tube shall be a reinforced plastic tube to fit the existing pipeline and shall be continuous from manhole (access) to manhole (access).
- D. The wet-out tube shall be positioned in the pipeline using the method specified by the manufacturer. Care should be exercised not to damage the tube as a result of installation. The liner should be pulled- in or inverted through an existing manhole or approved access point and fully extend to the next designated manhole or termination point.
- E. Prior to installation and as recommended by the manufacturer, remote temperature gauges or sensors shall be placed inside the host pipe to monitor the temperatures during the cure cycle. Liner and/or host pipe interface temperature shall be monitored and logged during curing of the liner.
- F. To monitor the temperature of the liner wall and to verify correct curing, where specified by the contract documents, temperature monitors can be placed between the host pipe and the liner in the bottom of the host pipe (invert) at manholes or access points and/or throughout its entire length (continuous) to monitor the temperature on the outside of the liner during the curing process. For continuous temperature monitoring, a fiber optic cable is installed in the pipe invert prior to the liner installation. The fiber optic cable is monitored by a computer that is capable of recording temperatures at the interface of the liner and the host pipe continuously in time and location throughout the entire pipeline being rehabilitated.
- G. Curing shall be accomplished by utilizing the appropriate medium or ultraviolet light in accordance with the manufacturer's recommended cure procedure and/or schedule. The curing source or in and output temperatures shall be monitored and logged during the cure cycles, if applicable. The manufacturer's recommended cure method & schedule shall be used for each line segment installed, and the liner wall thickness and the existing ground conditions with regard to temperature, moisture level, and thermal conductivity of soil, per ASTM as applicable, shall be taken into account by the Contractor.
- H. For heat cured liners, if any temperature sensor, or continuous sensor location does not reach the temperature as specified by the manufacturer to achieve proper curing or cooling, the installer can make necessary adjustments to comply with the manufacturer's recommendations. For continuous temperature monitoring, the system computer should have an output report that specifically identifies stations along the length of pipe, indicates the maximum temperature achieved and the sustained temperature time at the stations. At each station along the length of the pipe, the computer should record both the maximum temperature and the minimum cool down temperature and comply with the manufacturer's recommendations.
- I. For UV Cured Liners, all light train sensor readings, recorded by the tamper proof computer, shall provide output documenting the cure along the entire length of the installed liner. The cure procedure shall be in accordance with the manufacturer's recommendation as included in the PWS submission by the Contractor.

3.3 COOL DOWN

A. The Contractor shall cool the CIPP in accordance with the approved CIPP manufacturer's

recommendations as described and outlined in the PWS.

B. Temperatures and curing data shall be monitored and recorded, by the Contractor, throughout the installation process to ensure that each phase of the process is achieved as approved in accordance with the CIPP System manufacturer's recommendations.

3.4 FINISH

- A. The installed CIPP shall be continuous over the entire length of a sewer line section and be free from visual defects such as foreign inclusions, dry spots, pinholes, major wrinkles and delamination. The CIPP shall be impervious and free of any leakage through the CIPP wall.
- B. Any defect which will or could affect the structural integrity or strength of the CIPP shall be repaired at the Contractor's expense in accordance with the procedures submitted under Section 1.7 CIPP Repair/Replacement.
- C. The beginning and end of the CIPP shall be sealed to the existing host pipe. The sealing material shall be compatible with the pipe end and shall provide a watertight seal.
- D. Proper cool down of CIPP is important to help minimize CIPP shrinkage.
- E. If any of the service connections leak water between the host pipe and the installed CIPP, the connection mainline interface shall be sealed to provide a water tight connection.
- F. If the wall of the CIPP leaks, it shall be repaired or removed and replaced with a watertight pipe as recommended by the manufacturer of the CIPP system.
- G. Compensation shall be at the actual length of cured-in-place pipe installed. The length shall be measured from center of manhole to center of manhole. The unit price per linear foot installed shall include all materials, labor, equipment and supplies necessary for the complete CIPP installation. Compensation for service connection sealing and pipe sealing at the manhole/wall interface shall be at the unit price bid in the Proposal.

3.5 FLOWABLE FILL OF VOID AREAS

A. Where required by the Owner, the Contractor shall backfill voids that remain after installation of CIPP. The material shall be of the flowable fill type and shall be injected into the void while removing all trapped air from the void. The Contractor shall submit the proposed method of placing the flowable fill, including pressures that will not collapse the CIPP and air release method to be employed, to the Owner for review before any material is installed. The cost of this work shall be at the unit price bid for flowable fill complete and include all material, equipment and labor to complete the filling of the soil void.

3.6 MANHOLE CONNECTIONS AND RECONNECTIONS OF EXISTING SERVICES

- A. A seal, consisting of a resin mixture or hydrophilic seal compatible with the installed CIPP, shall be applied at manhole/wall interface in accordance with the CIPP System manufacturer's recommendations.
- B. Existing services shall be internally or externally reconnected unless indicated otherwise in the contract documents
- C. Reconnections of existing services shall be made after the CIPP has been installed, fully cured,

and cooled down. It is the Contractor's responsibility to make sure that all active service connections are reconnected.

- D. External reconnections are to be made with a tee fitting in accordance with CIPP System manufacturer's recommendations. Saddle connections shall be seated and sealed to the new CIPP using grout or resin compatible with the CIPP.
- E. A CCTV camera and remote cutting tool shall be used for internal reconnections. The machined opening shall be at least 90 percent of the service connection opening area and the bottom of both openings must match. The opening shall not be more than 100 percent of the service connection opening. The edges of the opening shall not have pipe fragments or CIPP fragments which may obstruct flow or snag debris. In all cases the invert of the sewer connection shall be cut flush with the invert entering the mainline.
- F. In the event that service reinstatements result in openings that are greater than 100 percent of the service connection opening, the Contractor shall install a CIPP type repair, sufficiently in size to completely cover the over-cut service connection. No additional compensation will be paid for the repair of over-cut service connections.
- G. Coupons of pipe material resulting from service tap cutting shall be collected at the next manhole downstream of the pipe rehabilitation operation prior to leaving the site. Coupons may not be allowed to pass through the system.
- H. Compensation shall be at the actual number of services reconnected using either internal or external means as contained in the Proposal. The unit price bid per service line reconnected shall be include all materials, labor, equipment and supplies necessary to complete the work as required in these specifications.

3.7 TESTING OF INSTALLED CIPP

- A. The Contractor shall provide samples for testing to the Owner from the actual installed CIPP. Samples shall be provided from each section of CIPP installed or as required by the Owner. The sample shall be cut from a section of cured CIPP that has been inverted or pulled through a like diameter pipe which has been held in place by a suitable heat sink, such as sandbags. All curing, cutting and identification of samples will be witnessed by the Owner and transmitted by the Owner's representative as specified, to the testing laboratory. The opening produced from the sample shall be repaired in accordance with manufacturer's recommended procedures.
- B. The laboratory results shall identify the test sample location as referenced to the nearest manhole and station. Final payment for the project shall be withheld pending receipt and approval of the test results. If properties tested do not meet the minimum physical and thickness requirements, the CIPP shall be repaired or replaced by the Contractor unless the actual physical properties and the thickness of the sample tested meet the design requirements as required in the contract.
- C. Chemical resistance The CIPP system installed shall meet the chemical resistance requirements of ASTM F1216 and ASTM D5813. CIPP samples tested shall be of the fabric tube and the specific resin proposed for actual construction. It is required that CIPP samples without plastic coating meet these chemical testing requirements. A certification may be submitted, by the Contractor, from the manufacturer verifying that the chemical resistance of the CIPP meets the contract requirements.
- D. Hydraulic Capacity Overall, the hydraulic capacity shall be maintained as large as possible. The installed CIPP shall, at a minimum, be equal to the full flow capacity of the original pipe before

rehabilitation. In those cases where full capacity cannot be achieved after CIPP installation, the Contractor shall submit a request to waive this requirement together with the reasons for the waiver request. Calculated capacities may be derived using a commonly accepted roughness coefficient for the existing pipe material taking into consideration its age and condition.

- E. The installed CIPP thickness shall be measured for each line section installed as per the ASTM requirements specified. If the CIPP thickness does not meet that specified in the contract and submitted as the approved design by the Contractor, then the CIPP shall be repaired or removed unless the tested physical properties and the thickness of the sample tested meet the design requirements as required in the contract. The CIPP thickness shall have tolerance of minus 5% plus 10%.
- F. All costs to the Contractor associated with providing cured CIPP samples for testing shall be included in the Lump Sum price bid for Mobilization. Payment for all testing by a laboratory will be paid for by the Owner directly to the laboratory.

3.8 FINAL ACCEPTANCE

- A. All CIPP sample testing and repairs to the installed CIPP, as applicable, shall be completed before final acceptance, meeting the requirements of these specifications and documented in written form.
- B. The Contractor shall perform a detailed closed-circuit television inspection, in accordance with ASTM standards, in the presence of the Owner after installation of the CIPP and reconnection of the side sewers. A radial view (pan and tilt) TV camera shall be used. The finished CIPP shall be continuous over the entire length of the installation and shall be free of significant visual defects, damage, deflection, holes, leaks and other defects. Unedited digital documentation of the inspection shall be provided to the Owner within ten (10) business days of the CIPP installation. The data shall note the inspection date, location of all reconnected side sewers, debris, as well as any defects in the CIPP, including, but not limited to, gouges, cracks, bumps, or bulges. If post installation inspection documentation is not submitted within ten (10) business days of the CIPP installation, the Owner may at its discretion suspend any further installation of CIPP until the post-installation documentation is submitted. As a result of this suspension, no additional working days will be added to the contract, nor will any adjustment be made for increase in cost. Immediately prior to conducting the closed-circuit television inspection, the Contractor shall thoroughly clean the newly installed CIPP removing all debris and build-up that may have accumulated at no additional cost to the Owner.
- C. Where leakage is observed through the wall of the pipe, the Contractor shall institute additional testing including, but not limited to, air testing, hydrostatic (exfiltration) testing, localized testing (such as a grout packer) or any other testing that will verify that the leakage rate of the installed CIPP does not exceed acceptable tolerances specified in the contract. As an alternative to further leakage testing, the contractor may choose to repair any observed leaks.

1.1 SUMMARY

- A. Section includes general requirements for plumbing piping and associated appurtenances as outlined in Section 22 05 00 and 22 30 00 including but not limited to:
 - 1. Materials and labor for the installation of all plumbing piping work related to the following and as described in the contract documents.

PART 2 PRODUCTS

2.1 GENERAL

A. Comply with "Quality Assurance" provisions, Specifications, and Manufacturers' Data. Where these may be in conflict, the more stringent requirements govern.

2.2 PIPE MATERIALS

A. Sanitary Drain, Waste and Vent: ABS

2.3 ACCEPTABLE MANUFACTURERS

- A. Pipe:
 - 1. ABS: Nibco, Harvel Plastics, IPEX, Charlotte.
- **B.** Fittings:
 - 1. ABS: Nibco, Harvel Plastics, IPEX, Charlotte.

2.4 ABS PIPING

- A. Schedule 40 IPS Pipe. Meet or exceed ASTM F441. Only where specifically called out on plans or by Owner direction/acceptance.
- H. Fitting shall be of same material and meet or exceed ASTM F437 for threaded fittings or ASTM F439 for socket fittings.
- (`. Solvents, Compounds, glues, adhesives, fire stopping, caulk, etc. shall be approved for use with the pipe per written manufacturer's instructions to prevent the use of incompatible chemical compounds which may deteriorate the structural integrity of the pipe.

PART 3 EXECUTION

3.1 GENERAL

- A. Verify installation conditions as satisfactory to receive work of this Section. Do not install until any unsatisfactory conditions are corrected. Beginning work constitutes acceptance of conditions as satisfactory.
- H. Refer to section 22 30 00, Part 3, for all requirements.

1.1 SUMMARY

A. Section includes general requirements for plumbing piping and associated appurtenances, including materials and labor for the installation of all plumbing piping work related to the following and as described in the contract documents.

1.2 SUBMITTALS

- A. Submit the following:
 - 1. Trap Primers
 - 2. Submit coordinated plumbing piping shop drawings, prepared on the architectural background. Shop drawings shall indicate the location of:
 - a. Pipes and Fittings
 - b. Plumbing Fixtures and Equipment
 - 3. Product Data:
 - a. Pipe and Fittings
 - b. Gaskets
 - c. Sleeves
 - d. Seals
 - e. Unions
 - f. Pipe, Hangers and Support
 - g. Accessories

1.3 OUALITY ASSURANCE

- A. Regulatory Requirements: Comply with all applicable City, County, and State Codes and ordinances. In case of conflict with drawings or specifications, the codes and ordinances govern.
- B. Basis: International Building Code; Uniform Plumbing Code; International Mechanical Code.
- C. Standards: Ratings per Hydraulic Institute.

1.4 STANDARDS

- A. The most recent edition of the following is hereby referenced.
- B. American National Standards Institute, Inc. (ANSI):
 - B1.1 Unified Screw Threads
 - B2.1 Pipe Threads (Except Dry Seal)
 - B16.1 Cast-Iron Pipe Flanges & Flanged Fittings, 125, and 250 psi
 - B16.3 Malleable Iron Threaded Fittings, Class 150 and 300 Pound
 - B16.5 Steel Pipe Flanges, Flanged Valves and Fittings Including Ratings for Class 150.
 - B16.18 Cast Bronze Solder Joint Fitting
 - B16.22 Wrought Copper and Bronze Solder-Joint Pressure Fittings
 - B18.2.1 Square Hex Bolts, Screws, Including Askey
- C. American Society for Testing and Materials (ASTM):
 - A53 Pipe, Steel, Black and Hot-Dipped, Zinc- Coated, Welded, and Seamless
 - A120 Pipe, Steel, Black and Hot-Dipped, Zinc-Coated (Galvanized), Welded and Seamless, for Ordinary Uses
 - A47 Malleable Iron Castings
 - A536 Ductile Iron Castings
 - A183 Heat-Treated, Carbon-Steel Track Bolts and Carbon-Steel Nuts
 - A126 Gray Iron Castings for Valves, Flanges, and Pipe Fittings
 - A181 Forgings, Carbon Steel for General Purpose Piping
 - A307 Carbon Steel Externally-Threaded Standard Fasteners
 - A36 Structural Steel
 - B32 Solder Metal

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SECTION 223000 PLUMBING EQUIPMENT

- B61 Seam or Valve Bronze Casting
- B62 Composition Bronze or Ounce-Metal Castings
- B88 Seamless Copper Water Tube
- D. Federal Specification (Fed. Spec.):

WW-H-171D Hangers and Supports, Pipe

E. Manufacturers' Standardization Society (MSS):SP-69 Pipe Hangers and Supports - Selection and Application

PART 2 PRODUCTS

2.1 GENERAL

- A. Comply with "Quality Assurance" provisions, Specifications, and Manufacturers' Data. Where these may be in conflict, the more stringent requirements govern.
- B. Pressure Ratings: Provide all components with minimum pressure rating of 150 psig working pressure.

2.2 SLEEVES

- A. Footing Encasement Sleeve: Schedule 80 PVC sleeve.
- B. Floor and Wall Sleeve: Schedule 40 steel pipe.
- C. Underground walls, floors: Rubberized link assembly fitting in the wall/pipe annulus with compression/expansion adjusted by through bolts. Link Seal or accepted equal.

2.3 PIPE HANGERS AND SUPPORTS

- A. Description: Provide in accordance with Federal Specification WW-H-171D and MSS SP-69.
- B. Acceptable Manufacturers: ITT-Grinnell, Fee & Mason, Elcen, Unistrut, Powerstrut, Superstrut, or accepted.

C. Materials:

- 1. Match piping material at point of contact with piping; carbon steel, cast iron or malleable iron for black steel pipe; carbon steel, iron or malleable with zinc coating or cadmium plated for galvanized steel pipe; carbon steel or malleable iron with copper finish or PVC plastic coated for copper pipe or plastic pipe.
- 2. Rods: Hot rolled steel, ASTM A36. Size in conformance with the following:

Rod Diameter	Pipe Size	Load at 650F
(Inches)	(Inches)	(Pounds)
3/8	2 and smaller	610
1/2	2-1/2 and 3-1/2	1,130
5/8	4 and 5	1,810
3/4	6	2,710
7/8	8 to 12	3,770

D. Components:

- 1. Ring Hangers:
 - a. 2" and smaller: Adjustable swivel type, Grinnell 97 or 104.
 - b. 2-1/2" and larger: Adjustable split-ring swivel type, Grinnell Figure 104.
- 2. Clevis Hangers: Grinnell Figure 260.
- 3. Trapeze Hangers and Multiple Pipe Supports: Structural steel shapes in conformance with all codes and standards, supported by rods or structural steel shapes as required.
- 4. Horizontal Pipes at Walls:
 - a. 2-1/2" and smaller: Malleable-iron, one-hole clamp, Grinnell 126.

- b. 3" and larger: Welded-steel bracket, Grinnell Figure 213, 194, 195, 199, used in conjunction with ring or clevis hangers.
- 5. Vertical Pipes at Walls:
 - a. 1" and smaller: Galvanized steel pre-formed metal shapes, Unistrut P1100 Series with P2024 clamps for O.D. tubing and P2909 clamps for pipe.
 - b. 2-1/2" and smaller: Galvanized steel pre-formed metal shapes, Unistrut P1100 Series with P2558 pipe straps.
 - c. 3" and larger: Welded-steel brackets as specified for horizontal pipes at walls, connected to Grinnell Figure 212 pipe clamp with Figure 110R eye socket.
- 6. Insulation Protection: Coordinate with insulation subcontractor.

PART 3 EXECUTION

3.1 GENERAL

- A. Verify installation conditions as satisfactory to receive work of this Section. Do not install until any unsatisfactory conditions are corrected. Beginning work constitutes acceptance of conditions as satisfactory.
- B. Trap Primers and connecting water supply piping are not shown, provide, install and place into permanent operation all traps, interconnecting supply piping, primers and accessories for all floor drainage traps.
- C. Provide operation and maintenance manuals per 01 78 23.

3.2 PREPARATION

- A. Field Measurements: Field verify locations of new and existing work prior to commencing work of this Section.
- B. Protect surrounding areas and surfaces to preclude damage from work of this Section.

3.3 INSTALLATION, APPLICATION, ERECTION AND PERFORMANCE

- A. Install, apply, erect, and perform the work in accordance with "Quality Assurance" provisions, Specifications, and manufacturers' written installation instructions and directions. Where these may be in conflict, the more stringent requirements govern.
- B. Provide thrust bracing of all ells and tees on the following piping systems 3" and larger in size:
 - 1. Thrust bracing shall be affixed to fittings with metallic clamp devices with minimum 1-1/4" schedule 40 pipe struts. Paint all metallic parts for corrosion protection. Meet requirement of NFPA-13 for fire sprinkler piping thrust bracing.

3.4 INSTALLATION OF PIPING

A. General: Install pipe generally sloped to permit drainage at all low points, free from traps, and in a manner to conserve space for other work; cap or plug all open ends.

B. Location of Piping:

- Piping plans, sections, details and diagrams are diagrammatic indicating general arrangement of piping
 installation. Locate piping to avoid interference with building structural members, equipment, building
 openings, light fixtures, ductwork, electrical work, and other obstructions. Provide offsets as required.
- 2. Arrange piping to allow access for operation, service, disconnection, and removal and replacement of valves, fixtures and equipment.
- 3. In general, maintain the maximum possible headroom in ways of egress, including pedestrian walkways and maintenance aisles, maintain a headroom of 7' 2" from the floor to the bottom of any component.
- 4. Route piping parallel to column lines and perpendicular to floor unless indicated otherwise.

1.1 SUMMARY

A. Section includes general requirements for plumbing piping and associated appurtenances, including materials and labor for the installation of all plumbing piping work related to the following and as described in the contract documents.

1.2 SUBMITTALS

A. Provide shop drawings for plumbing work. Include all pipe, fixtures, and access doors required for service of equipment in this section.

1.3 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with all applicable City, County, and State Codes and ordinances. In case of conflict with drawings or specifications, the codes and ordinances govern.
- B. Basis: International Building Code; Uniform Plumbing Code; International Mechanical Code.
- C. Standards: Ratings per Hydraulic Institute.

PART 2 PRODUCTS

2.1 PLUMBING FIXTURES

A. Common and typical fixtures and accessories are specified herein. Refer also to the contract documents and Plumbing Fixture Schedule for descriptions of fixtures.

2.2 CLEANOUTS AND CLEANOUT ACCESS COVERS

- A. Provide caulked or threaded type extended to finished floor or wall surface. Insure ample clearance at cleanout for rodding of drainage system.
- B. Floor Cleanout Access Covers in unfinished and finished areas:
 - 1. Round with nickel bronze scored frames and plates. Provide round access covers in finished areas with depressed center section to accommodate floor finish. Wall cleanouts to have chrome plated caps.
- C. Floor (FCO):
 - 1. Bronze scoriated cover, Zurn Z-1400-2.
- D. Walls (WCO):
 - 1. Bronze plug with stainless steel access cover. Zurn Z-1440.
- E. Clean out (CO):
 - 1. Cast iron body with cast plug. Zurn or accepted equal.
- F. Grade Level (GCO):
 - 1. Cast iron with cover marked "C.O.". Olympic Foundry.

PART 3 EXECUTION

3.1 GENERAL

A. Verify installation conditions as satisfactory to receive work of this Section. Do not install until any unsatisfactory conditions are corrected. Beginning work constitutes acceptance of conditions as satisfactory.

1.1 SUMMARY

A. Section Includes:

- 1. Selective demolition of landscape and concrete paving.
- 2. Save and protect from harm any trees, plantings, features, or structures to remain. Provide TVSPP implementation plan and update TVSPP as construction conditions dictate.
- 3. Clear and grub areas of the site as required and designated.
- 4. Erosion and sedimentation control measures. Provide TESC plans and modifications.
- 5. Water quality monitoring for stormwater leaving the vertical construction site.

1.2 SUBMITTALS

A. Submit the following:

- 1. Surveyor's Certificate of Insurance.
- 2. Procedure and operational sequence for review and acceptance by the Owner. Include permits for transport and disposal of debris as required.
- 3. Existing Conditions: Documentation of existing trees and plantings, adjoining construction, and site improvements that establishes preconstruction conditions that might be misconstrued as damage caused by site clearing.
 - a. Use sufficiently detailed photographs or videotape.
 - b. Include plans and notations to indicate specific wounds and damage conditions of each tree or other plants designated to remain.
- 4. Prior to mobilization, submit for review a complete site access, staging, and stockpiling plan using a copy of the basic site layout plan. Identify all areas to be used for access, staging, and stockpiling throughout various phases of the construction sequence. Provide a legend or key as appropriate where phasing affects location.
- 5. Tree, Vegetation, and Soil Protection Plan (TVSPP) implementation plan.
- 6. Temporary Erosion and Sedimentation Control (TESC) plan as required to meet a typical National Pollutant Discharge Elimination System (NPDES) permit during vertical construction.
- 7. Stormwater Pollution Prevention Plan (SWPPP).
- 8. Record Drawings in accordance with Section 01 78 39 identifying and accurately locating capped utilities and other subsurface structural, electrical and mechanical conditions.

B. Coordination and Review

1. The TVSPP, SWPPP, and TESC implementation plans shall be submitted for review by the Owner. Updates, phasing and locations shall be coordinated between all plans. The Contractor shall allow five (5) working days for review of the TVSPP and TESC in accordance with Section 01 33 00.

1.3 EXISTING CONDITIONS

A. Protection of existing improvements:

- 1. Provide, erect, and maintain barricades, coverings, or other types of protection necessary to prevent damage to existing improvements.
- 2. Restore existing on or off-site improvements damaged by this work to their original condition, as acceptable to Owner. Restoration includes but is not limited to landscaping, pavement, walks, structures, and fences.
- 3. Restore to original grades and conditions, areas adjacent to site disturbed or damaged as a result of site preparation and demolition work.

- B. Contact all necessary utility purveyors including the AHJ to coordinate utility shutoffs.
- C. Do not shut off utilities without prior notice. Site utilities shall remain in service unless otherwise directed. Coordinate work with Division 01 requirements.
- D. Objectionable Noises: Limit use of air hammers and other noisy equipment. Conform to local governing requirements regarding Noise Control.
- E. Maintain vehicular and pedestrian traffic routes:
 - 1. Ensure minimum interference with roads, streets, alleys, sidewalks, and adjacent facilities for all areas of the park not under construction.
 - 2. Do not close or obstruct streets, fire lanes, sidewalks, alleys, or passageways without permission from authorities having jurisdiction.
 - 3. If required by governing authorities, provide alternate routes around closed or obstructed traffic ways.
- F. Verify location and elevation of existing utilities sufficiently in advance of construction to allow for coordination and mitigation of conflicts without down time or project delays.

1.4 TREE, VEGETATION, AND SOIL PROTECTION PLAN

- A. The submittals shall include an implementation plan for the specified Tree, Vegetation, and Soil Protection Plan (TVSPP) in the Contract Documents.
- B. The Contractor shall implement, maintain, and regularly update the Project Site specific TVSPP including all materials, equipment, and labor to be used, for the duration of the Contract. The TVSPP in the Contract Documents shows the expected location of BMPs related to the protection of existing (not designated for removal) and new, trees (including roots), vegetation, and soil. The Contractor shall update the TVSPP as necessary throughout construction.
- C. Locations of "specific protective measures" to ensure preservation of trees, vegetation, and soil within the tree dripline or within the defined areas are required for the following:
 - 1. All areas identified as "Do Not Disturb" by the Drawings and at locations identified by the Owner at the time of field review of the TVSPP implementation plan.
 - 2. Trees identified by the note "Save and Protect Ex Tree to Remain" on the Drawings, or if not identified on the Drawings, all trees with work within the dripline the tree; and at locations identified by the Owner at the time of field review of the TVSPP implementation plan.
 - 3. Vegetation identified by the note "Protect Landscaping", "Protect Vegetation" "Protect Shrub", etc. on the Drawings, or if not identified on the Drawings, all vegetation at locations identified by the Owner at the time of field review of the TVSPP implementation plan.
 - 4. Roots identified by the note "Protect Roots" on the Drawings, or if not identified on the Drawings, all roots visible or obvious within the dripline of a tree; and at locations identified by the Owner at the time of field review of the TVSPP implementation plan.
 - 5. Roots within the dripline of a tree encountered during excavation except when within roadway surfacing section, driveway surfacing section, sidewalk surfacing section, utility prisms, and within 1-foot of improvement structures geometrics (such as retaining walls, bridge abutments, pole foundations, etc.). A utility prism is the volume centered about the utility equal to the trench width in both vertical and horizontal dimensions. Utility prisms are not defined for conduit.
 - 6. Other areas to be protected as determined by the Contractor and shown in the Contractor's TVSPP implementation plan.
- D. The TVSPP implementation plan shall address the following "specific protective measures"

unless otherwise approved by the Owner and City inspector in writing:

- 1. For subparagraphs 1.5.C.1 through 1.5.C.6 above: if the duration of construction operations at the affected location is less than or equal to 30 Calendar Days, a four (4) foot—six (6)-inch high PVC pipe frame with orange safety fencing attached on all sides shall be used around the perimeter unless otherwise approved per the City inspector. If duration of construction operations at the affected location is greater than 30 Calendar Days, a four (4)-foot—six (6)-inch to six (6)-foot high chain link fence shall be used about the perimeter unless otherwise approved per the City inspector.
- 2. For subparagraph 1.5.C.4 above; apply a 6-inch layer of Arborist Wood Chip Mulch (AWCM) to retain moisture, control erosion and protect surface roots.
- 3. For subparagraph 1.5.C.5 above; apply AWCM and/or burlap watered daily to ensure constant hydration of roots during period of exposure.
- 4. For subparagraphs 1.5.C.4 and 1.5.C.5 above, apply a minimum 1" steel plate or 4" thick timber planking over 2-3" of AWCM, or minimum ¾" plywood over 6 to 8 inches of AWCM, to protect surface or exposed roots from compaction related to construction operations. Only required where construction operations require encroachment onto unpaved surfaces. Unpaved surfaces exclude temporally unpaved surfaces such as the subgrade for prescribed work where compaction is required.
- 5. For subparagraph 1.5.C.5 above, excavation or portions thereof shall be exploratory to minimize damage to roots. The TVSPP implementation plan shall address the method of exploratory excavation to expose roots within specified locations (i.e. by air spade, hand digging, etc.), by which all roots 2" and larger shall be retained and protected if encountered. Where roots 2-inch diameter and larger are discovered, the Contractor shall promptly notify the Owner.
- 6. For subparagraphs 1.5.C.1 through 1.5.C.6 above; no storage of equipment or material shall be allowed within the dripline of a tree unless "specific protective measures" per the TVSPP implementation plan approved by the Owner and City inspector are in place.
- E. The TVSPP implementation plan shall also address the following general protective measures unless otherwise approved by the Owner and City inspector in writing:
 - 1. If canopy/clearance pruning is required, to achieve up to "standard vertical clearances" the Contractor shall notify the Owner at least fifteen (15) working days in advance to allow pruning by the Owner (on-site trees) or City (right of way trees). "Standard vertical clearances" shall be considered 14-feet for roadway, 10-feet for bicycle paths, 8-feet for sidewalks, and as specified in the Contract.
 - 2. Where pruning of canopy for construction clearance above "standard vertical clearances" is not allowed; temporary tie-up of low limbs or alternative construction methods shall be used.
 - 3. Where canopy/clearance pruning above "standard vertical clearances" is approved by the Owner, the Contractor shall be responsible for this pruning and all associated costs.
 - 4. If the Contractor performs canopy/clearance pruning, the Contractor shall provide credentials confirming current ISA certification of pruning technician and/or current certification of a tree care company performing the work. See Authority having Jurisdiction's specifications for pruning requirements. A canopy/clearance plan including credentials or certification may be submitted separate from the TVSPP implementation plan. A separate "TVSPP-canopy/clearance pruning" submittal shall be sent at least five (5) working days in advance. If pruning is performed at both the Owner's and Contractor's cost as described here, the cost will be prorated.
 - 5. Where construction activity involves the operation of equipment or redirection of traffic from established travel lanes within the dripline of tree, the Contractor shall depict these conditions on the TVSPP implementation plan.
 - 6. The removal of all protective measures installed over grass or groundcover and underlying soil proposed to be retained in an undisturbed condition in unpaved planting strips or open areas shall be completed in a timely manner to minimize impact to understory vegetation.

- 7. Soil management/protective measures shall include eradication of ivy and other invasive weed species detrimental to the preservation of trees, prior to placement of AWCM in all areas to be protected from disturbance.
- 8. Excavation or tunneling of any kind within the "Critical Root Zone" of a tree requires approval by the Owner and City inspector. The Contractor shall provide at least two (2) working days advance written notice for review and shall not proceed without approval from the Owner and City inspector.
- 9. Excavation or portions thereof excluded from "specific protective measures" but within the dripline of a tree shall be performed with care to minimize damage to roots. In all excavations, if roots 2" and larger are encountered, the excavation at the root location shall stop and the Contractor shall promptly notify the Owner.
- 10. Trees shall be protected from exhaust heat; exhaust deflection panels may be required on some equipment to prevent burning of foliage and branches of trees to be retained.
- F. Alteration of the TVSPP and protection measures shall be allowed only as deemed necessary by the Owner and/or City inspector. In order to accommodate actual construction details and to coordinate with the requirement of Seattle Standard Plans 132a and 132b, the fence at the dripline required on Seattle Standard Plan no. 133 may be moved to a location closer to the tree, if approved by the Owner and City inspector.
- G. The Contractor's schedule for installation of protective measures shall be shown in the Contractor's Critical Path Schedule and applicable weekly look-ahead schedules. The Owner's review of the TVSPP implementation plan shall involve a joint field review. Conflicts between protection measures and Work required under the Contract shall be brought to the attention of the Owner during the joint field review of TVSPP implementation plan. The TVSPP implementation plan shall be accepted prior to any mobilization.

1.5 EROSION AND SEDIMENTATION CONTROL

- A. The Contractor shall meet all National Pollutant Discharge Elimination System (NPDES) typical permit conditions for runoff leaving the site.
- B. The submittals shall include an implementation plan for the specified Temporary Erosion and Sedimentation Control (TESC) plan in the Contract Documents. The Contractor shall implement, maintain, and regularly update the Project Site specific TESC plan including all materials, equipment, and labor to be used, for the duration of the Contract. The Contractor shall update the TESC as necessary throughout construction.
- C. The Contractor shall prepare and submit a Stormwater Pollution Prevention Plan (SWPPP) before beginning construction and update this SWPPP as required by a typical NPDES permit for the life of the project. The SWPPP shall implement Best Management Practices (BMP's) to prevent erosion and sedimentation, and to identify, reduce, eliminate or prevent stormwater contamination and water pollution from construction activities. The SWPPP shall also include methods to prevent violations of surface and ground water quality and control peak volumetric flow rates and velocities of storm water discharge. The SWPPP shall contain a Spill Prevention Plan and be prepared in accordance with a typical NPDES permit requirements.
 - 1. The SWPPP shall include the wet weather work, as defined by the NPDES permit and shall be identified in the Contractor's construction schedule.
- D. Employ a Construction Site Certified Erosion and Sedimentation Lead (CESCL) to be responsible for inspecting, reporting, implementing and maintaining effective TESC to prevent violations of the NPDES Permit.
 - 1. The designated CESCL shall be certified in either the WSDOT Construction Site Erosion and

Sediment Control LEAD program or the Washington State Department of Ecology Trade Contractor Erosion and Spill Control Lead program. Named in writing on company letterhead include the designation letter and a copy of the designee's certification in the SWPPP submittal. Also, specifically confirm in the designation letter the CESCL availability and authority to ensure rapid and effective response to ESC and spill response problems during work hours and non- working hours, and any alternates.

- E. Designated CESCL shall be required to implement the following requirements in addition to any other requirements outlined in the NPDES permit:
 - 1. Attend the Preconstruction Meeting.
 - 2. Attend Weekly Construction Meetings.
 - 3. Report and log all non-soil pollution and spill events.
 - 4. Keep an inventory log of TESC measures on-site. The log shall be made available at all times to the Owner and the Department of Ecology. These reports shall also be made available to other contractors for coordination with their SWPPP and TESC efforts.
 - 5. Inspect the TESC measures daily, and ensure they are adequately constructed, protected, and maintained for continued proper functioning.
 - 6. Monitor, maintain, manage, and document the stormwater runoff at each intake and outfall locations continually.
 - 7. Review the competency of the ESC system by compiling and submitting written ESC reviews according to the following schedule:
 - a. As soon as possible (but no longer than 24 hours) after every significant storm. In general, a significant storm is one with more than 0.5 inches of rain in 24 hours or less, as reported by NOAA at the SeaTac station. Other indications that a storm is "significant" are if the sediment ponds or traps are filled with water, or if gullies form as a result of the runoff, or if the Construction Manager advises an onsite precipitation device has recorded rainfall of 0.5 inches or greater.
 - b. From May 1 to September 30 of each year, conduct and submit an ESC Review within not more than 30 calendar days of previous ESC Review.
 - c. From October 1 to April 30 of each year, conduct and submit an ESC Review on a regular weekly basis in addition to the ESC reviews that are triggered by storm events.
 - 8. For each CESCL Review, the CESCL shall:
 - a. Conduct and report sampling and testing of stormwater for turbidity, PH, phosphorus and petroleum products, and each location receiving stormwater from other Contractors to the vertical construction site and runoff leaving the vertical construction site.
 - b. Provide detailed written maintenance reports of all TESC measures, including any corrective actions needed.
 - c. Investigate and report on the root cause of any non-conforming discharge.
 - d. Select additional BMP's as may be needed to ensure compliance with jurisdictional and/or discharge requirements and direct the timely and proper installation. Prepare reports with name, title and signature of the person conducting the site inspections, and include the following statement; "I certify that this report is true, accurate, and complete, to the best of my knowledge and belief."
 - 9. During storm events, the CESCL shall visually monitor intake and outfall stormwater discharge for sedimentation and turbidity. The CESCL shall be responsible for ensuring turbid water or sediment-laden runoff that leaves their limits of work and, or site is recorded and that this information is provided to the Construction Manager.
 - 10. The CESCL shall administer the response to any spills or leaks of hazardous material, including preparing and submitting documentation of each event within 8 hours of occurrence, and shall provide written verification of steps taken to resolve each event.
 - 11. The CESCL shall prepare and maintain a bound "log book" of erosion control BMP implementation, maintenance, inspection and monitoring as required by the NPDES permit. The logbook and all SWPP updates shall be provided to the Owner at project closeout.

- F. At the inflows to the vertical site and outfalls of the vertical site, the Contractor shall test and monitor the following:
 - 1. Turbidity, Rainfall, pH, Phosphorous, and petroleum products.
 - 2. Test and monitor at the areas shown on the plans or designated areas by the Owner.
 - 3. Testing shall be done at minimum once a day, for every day construction water is being discharged, and within 2 hours of a rainfall. This testing frequency may exceed the requirements of the permit. When this occurs, the more frequent testing shall prevail.
 - 4. Provide the testing and monitoring data to the Owner to verify compliance or assess any required payment of fees.
- G. The Contractor is responsible to maintain their construction site such that stormwater runoff leaving the Contractors site does not cause an increase in turbidity, pH, and Phosphorous in accordance with a typical NPDES permit and the Contractor's SWPPP.
- H. Contractor shall maintain any existing TESC and install any additional erosion control measures shown on the TESC plans and modify these measures as necessary to accomplish their work and comply with NPDES, SWPPP, and King County Surface Water Design Manual.
- I. The Contractor shall employ best management practices to modify, monitor, and maintain erosion control on his site. These BMPs shall include, but shall not be limited to;
 - 1. Cover measures, including plastic sheeting placed over stock piled soil at the end of each day October 1 to May 1, and when rain is forecast May 2 through September 30.
 - 2. Site access shall be maintained such that no soil will be tracked on to paved roads.
 - 3. Protect all catch basins on or adjacent to the site with silt sack liners.
 - 4. In the event that soil is tracked onto paved surfaces, a vactor-sweeper shall be employed to clean and remove the soil from the paving.
 - 5. Contractor shall police all subs and workers to prevent vehicles from driving or parking on soil and shall keep vehicles on paved or graveled surfaces.
 - 6. No excavation may be performed during moderate to heavy rainfall. All soil disturbing work shall be limited such that stormwater runoff does not cause active erosion into stormwater facilities. Should stormwater become turbid the Contractor shall employ measures to prevent the turbid water from entering the storm system.
- J. The Contractor shall verify adequacy of the site construction water quality control, as it relates to their limits of work, and monitor the stormwater runoff leaving their construction site.
- K. Discharge shall not cause or contribute to a violation of surface water quality standards (Chapter 171- 201A WAC), ground water quality standards (Chapter 173-200 WAC), sediment management standards (Chapter 173-204 WAC), and human health-based criteria in the National Toxics Rule (40 CFR Part 131 36) Discharges that are not in compliance with these standards are not authorized.
- L. Contractor shall assume historically reasonably (average) weather patterns would prevail during the duration of this contract, as benchmarked by the Western Regional Climate Center data (www.wrcc.dri.edu) for location (457473) Seattle Tacoma WSCMO AP, Washington.
- M. Should the actual weather patterns have statistically significant deviations from the average recordings of 1931-2008, the Contractor may be entitled to an equitable adjustment in time, but in no case, shall the Contractor receive an increase in their Contract amount.

1.6 QUALITY ASSURANCE

- A. Maintain at least one copy of the WSDOT Standard Specifications for Road, Bridge, and Municipal Construction, 2016 edition, and project plans and specifications onsite.
- B. Field inspection and testing will be performed under provisions of Division 01 Section 014000.
- C. Field inspection of Erosion Sediment Control measures will be performed by the Contractor as required by AHJ.
- D. Tests and analysis of aggregate material will be performed in accordance with ANSI/ASTM D1557, ASTM D2922, ASTM D3017, ASTM D4318, and ASTM C136, as applicable.
- E. If tests indicate materials do not meet specified requirements, change material and retest or obtain written approval of the Owner. Costs associated with the retesting of materials will be the responsibility of the Contractor.

PART 2 PRODUCTS

2.1 CONSTRUCTION FENCING

- A. Provide galvanized chain link fencing a minimum of 6 feet high.
- B. Posts shall be minimum 1 5/8" diameter galvanized steel pipe. At contractor's option, Schedule 40 galvanized steel pipes may be driven into ground where fencing is placed on pervious and/or uneven surfaces and a continuous roll of fence fabric may be used; use minimum 9-guage aluminum wire ties to attach fabric to posts. On hard surfaces or where fences will be moved frequently, use fence panels supported with concrete blocks (min 80lbs each) and strapped together with saddle clamps. Fence panels shall have top and bottom rails.
- C. Provide interwoven 2" by 2" diamond mesh steel wire fabric, 11-gauge minimum chain link. Knuckled or twisted selvage is acceptable. Barbed wire is not allowed.
- D. Provide prefabricated fence panel gates of an appropriate size and location for Contractor's operations. Gates shall be double-padlocked. Owner will provide 1 lock keyed for City personnel for each entry, and Contractor will provide a lock for Contractor and Subcontractor entry at each gate. Hinged sides of each gate panel shall include double bracketing.
- E. Bracing: Provide additional panels or outriggers as necessary to provide a rigid, stable run of fence.
- F. Provide warning signage every 50 LF of fence running line. Signage shall be a minimum of 18 inches square, brightly colored, with contrasting lettering as follows: "WARNING CONSTRUCTION KEEP OUT."
- G. Where approved, Temporary PVC Fencing may be used in low-security, short term applications. Provide 4-ft wide rolls of orange PVC web fencing, 6-ft lengths of #5 deformed steel reinforcing bar for posts, and safety caps for the #5 reinforcing bar.

2.2 TEMPORARY TREE PROTECTION FENCING

A. Temporary tree protection fencing shall be comprised of the following:

- 1. Fencing:
 - a. Use chain link fence only for on-site trees to be protected.
 - b. Chain link fence materials including footings, posts, braces, and mesh to be used to form a 6 foot high enclosure.
 - c. Posts: 1-1/2 inch steel pipe, minimum. Use with approval by the Owner in areas where fence must cross existing paved surface or as indicated on the Contract Documents.
 - d. Mesh: 2 inch by 2 inch, 11 gauge chain link fabric, minimum.

2.3 ARBORIST WOOD CHIP MULCH

- A. Arborist Wood Chip Mulch (AWCM) shall be coarse ground wood chips (approximately 1/2" to 6" along the longest dimension) derived from the mechanical grinding or shredding of the above-ground portions of trees. It may contain wood, wood fiber, bark, branches, and leaves, but may not contain visible amounts of soil. It shall be free of weeds and weed seeds, including but not limited to, plants on the King County Noxious Weed list available at:

 www.kingcounty.gov/weeds, and shall be free of invasive plant portions capable of re-sprouting, including but not limited to horsetail, ivy, clematis, knotweed, etc. It may not contain more than 1/2% by weight of manufactured inert material (plastic, concrete, ceramics, metal, etc.).
- B. Arborist Wood Chip Mulch, when tested, shall meet the following loose volume gradation:

Sieve Size	Percent Passing	
	Minimum	Maximum
2"	95	100
1"	70	100
5/8"	0	50
No. 4	0	30

- C. At the Owner's request, prior to delivery, the Contractor shall provide the following:
 - 1. The source of the product and species of trees included in it;
 - 2. A sieve analysis verifying the product meets the above size gradation requirement;
 - 3. A 5-gallon sample of the product, for the Owner's approval.
- D. The Owner may specify the following chipped woody materials, meeting the above size gradation, weed-free, and inert material requirements, as acceptable substitutes for Arborist Wood Chip Mulch:
 - 1. Chips derived from composting operation screening ("overs");
 - 2. Chips derived from whole tree grinding ("hog fuel");
 - 3. Chips derived from recycling of clean dimensional lumber (e.g. pallets or framing lumber) that has passed through a metal removal process to meet the 1/2% manufactured inert standard above.

PART 3 EXECUTION

3.1 GENERAL

A. Maintain a separate drawing to be stored on-site for identifying key utilities and controls. Identify and apply color-coded markings identifying shut-offs for domestic water, irrigation water, power, and gas. Identify sanitary sewerage, stormwater discharge, gas, fiber optics, and telephone (all as appropriate) lines, which are to be maintained in service during the work. Color-code emergency

contact information for each utility directly on the drawing.

- B. The Contractor shall verify the locations of existing utilities and discover possible additional utilities not shown so as to avoid damage or disturbance. The underground utility location service shall be contacted for field location prior to any construction. The Owner shall be contacted if a utility conflict exists.
- C. Provide temporary erosion and sedimentation control measures to prevent soil erosion and discharge of soil-bearing water runoff or airborne dust to adjacent properties and walkways, according to requirements of the AHJ.
- D. Protect from weather existing soils exposed by site clearing and demolition activities. Protect exposed soils from erosion by covering with straw mulch or plastic. Prevent disturbance from vehicular traffic. Coordinate with TESC requirements.
- E. The Contractor shall provide temporary barricades, barriers, guard railing, shoring etc. as necessary to protect personnel, structures, and utilities to remain intact during the operation of this contract. Conduct work in such a way to prevent damage to adjacent buildings, structures, other facilities and injury to persons.
- F. The Contractor shall clean adjacent structures and improvements of dust, dirt or debris caused by demolition and clearing operations. Any damage to existing facilities to remain or to improvements on adjacent properties shall be repaired, replaced and/or reconstructed by the Contractor at their expense to its original condition or better as directed by the Owner.
- G. Conduct site demolition and clearing in such a way to ensure minimum interference with roads and other adjacent properties. Do not close or obstruct streets or other occupied or used facilities without permission from authorities having jurisdiction.

3.2 CLEARING

- A. Specifically locate and establish clearing limits by physical means.
- B. Remove growth and underbrush within the property boundaries as required for new construction and as indicated. Perform removal operations in a manner to protect existing property, trees, and plants to remain.
- C. Save and protect trees indicated on plans to remain. Protect all off-site trees along adjacent roadways and on surrounding properties.
- D. Fill depressions caused by clearing and grubbing operations with appropriate fill material unless further excavation or earthwork is indicated.
- E. Dispose of clearing debris off site in a legal manner. Remove all debris and litter found onsite.

3.3 SITE REMOVALS GENERAL

- A. Remove and dispose of landscaping, topsoil, and other obstructions in areas to be cleared. Materials not designated for salvage shall be broken up, loaded, and legally disposed of by the Contractor. Care shall be taken removing items to ensure that damage does not occur to the existing trees and improvements which are to remain in place.
- B. Sprinkle excavated material and access roads as necessary to limit dust to the lowest practicable

level. Do not use water to such an extent as to cause flooding, contaminated runoff, or icing.

C. Explosive blasting is forbidden.

3.4 PAVEMENT DEMOLITION

- A. Identify and mark areas of existing pavement to be removed for approval of the Owner prior to commencing work.
- B. Provide a clean, sawn edge through a minimum of 2/3 of the thickness of the material to be removed to protect adjacent paving to remain. Use care to protect edge to remain as saw-cut edge will remain as a finished edge against new work. Completely remove paving, including base rock, to a total depth of 6".
- C. All concrete walk removals shall be saw-cut at the next adjacent joint. Adjacent pavement materials designated to remain that are damaged by the Contractor during the work shall be replaced at no additional cost to the Owner.

3.5 DISPOSAL OF MATERIALS

- A. The Contractor, in a manner consistent with all government regulations, shall dispose of the refuse resulting from demolition. In no case shall refuse material be left on the project site, or be buried in embankments or trenches on the project site. All effort shall be made to recycle materials whenever possible.
- B. Maintain hauling routes clean and free of any debris resulting from work of this Section.
- C. The Contractor shall submit to the Owner copies of trip tickets and receiver tickets for all material transported to approved landfills and/or recyclers.

3.6 CONSTRUCTION FENCING

- A. Contractor shall install construction fencing including gates as necessary to secure site. Fence shall be inspected and maintained on a regular basis. Secure the project site from trespass or unintentional entrance by unauthorized personnel.
- B. Contractor may work outside construction fence and/or provide additional construction fencing as required to construct improvements.
- C. All disturbed ground stockpiles, staging and on-site transport routes shall be fully enclosed by a perimeter security fence. Areas either under construction or completed but not specifically accepted by the Owner as Substantially Complete shall be completely enclosed. Areas included in the Contract but not yet under construction may be left open to public access at the discretion of the Owner.
- D. Temporary chain link fence panels shall be connected mechanically by means of prefabricated, bolted brackets manufactured specifically for the purpose. Fence panels shall not be wired together.
- E. Where long straight runs result in an unstable condition, sufficient out-rigging shall be incorporated to maintain fencing upright. Use only pre-manufactured outriggers or additional fence panels. Out-riggers shall be placed on the interior side of the fence unless approved by the Owner. Alternatively, and where appropriate, a "zig-zag" arrangement of panels for stability may

be used.

- F. Uneven Terrain: Where uneven terrain will not allow the use of pre-manufactured portable fence panels, or where otherwise directed by the Owner, drive posts directly into the earth plumb and 8 ft. on center along the approved alignment. It is the Contractor's responsibility to perform a complete locates for underground utilities in any area to receive driven posts. Drive posts to sufficient depth to assure stability and durability for the life of the installation, maintaining a minimum of 6 ft. above grade. Reset loose posts at the direction of the Owner. Secure chain link fabric to posts using approved wire ties within 6 inches of the top and bottom of each post, and a minimum of 18 inches on center between. Provide posts at each end of each driven post installation at a point that is sufficiently level to clamp prefabricated portable fence panels directly to the driven post installation.
- G. Where approved, temporary PVC Fencing may be installed in low-security, short term applications. Attach 4-ft wide rolls of orange PVC web fencing with wire ties to driven 6-ft #5 deformed steel reinforcing bar posts located at 5 ft. on center. Cap each reinforcing bar with a safety cap manufactured for the #5 reinforcing bar.

3.7 TREE AND PLANT PROTECTION

A. Installation:

1. Prior to any construction activity the Contractor shall install tree protection fencing in areas adjacent to work.

B. Repair and Replacement:

- 1. Trees not ordered or designated to be removed but that are destroyed or irreparably damaged by Contractor's operations as determined by the Owner, shall be repaired or replaced by the Contractor in accordance with the Owner's recommendations (at least 2 replacement trees for every 1 tree removed). Damaged or destroyed trees which cannot be replaced, shall be paid for at the rate of \$50.00 per square inch of cross-sectional area of the trunk, measured 3 feet above existing grade, for trees up to and including 6-inch caliper, and at the rate of \$100.00 per square inch of cross-sectional area for trees larger than 6-inches in caliper size. This amount shall be credited to the Owner.
 - a. Replacements shall be of the same species and as nearly as possible of the same size as the trees to be replaced (minimum of 2" caliper).
- C. If required, trimming or pruning of tree branches shall be executed by a qualified Arborist. Do not prune unless approved and directed by the Owner.

3.8 ARBORIST WOOD CHIP MULCH

- A. Wood chip mulch erosion control application shall be with a forced air mulch spreader, or by a delivery method that does not disturb the surface to be protected, and provide a 2-inch minimum thickness coverage. Where a forced air equipment mulch application is indicated as providing unacceptable results, the Contractor shall employ manual or other application methods such as hand spreading and raking.
- B. Should the wood chip mulch coverage expose at any time bare ground of more than 50% in any 100 square foot area, then the Contractor shall promptly re-mulch the exposed area to full coverage of the thickness required

3.9 EROSION SEDIMENT CONTROL

- A. Place temporary erosion controls during construction using filter fences, sediment traps, and other measures as shown on the plans. Satisfy applicable KCSWDM, NPDES, SWPPP, and King County Housing Authority requirements.
- B. Perform temporary erosion control during construction in accordance with King County Housing Authority, the Washington State Department of Ecology and NPDES standards, except that minimum provisions shall be no less stringent than these specifications. Perform soil stabilization and sediment trapping as follows:
 - 1. Stabilize exposed and disturbed soils, construction areas, and embankments by the suitable application of structural control measures.
 - 2. From October 1 to April 30, no soils shall remain un-stabilized for more than 2 days.
 - 3. From May 1 to September 30, no soils shall remain un-stabilized for more than 7 days.
 - 4. Stormwater runoff shall pass through the sediment pond and traps prior to leaving the site.
 - 5. Construct sediment traps, filter fabric fences, straw bale barriers, and other structural control measures intended to trap sediment on-site as a first step in grading. These structural control measures shall be functional before land disturbing activities take place.
- C. Maintain TESC measures so that they function properly:
 - 1. Inspect daily and record inspections results regularly.
 - 2. Monitor water quality to verify intake vs outfall increases.
 - 3. Report increases of the water quality to the Construction Manager.
 - 4. Immediately implement additional measures to reduce increases in tested up-stream levels of turbidity, PH, phosphorus, and petroleum products, to the stormwater quality at the outfall. If there are increases of the outfall vs intake water quality, the Contractor shall notify the PCM team leader then correct the actions/measures to eliminate increase. If clean-up is required the Contractor shall have the first opportunity to rectify. If the Contractor cannot clean-up the system to prior levels they are responsible for all clean-up costs incurred by the Owner.
- D. Update SWPPP.
- E. Implement seasonal updates to the TESC plan and facilities as field conditions dictate and/or on or before the deadlines for the AHJ SWM and NPDES.
- F. Construct cut and fill slopes in a manner that will minimize erosion.
- G. Protect waterways downstream from erosion due to increases in the volume, velocity and peak flow rate of stormwater runoff from the project site.
- H. Perform stabilization of temporary conveyance channels and outlets as follows:
 - 1. Design, construct, and stabilize temporary on-site conveyance channels to prevent erosion from the expected peak flow velocity from a 25-year, 24-hour frequency storm for a fully impervious condition within the tributary drainage area.
 - 2. Provide stabilization adequate to prevent erosion of outlets, adjacent stream banks, slopes, and downstream reaches at the outlets of all conveyance systems.
- I. Protect storm drain inlets made operable during construction so that stormwater runoff does not enter the conveyance system without first being filtered or otherwise treated to remove sediment. Except in time of emergency, earth dams are not acceptable at catch basin openings, local depressions, or elsewhere. See drawings for details and location of catch basin inserts.
- J. The construction of trenches for underground pipelines and utilities shall be subject to the

following criteria:

- 1. Where feasible, no more than 100 feet of trench shall be opened at one time.
- 2. Where consistent with safety and space considerations, excavated material shall be placed on the uphill side of trenches.
- 3. Trench dewatering devices shall discharge into selected outfalls directed to the regional pond facilities unless noted otherwise by the Owner.
- K. Take provisions to minimize the transport of sediment onto the paved roads wherever construction vehicle access routes intersect said roads. If sediment is transported onto a road surface, coordinate with Infrastructure contractor to thoroughly clean said surface at the end of each day. Remove sediment from roads by shoveling or sweeping, and transporting to a controlled sediment disposal area Street washing shall be allowed only after sediment is removed in this manner and if there is a threat to public safety.
- L. Remove TESC measures within 30 days after final site stabilization is achieved and subject to approval by the King County Inspector. Remove trapped sediment on-site. Permanently stabilize disturbed soil areas resulting from removal.
- M. Handle and dispose of pollutants, other than sediment, that occurs on-site during construction in a manner that does not cause contamination of stormwater.
- N. Maintain and repair temporary and permanent erosion and sediment control structural control measures, as needed, to ensure continued performance of their intended function. Conduct maintenance and repair in accordance with an approved plan.

3.10 REMOVAL OF TREE PROTECTION AND SECURITY FENCING

A. Remove Temporary Tree Protection and Security Fencing within 2 weeks of establishment of the Contract Work as Substantially Complete. The Owner reserves the right of transfer of any rental agreement or contract for Temporary Fence installations, with the cost of eventual removal to be borne by the Contractor upon transfer.

3.11 PROTECTION OF STOCKPILES

A. Protect stockpile areas from release of sediment. Cover stockpiles at all times while not in use to keep stored material dry. Surround materials stockpiled on pavement by temporary silt dikes or filter fence.

END OF SECTION

PART 1 GENERAL

1.1 SUMMARY

A. Section includes trenching including excavation, filling, grading, and excess material control.

1.2 SUBMITTALS

- A. Product Data: Submit manufacturer's product data and installation instructions for each material and product used.
- B. Material Test Reports: Certified test lab report of the sieve analysis of each aggregate product with classification according to ASTM D2487.

1.3 QUALITY ASSURANCE

A. Compaction:

- 1. Under structures, building slabs, steps, pavements, and walkways, 95 percent maximum density, ASTM D 1557.
- 2. Under lawns or unpaved areas, 90 percent maximum density, ASTM D 1557.

B. Grading Tolerances:

- 1. Lawns, unpaved areas, and walks, plus or minus 1 inch.
- 2. Pavements, plus or minus 1/2 inch.

C. Testing:

- The Owner will engage a qualified independent Geotechnical Engineer and/or testing agency
 to perform field quality-control testing including but not limited to, soil bearing verification,
 fill compaction testing and laboratory gradation and other index testing of on-site and
 imported materials for compliance with these specifications. Owner's Consultant to check
 compliance with these specifications. A copy of the test reports shall be furnished to the
 Owner.
- 2. If the material is found to be non-compliant with the Contract, the Contractor shall bear the cost of removal of all non-compliant materials from the project site, and replacement of the materials with materials meeting the requirements of the Contract and re-testing.
- 3. The Owner's Consultant will take samples and perform moisture content, gradation, compaction, and density tests during placement of backfill materials to check compliance with these specifications. The Contractor shall remove surface material at locations designated by the Owner's Consultant, and provide such assistance as necessary for sampling and testing. Testing by the Owner's Consultant does not relieve the Contractor of its responsibility to determine, to its own satisfaction, when and if their work meets the specifications. Tests will be made by the Owner's Consultant in accordance with the following:

a. Moisture Content ASTM D 3017

b. Gradation ASTM C 136 and ASTM D 422

c. Density In-Place ASTM D 6938d. Moisture Density Relationships ASTM D 1557

PART 2 PRODUCTS

2.1 MATERIALS

A. Earthwork:

- 1. Application: Provide trenching and backfill for mechanical and electrical work and utilities.
 - a. Structural Fill: Imported gravel borrow described in WSDOT section 9-03.14(1) with

- fines (U.S. No. 200 Sieve Size) less than 5% and capable of achieving required compaction.
- b. Structural Fill (Native Soils): On-site soils used during dry conditions, free of trash and debris, provided that the earthwork contractor can achieve the required compaction for its intended use. These on-site soils may only be used 5 feet outside the perimeter building foundation provided that compaction requirements are met.
- c. Non-Structural Fill (Native Soils): On-site soils used during dry conditions, free of trash and debris, provided that the earthwork contractor can achieve the required compaction for its intended use.
- d. Crushed Surfacing Base Course Shall conform to WSDOT Standard Specification 9-03.9(3) sieve size shall be per Base Course requirements.
- e. Crushed Surfacing Top Course: Shall conform to WSDOT Standard Specification 9-03.9(3) sieve size shall be per Top Course requirements.
- f. Gravel Backfill for Pipe Zone Bedding: Bedding material for pipe shall conform to WSDOT 9-03.12(3) or as specified in the section applicable to the type of pipe being installed
- g. Gravel Backfill for Walls: Shall conform to Section 9-03.12(2) of the WSDOT Standard Specifications.
- h. Gravel Backfill for Drains Gravel backfill for drains shall conform to WSDOT Section 9-03.12(4).
- i. Quarry Spalls: Quarry spalls shall conform to WSDOT Section 9-13.1(5).
- j. Rockery Wall Backfill: Rockery wall backfill shall meet the WSDOT Section 9-13.7(2).
- k. Sand: Sand shall conform to WSDOT Section 9-03.13 Backfill for Sand Drains.
- 2. Control Density Fill: Control Density Fill shall conform to WSDOT Section 2-09.3(1)E, and shall have a minimum compressive strength of 200 psi.
- 3. Other Materials:
 - a. Filter Fabric: AASHTO M288 Class 2 non-woven geotextile (ADS601) or approved equal.

PART 3 EXECUTION

3.1 PREPARATION

- A. Dewatering: Prevent surface water and subsurface or ground water from flowing into excavations and from flooding project site and surrounding areas.
- B. Trench Excavation: Excavate to sufficient depth to allow for installation of bedding material.

3.2 PROTECTION OF EXISTING FACILITIES

- A. Provide markers indicating limits of work and clear identification of items and areas requiring protection.
- B. Provide barricades, warning signs, and warning lights around open excavations as necessary to prevent injury to persons. Cover excavations with 3/4" plywood or equivalent at the end of each workday. Plywood used to cover open trenches or excavations shall be textured with a non-slip surface in areas with pedestrian traffic. In areas that will receive vehicular traffic, cover excavations with steel plates capable of supporting the expected vehicle loads.
- C. Tag and coordinate any proposed shrub and tree removal with Owner prior to construction.

D. Utilities:

1. Existing utilities and underground structures shown on the plan are based upon the best available public records and/or private records as supplied by the Owner and/or data obtained from owners or officials associated with the particular utility. Neither the Owner nor the

- engineer guarantee accuracy or completeness of this information and assume no responsibility for improper locations on the construction Drawings. Other underground facilities not shown on the drawings may be encountered during the course of the work.
- 2. If changed conditions are encountered, notify the Owner's representative promptly of (1) preexisting subsurface conditions differing from those indicated in the Drawings, or (2) preexisting unknown subsurface conditions, of an unusual nature, differing materially from those originally encountered and generally recognized as inherent in work of the character provided for in the contract. Make no claims to the Owner for compensation for extra work resulting from changed conditions unless the Owner has approved the work in writing.
- 3. Call the utilities underground location center for field location of utilities and do not begin excavation until known underground facilities in the vicinity of the proposed work have been located and marked. If the utility is not a subscriber of the underground location center, give individual notice to that utility. Pay costs associated with locating existing utilities.
- 4. Make excavations and borings ahead of the work, as necessary, to determine the exact location of utilities and underground structures, at no additional cost to the Owner.
- 5. It is understood that there may be interfering utilities, service laterals and other underground pipes, drains or structures encountered that are not shown on the Drawings, or areas shown incorrectly on the Drawings or conditions not previously discovered in the field. This is a normal and usual occurrence in the construction of underground improvements. Provide for these conflicts and interferences and provide for a reasonable amount of time for design changes and/or utility relocation due to said interferences.
- 6. If existing public and/or private utilities are damaged, immediately notify the Owner. Coordinate with affected utility purveyor and restore the utility to its previous condition using methods acceptable to the utility purveyor. The Contractor is responsible for the means and the methods of construction for designs shown on the Drawings.

3.3 EXCAVATION AND BACKFILLING

A. General:

- 1. Provide excavation of whatever nature required for construction of the work. Verify character, quality, and disposition of material to be excavated prior to commencing. Blasting is not permitted. Keep excavations free of water while construction is in progress.
- 2. Complete excavations in such a manner as to avoid any disturbance of the exposed subgrade. This may result in the need to use excavation techniques that limit or eliminate equipment traffic in the excavated areas. Should prepared, compacted subgrades be damaged by freezing, water saturation, or construction traffic, remove soil materials to the depth required by the Owner, and replace and re-compacting conformance with specified requirements at no additional cost to the Owner.
- 3. Stockpile satisfactory materials for reuse, allow for proper drainage and do not stockpile materials within drip line of trees to remain.
- 4. Maintain safe excavation slopes at all times and provide shoring as necessary in accordance with local, state and federal safety regulations.
- Maintain earthwork surfaces true and smooth, and protect from erosion. Where erosion occurs, the Contractor shall restore the area per the original specifications at their own expense.
- 6. Conduct earthwork for utilities in conformance to the WAC 296-155 requirements for Excavation, Trenching and Shoring.
- 7. Control dust to prevent hazards to adjacent properties and vehicles. Keep dust to a minimum at all times during the contract by watering the project area. If necessary, the Contractor shall water the construction area during the weekend to control dust. The Contractor is responsible for securing and providing water for construction and dust control. Immediately repair or remedy damage caused by dust including air filters in equipment and vehicles. Clean soiled surfaces.

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B. Excavated Native Material

- 1. Existing fill soils are generally over their optimum moisture content and drying of the soils may be needed in order to reuse the soils as fill materials specified.
- 2. Excavated material, stockpiles of construction materials, and equipment shall be placed away from the top edge of the excavation, no closer than a distance equal to the depth of the excavation. Use construction methods, which preserve the stability of the soil adjacent to the excavation.
- 3. Materials stockpiled for reuse shall be protected from wind and rain erosion by covering with plastic sheets. Excavated native soils have a high silt content and are susceptible to erosion and moisture changes; drying during hot weather and saturation during wet weather.

C. Over Excavation and Backfill with Imported Structural Fill

- 1. Over excavation of soil below the design elevations may be required when encountering soft or unsuitable soils incapable of achieving the required compaction and as tested by the Owner's Consultant. When authorized by Owner, these unsuitable soils shall be excavated and removed offsite in a legal and proper manner.
- 2. Over excavated unsuitable material shall be backfilled with imported structural fill compacted as described in these specifications and in accordance with its intended use to achieve the design elevations shown on the Drawings.

D. Classification of Fill

1. Fill material shall be placed in horizontal layers and compacted with power-operated tampers, rollers, idlers, or vibratory equipment. Material type, maximum layer depth, relative compaction, and general application are specified in Table A below. Unless otherwise specified, fill classes shall be used where specified in Table A under general application. All compacted surfaces shall be sloped to prevent ponding.

TABLE A - FILL CLASSIFICATIONS

Material Type	Maximum Uncompressed Layer depth (inches)	Minimum Relative Compaction (Percent)	General Application
Structural fill	10	95 (Upper 24 inches) 90	Utility trench backfill in pavement areas
Structural fill	10 (4 inches maximum for hand-operated mechanical compactors)	95	Foundation backfill, slab subgrade, pavement subgrade, fill under pavement, sidewalk and utility trenches
Structural fill	10 (4 inches maximum for hand-operated mechanical compactors)	90	Utility trench backfill in unimproved / unpaved areas
Gravel backfill for pipe zone		95	Bedding for rigid pipes
Non-Structural fill	12	85	Fill in landscaped areas
Crushed surfacing base course	4	95	Pavement base
Gravel backfill for walls	4	90	Wall and rockery backfill

E. Utility Trenches

- 1. Excavate trenches to indicated gradients, lines, depths, and elevations.
 - a. Beyond building perimeter, excavate trenches to allow installation of top of pipe below

frost line.

- 2. Excavate trenches to uniform widths to provide the following clearance on each side of pipe or conduit. Excavate trench walls vertically from trench bottom to 12 inches higher than top of pipe or conduit unless otherwise indicated.
 - a. Clearance: 12 inches unless otherwise indicated.
- 3. Trench Bottoms: Excavate and shape trench bottoms to provide uniform bearing and support of pipes and conduit. Shape subgrade to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits. Remove projecting stones and sharp objects along trench subgrade.
 - a. For pipes and conduit less than 6 inches in nominal diameter, hand-excavate trench bottoms and support pipe and conduit on an undisturbed subgrade.
 - b. For pipes and conduit 6 inches or larger in nominal diameter, shape bottom of trench to support bottom 90 degrees of pipe or conduit circumference. Fill depressions with tamped sand backfill.
 - c. Excavate trenches 6 inches deeper than elevation required to allow for bedding course.
- 4. Place fill materials in specified lifts to required sub-grade elevations, for each area classification as described in this Section.
- 5. Place gravel backfill for trench backfill on subgrades free of mud, frost, snow, or ice.
- 6. Backfill voids with gravel backfill soil while removing shoring and bracing.
- 7. Place and compact initial backfill of specified material (gravel backfill), free of particles larger than 1 inch in any dimension, to a height of 12 inches over the pipe or conduit.
 - a. Carefully compact initial backfill under pipe haunches and compact evenly up on both sides and along the full length of piping or conduit to avoid damage or displacement of piping or conduit. Coordinate backfilling with utilities testing.
 - b. Trench backfill greater than 2 feet below finish grade shall be compacted to at least 90 percent of maximum dry density based on ASTM D1557.

F. Subgrade for Pavement

- 1. Over excavation: Where the undisturbed condition of natural soils is inadequate for support of the planned construction as determined by the Owner's Consultant, the Construction Manager will direct the Contractor to over excavate to provide adequate supporting soils. The excavated space shall be filled to the specified elevation with compacted imported structural fill
- 2. Place structural fill in accordance with Table A. Compact to 95 percent of the maximum density. Prior to fill placement, the subgrade surface shall be evaluated by the Owner's Consultant to confirm the presence of competent bearing soils.
- 3. Proof Rolling: Proof roll in the presence of the Owner's Consultant areas beneath pavements to a dense unyielding surface.
- G. Compact materials at the optimum moisture content as determined by ASTM D 1557 by aeration or wetting to the following percentages of maximum dry density:
 - 1. Structure, Pavement, Walkways: Subgrade and each fill layer to 95 percent of maximum dry density to suitable depth.
 - 2. Unpaved Areas: Top 6 inches of subgrade and each fill layer to 90 percent maximum dry density.

3.4 FINISH GRADING

- A. General: Remove concrete, rocks, rubble and debris larger than 2 inches from surface. Finish grades flush with adjacent surfaces unless indicated otherwise. Finish grade in landscape areas adjacent to paved surfaces 1 inch below elevation of paved surface unless noted otherwise. Execute any fine grading as may be necessary or incidental to subsequent work.
- B. Grading Tolerance: Match contours and elevations shown within 1/10 foot. Slope areas to drain

away from structures; no puddling will be accepted.

- C. Acceptance of Finish Grading: Finish grades will be inspected and subject to acceptance by the Owner. Correct work not approved at no additional cost and/or with no added time to the contract.
- D. Protection of Finished Surfaces: Allow no heavy objects to be moved over finish grade surfaces. Repair ruts or holes in finished surfaces, and any obstruction to positive drainage at no additional cost to the Owner. Repair areas showing settlement at no additional cost and/or with no added time to the contract.

3.5 FIELD QUALITY CONTROL

A. Perform testing to verify conformance with Specifications.

B. Compaction:

- 1. Compact all fill and backfill to prevent subsequent settlement.
- 2. Do not use water settling or jetting as a means of compaction.
- 3. Furnish heavy vibratory rollers or compactors except as follows:
 - a. Use pneumatic hand tampers for trenches and areas not accessible to heavy equipment.
 - b. Compact areas within 5 feet of footings, foundations and walls with hand vibrators.
- 4. Required compaction:
 - a. Compact fills and backfills to the minimum relative compaction noted in 3.2.D.1 Table A
 Fill Classifications (percentage of maximum dry density determined in accordance with
 ASTM D1557).

C. Moisture Control:

- Where subgrade or lift of soil material must be moisture conditioned before compaction, uniformly apply water to surface of sub-grade and till into the subgrade to uniformly moisture condition underlying material to prevent free water appearing on surface during or subsequent to compaction operations.
- 2. Before compaction, moisten or aerate each layer as necessary to provide optimum content. Compact each layer to required percentages of maximum dry density or relative dry density for each area classification.
- 3. Do not perform compaction operations on excessively wetted soils.
- 4. Import structural fill shall be placed and compacted with an acceptable moisture content of -2% below and +2% above optimum moisture content as determined by the ASTM D-1557 and compacted to a firm and unyielding condition at the compaction levels specified for the application where it is to be used.
- 5. Common fill shall be placed and compacted with an acceptable moisture content of 1% below and 2% above moisture content as determined by ASTM 1557 and compacted to the levels specified for the application where it is used.

D. Placement

1. All fill material shall be placed in controlled layers, the thickness of which is compatible with the type of compaction equipment used. The loose thickness of each fill layer shall not exceed eight inches. Compact each layer to a minimum relative compaction as listed in 3.2.D.1 Table A Fill Classifications.

3.6 DISPOSAL OF EARTH MATERIALS

A. Remove from the Owner's property all excavated material that is not acceptable for use as fill on site. Legally dispose of excess material off site, at Contractor's expense. Provide dump receipts from an approved dump site if directed.

END OF SECTION

PART 1 GENERAL

1.1 SUMMARY

A. Section includes cast-in-place concrete paving.

1.2 REFERENCES

- A. American Association of State Highway and Transportation Officials (AASHTO) "Standard Specifications for Highway Materials and Methods of Sampling and Testing".
- B. WSDOT Standard Specifications for Road, Bridge and Municipal Construction (most recent edition).
- C. American Concrete Institute:
 - 1. ACI 301 Structural Concrete for Buildings
 - 2. ACI 305R Hot Weather Concreting
 - 3. ACI 306R Cold Weather Concreting
 - 4. ACI 316R Recommendations for Construction of Concrete Pavements and Bases

1.3 SUBMITTALS

- A. The Contractor shall submit to the Owner materials containing the following information:
 - 1. Furnish samples, manufacturer's product data, test reports, and materials certifications for Portland cement products, expansion joint materials, fillers, sealants, curing materials, admixtures, etc.
 - 2. Concrete mix design: Thirty days minimum prior to concrete placement, submit mix design for each strength and type of concrete. Include laboratory test reports for concrete materials and mix design tests, as well as material certificates stating that each material item complies with or exceeds specified requirements. Provide certification from admixture manufacturers' that chloride content complies with specified requirements.
 - 3. Provide mock-up of concrete finishes, colors, and joints on a sheet of plywood, minimum 48 inches square. Concrete mock up shall be provided for the Owner's review a minimum of 48 hours in advance of concrete delivery.

1.4 QUALITY ASSURANCE

- A. The Contractor shall provide, at the request of the Owner, original supplier invoices for concrete. Concrete found not to be consistent with these specifications shall be removed from the project site(s) unless otherwise approved by the Owner. The Owner may copy the original invoices and then return them to the Contractor in a timely manner.
- B. Prior to commencing the work of this Section, the Contractor shall verify the accuracy of layout and grading. Verify that all sub-grade and base course aggregate conditions are as specified. Notify the Owner of any discrepancies and coordinate the correction of those discrepancies with other trades as necessary.
- C. Notify Owner a minimum of 48 hours prior to any concrete pour for inspection of base course aggregates, forms, reinforcing steel, and placement of joint materials. Anticipate pours to provide adequate time for inspection without causing delays to other trades.
- D. Protect all finished work. Vandalized work will be rejected by the Owner and repaired/replaced by the Contractor at their expense, as directed by the Owner.

PART 2 PRODUCTS

2.1 CEMENT CONCRETE PAVING

A. Concrete Mix: Concrete mix shall be Class 5 (3/4), per WSDOT Standard Specifications (most recent edition), and have characteristics as follows:

28 day compressive strength	4,000 psi
94# Sacks Cement per Cubic Yard (see "Cement", below)	5.5
Dry Fine Aggregate (Type 2) (see "Aggregates", below)	291 lb. per sack
Dry Coarse Aggregate (Type 5) (see "Aggregates", below)	387 lb. per sack
Max. Water	6.5 gal. per sack
Slump (per ASTM C143)	2 - 3.5 inches

B. Portland Cement: Use only Type II Portland Cement, as specified in WSDOT Standard Specifications (most recent edition), and AASHTO M 85.

C. Aggregates:

1. Fine Aggregate shall be CSTC, per the WSDOT Standard Specifications (most recent edition). Fine Aggregates shall consist of sand or other inert materials, or combinations thereof, having hard, strong, durable particles, free from an adherent coating. The Fine Aggregate shall be washed thoroughly to remove clay, loam, alkali, organic matter, or other deleterious matter. Mineral Aggregate Type 2 Particle Gradation shall be as follows:

Sieve Size	% Passing
#4	95 - 100
#8	68 - 86
#16	47 - 65
#30	27 - 42
#50	9 - 20
#100	0 - 7
#200 (wet)	0 - 2.5

2. Coarse Aggregate shall be Coarse Aggregate Type 5, per the WSDOT Standard Specifications (most recent edition). Coarse Aggregate shall consist of gravel, crushed stone, or other inert material or combination thereof having hard, strong, and durable pieces free from adherent coatings. Coarse Aggregate shall be washed to thoroughly remove clay, silt, bark, sticks, alkali, organic matter, or other deleterious material. Mineral Aggregate Type 5 Particle Gradation shall be as follows:

Sieve Size	% Passing
1" Square	100
3/4" Square	80 - 100
3/8" Square	10 - 40
#4	0 -4
#200	0 -0.5

D. Slope in any direction on walkways shall be a maximum of 2% to meet ADA requirements unless otherwise noted. Contractor to coordinate with Owner for any grading questions prior to placement.

- E. Steel Reinforcement: Welded wire mesh to be furnished in flat sheets not rolls, unless otherwise specified or approved.
- F. Forms: Wood, plywood, steel, or other suitable material. Special edge forms for radii shall be approved in advance by the Owner. Rigid steel forms shall not be used on curved edges.
- G. Expansion Joint Materials:
 - 1. Joint Filler: Pre-formed non-extruding resilient material; ASTM D1752, Type I, 3/8 inch wide by depth required to bring top surface within 1/2 inch of slab surface.
 - 2. Joint Sealer: Self-leveling polyurethane; ASTM C920, Type M, Grade SL, Class 25 (color shall match concrete color).
 - 3. Expansion joint caps: Plastic expansion joint cap shall be WR Meadows Snap-Cap or approved equal.
- H. Curing Materials: Curing shall be per WSDOT Standard Specifications (most recent edition), or, as approved by the Owner.
- I. Concrete Sealer: Repello (clear) by L.M. Scofield Co.

2.2 UTILITY SLEEVES

- A. Sleeves required for utility lines located under paving where vehicles are anticipated, shall be, Schedule 40 PVC or better. The inside diameter (I.D.) of the sleeve shall be twice (2 times) the outside diameter (O.D.) of the inserted pipe with a maximum of one (1) insert pipe per sleeve. All wiring shall be in its own separate Schedule 40 PVC sleeve, independent from the piping sleeves.
- B. Sleeves under roadways where heavy vehicular traffic is anticipated, shall be ductile iron pipe. The inside diameter (I.D.) of the sleeve shall be at least twice (2 times) the outside diameter (O.D.) of the total inserted pipes with multiple pipes inserted per sleeve (only as directed by the Owner). All wiring shall be in separate Schedule 80 PVC electrical conduit (min. 2" O.D.) within the ductile iron pipe.
- C. All sleeves shall be inspected by the Owner after forms are set and before paving operations are executed.

PART 3 EXECUTION

3.1 BARRIERS

A. The Contractor shall erect and maintain barricades, canopies, guards, lights and warning signs to the extent required by law and as is prudent for the protection of the public and protection of the work.

3.2 FORM CONSTRUCTION

- A. Set forms to required grades and alignments rigidly braced and secured. Install sufficient quantity of forms to allow continuous progress of work and so that forms can remain in place at least 24 hours after concrete placement.
- B. Check completed formwork for grade and alignment to following tolerances:
 - 1. Top of forms not more than 1/8" in 10 feet.
 - 2. Vertical faces, on longitudinal axis, not more than 1/4" in 10 feet.
- C. Clean forms after each use and coat with form release agent as often as required to ensure separation from concrete without damage.

3.3 REINFORCEMENT

A. Locate and place reinforcement as indicated on the contract drawings. Support reinforcing steel or

wire fabric with pre-cast concrete blocks at spacing that will ensure minimum deflection of the reinforcement.

3.4 UTILITY SLEEVES

- A. Pipe trenches located under areas of existing or new paving shall have sleeves installed. Sleeves shall extend 12" beyond the pavement on each side. Trenches shall be back-filled with sand (6 inches above and 4 inches below the pipe) and compacted in layers to 95% compaction, using manual or mechanical tamping devices. Trenches for piping shall be compacted to equal the compaction of the existing adjacent undisturbed soil and shall be left in firm unyielding condition. All trenches shall be left flush with the adjoining grade. The Contractor shall set in place; cap and pressure test all piping under paving prior to paving work.
- B. All sleeves installed by the Contractor prior to and during the installation of the rest of the irrigation system shall be observed by the Owner.

3.5 CONCRETE PLACEMENT

- A. Do not place concrete until sub-base, forms, and reinforcement have been checked for line and grade. Moisten sub-base if required to provide a uniform dampened condition at time concrete is placed.
- B. The concrete shall be placed and spread uniformly between the forms and thoroughly compacted with a steel shod strike-board.
- C. After the concrete has been thoroughly compacted and leveled, it shall be floated with wood floats and finished at the proper time with a metal float.

3.6 JOINTS

- A. All Joints shall be edged with a quarter-inch (1/4") radius edger, and sidewalk edges with a half-inch (1/2") radius edger or saw cut as directed by the Owner.
- B. Expansion Joint placement shall be 10' to 15' spacing (with no more than approx. 200 square feet or pavement between expansion joints), with control joint spacing equal and alternating in between. Provide Joints as shown on the Contract Drawings or as directed by the Owner.
- C. Provide Expansion Joints, dividing the concrete areas as indicated on the Drawings:
 - 1. Provide pre-molded 3/8" joint filler for expansion joints abutting concrete curbs, catch basins, manholes, inlets, structures, walks and other fixed objects as applicable or as indicated in the Contract Drawings.
 - 2. Expansion Joints shall be located and placed according to the Contract Drawings, and sufficiently supported to ensure final placement perpendicular to the finished surface of the pavement.
 - 3. Extend joint fillers full width and depth of joint and not less than 1/2 inch or more than 1 inch below finished surface where joint sealer is indicated. Furnish joint fillers in one-piece lengths for full width being placed, wherever possible. Where more than one length is required, lace or clip joint filler sections together. Protect top edge of joint filler during concrete placement with a metal or plastic temporary strip. Remove protection after concrete has been placed on both sides of joint before sealant is applied.
- D. Provide Control Joints, dividing the concrete areas as indicated on the Drawings.
 - 1. Form Control Joints in fresh concrete by grooving top portion with a recommended cutting tool and finishing edges with a jointer.
 - 2. Saw Control Joints into hardened concrete using power saws equipped with shatterproof abrasive or diamond rimmed blades. Cut joints into concrete as soon as surface will not be torn, abraded, or otherwise damaged by cutting action.

3.7 CONCRETE FINISHING

- A. After striking off and consolidating concrete, smooth surface by screening and floating. Use hand methods only where mechanical floating is not possible. Adjust floating to compact surface irregularities, and refloat repaired area to provide a continuous smooth finish.
- B. After completion of floating and trowelling when excess moisture or surface sheen has disappeared, complete finishing as follows:
 - 1. Light broom finish, by drawing fine hair broom across concrete surface, perpendicular to line of traffic after the tooled grid is installed. The Owner's decision will be final on acceptance of joint finishing details and surface finishes.

3.8 CURING

A. Protect and cure finished concrete paving, complying with applicable requirements of the References specified in this Section. Use only pre-approved curing and sealing compound or moisture curing method.

3.9 CLEAN-UP

- A. Repair and replace broken or defective concrete as directed by the Owner.
- B. Protect concrete from damage until acceptance of work. Exclude traffic from pavement for at least fourteen (14) days after placement. When construction traffic is permitted, maintain pavement as clean as possible by removing surface stains and spillage of materials as they occur.
- C. Sweep concrete pavement and wash free of stains, discoloration, dirt and other foreign material just prior to final inspection.

END OF SECTION